

# Atmospheric Circulation

(WAPE: General Circulation of the Atmosphere and Variability)

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## 8) Stratospheric equatorial variability

### a) Observations

Equatorial waves and quasi biennial oscillation

Refresh on Eq. Wave theory,

Level 1 statistics: time longitude spectra and composite

### b) Quasi-Biennial Oscillation explained in terms of gravity waves mean flow interactions

Toy model 5

### c) Returning to the Temperature minimum at the summer polar mesopause

## a) Observations: Equatorial waves and quasi biennial oscillation

Refresh on Equatorial wave theory (Lecture 7 and TD 7)

For  $v' \neq 0$  : Kelvin waves, see Lecture 7

Linear disturbances in an equatorial beta-plane

At rest (cte  $N^2$ , also here)

See Lecture 7 (mainly for Kelvin wave,  $v' = 0$ )

But with Hydrostatic log pressure coordinates (as in TD7)

$$\partial_t u' - \beta y v' + \partial_x \Phi' = 0$$

$$\partial_t v' + \beta y u' + \partial_y \Phi' = 0$$

$$\partial_x u' + \partial_y v' + \rho_0^{-1} \partial_z \rho_0 w' = 0$$

$$\partial_t \partial_z \Phi' + N^2 w' = 0$$

$$\begin{pmatrix} u' \\ v' \\ \Phi' \\ w' \end{pmatrix} = \begin{pmatrix} \hat{u}(y) \\ \hat{v}(y) \\ \hat{\Phi}(y) \\ \hat{w}(y) \end{pmatrix} e^{z/2H} e^{i(kx+mz-\omega t)}$$

$$-i\omega \hat{u} - \beta y \hat{v} + i k \hat{\Phi} = 0$$

$$-i\omega \hat{\Phi} + gh(i k \hat{u} + \partial_y \hat{v}) = 0$$

$$gh = c^2 = \frac{N^2}{m^2 + \frac{1}{4H^2}}$$

Meridional structure equation :

$$\partial_y^2 \hat{v} + \left( \frac{\omega^2}{c^2} - k^2 - \frac{\beta k}{\omega} - \frac{\beta^2 y^2}{c^2} \right) \hat{v} = 0$$

## a) Observations: Equatorial waves and quasi biennial oscillation

Refresh on Equatorial wave theory (Lecture 7 and TD 7)

Solution for  $\hat{v} \neq 0$  (For Kelvin waves,  $\hat{v} = 0$ , see Course 7)

Meridional structure equation :

$$\partial_y^2 \hat{v} + \left( \frac{\omega^2}{c^2} - k^2 - \frac{\beta k}{\omega} - \frac{\beta^2 y^2}{c^2} \right) \hat{v} = 0$$

Solutions

(Shrodinger Eqs. Harmonic oscillator)

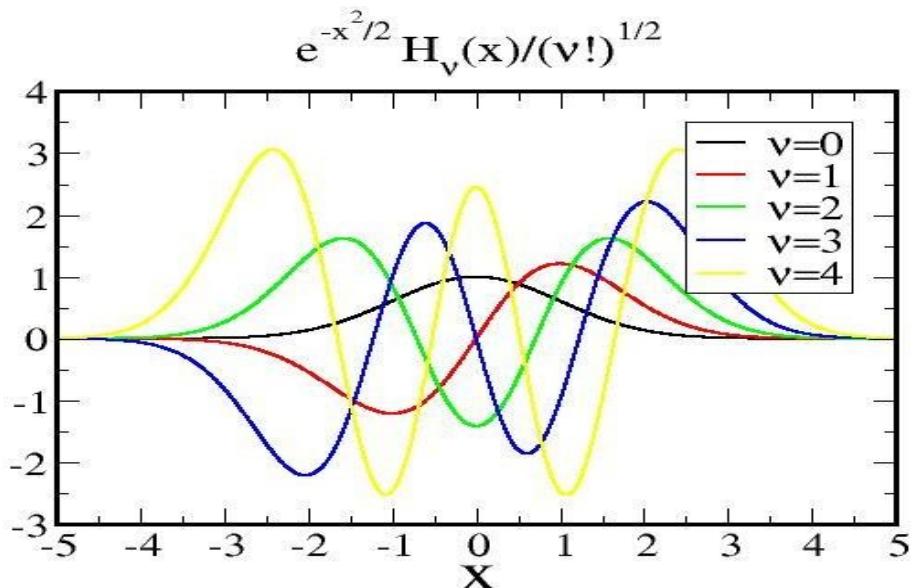
$$\hat{v} = e^{-\frac{\beta y^2}{c^2}} H_v \left( \left( \frac{\beta}{c} \right)^{1/2} y \right)$$

Hermitte polynomial

$$H_0 = 1, \quad H_1 = 2x, \quad H_2 = 4x^2 - 2, \quad \dots$$

Dispersion relation when  $v$  is given :

$$\frac{\omega^2}{c^2} - k^2 - \frac{k \beta}{\omega} = (2v+1) \frac{\beta}{c}$$



$$gh = c^2 = \frac{N^2}{m^2 + \frac{1}{4H^2}}$$

$v$  : number of zero for  $\hat{v}$  between the poles

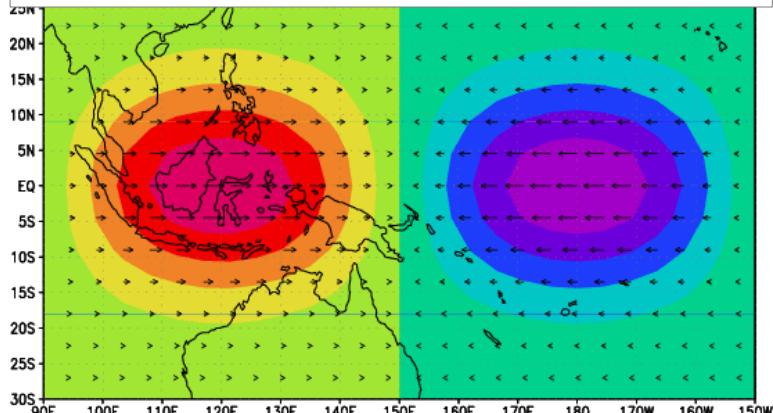
$v = -1$  for Kelvin waves

# a) Observations: Equatorial waves and quasi biennial oscillation

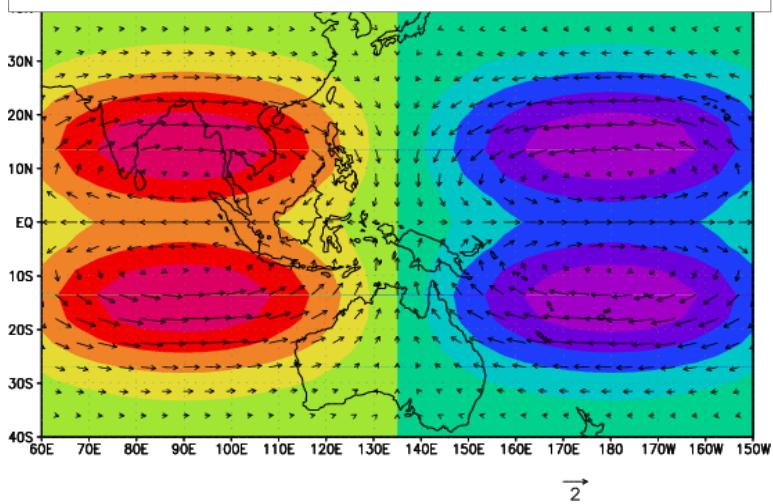
## Refresh on Equatorial wave theory

Dispersion relation of the equatorially trapped, Kelvin waves ( $\nu=-1$ ), Rossby Gravity waves ( $\nu=0$ ), and Rossby waves (with  $\nu=1$ ), ect.  
 $\omega>0$  convention, fields of horizontal wind and  $\Phi$ :

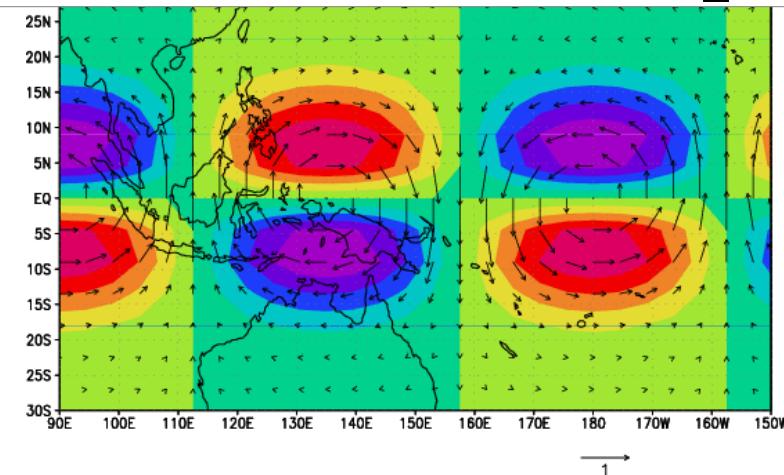
Kelvin wave:  $\omega = kc$



Rossby  $\nu=1$



$$\text{Rossby-gravity: } \omega = \frac{\sqrt{k^2 c^2 + 4\beta c} + kc}{2}$$



Each  $\nu>0$  : 1 Rossby  
and 2 inertio-gravity

$$\frac{\omega^2}{c^2} - k^2 - \frac{k\beta}{\omega} = (2\nu+1) \frac{\beta}{c}$$

$$gh=c^2=\frac{N^2}{m^2+\frac{1}{4H^2}}$$

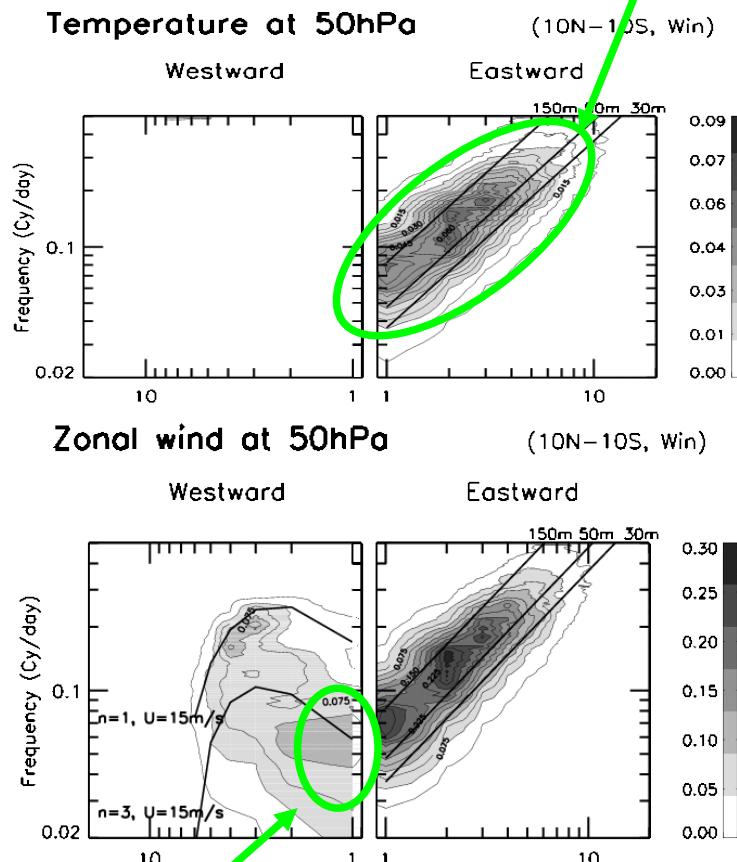
# a) Observations: Equatorial waves and quasi biennial oscillation

## Time-latitude Spectra and composites

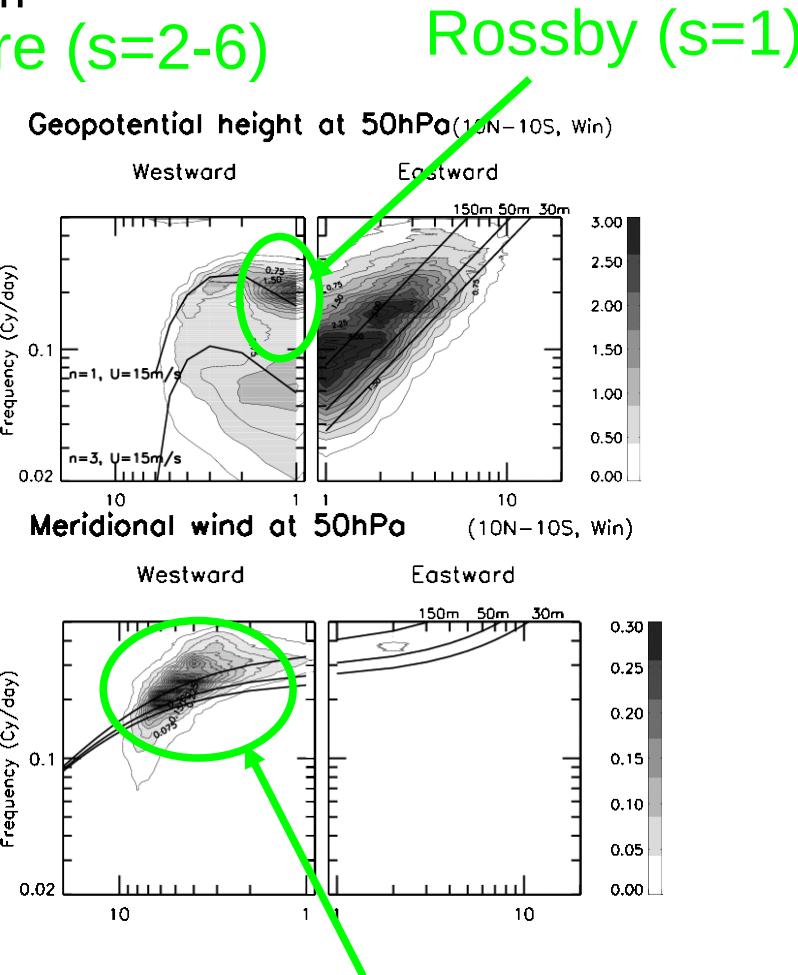
Spectra in frequency ( $\sigma = \omega/\Omega$ ) and wavenumber ( $s = ka$ ) of the  $u$ ,  $v$ ,  $T$ , and  $\Phi$  fields averaged over  $[10^\circ\text{S}, 10^\circ\text{N}]$

NCEP-Reanalysis 1980-2004,  $16\text{km} < z < 32\text{km}$

**Kelvin  $s=1$  and more ( $s=2-6$ )**



Rossby  $s=1$



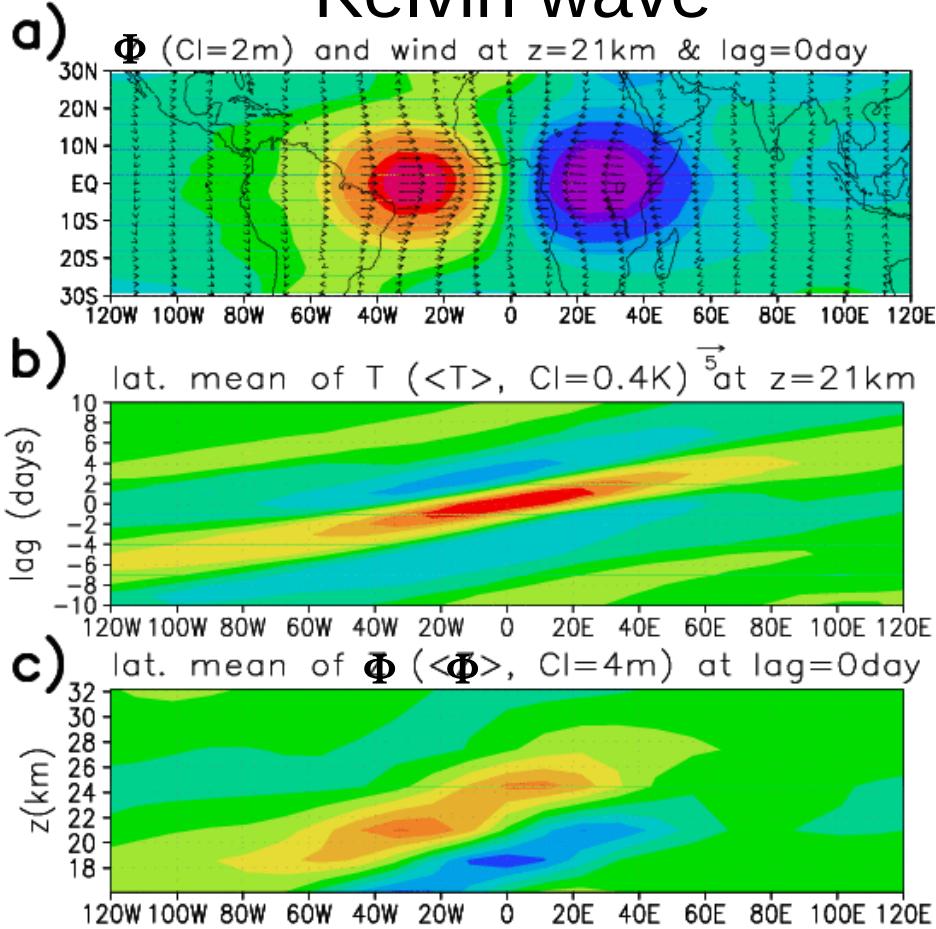
Rossby-Gravity  
 $s=4-8$

## a) Observations: Equatorial waves and quasi biennial oscillation

### Time-latitude Spectra and composites

#### Composites of Kelvin waves

##### Kelvin wave



**Index for entrance in the low stratosphere based on  $T$  at 21km filtered in the band  $s=2-6$ , 1-10day periods.**

Characteristic structure of a Kelvin wave

The amplitude is substantial (compare to the Standard Deviation of the unfiltered fields)

Eastward propagation, probably eastward Group speed.

Phase lines inclined eastward when altitude increases indicating upward propagation

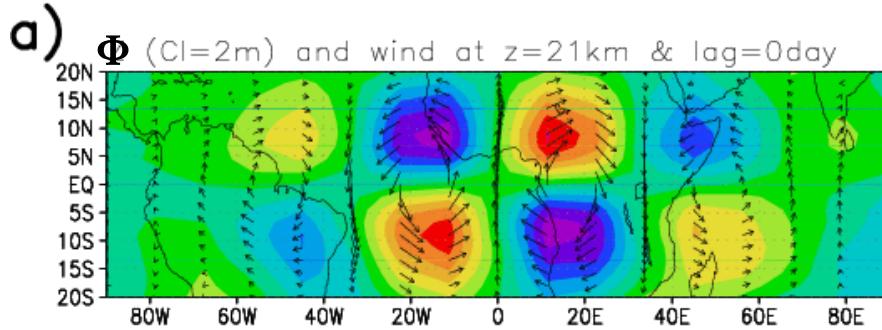
Signal attenuation in the vertical (through interaction with the QBO?)

## a) Observations: Equatorial waves and quasi biennial oscillation

### Time-latitude Spectra and composites

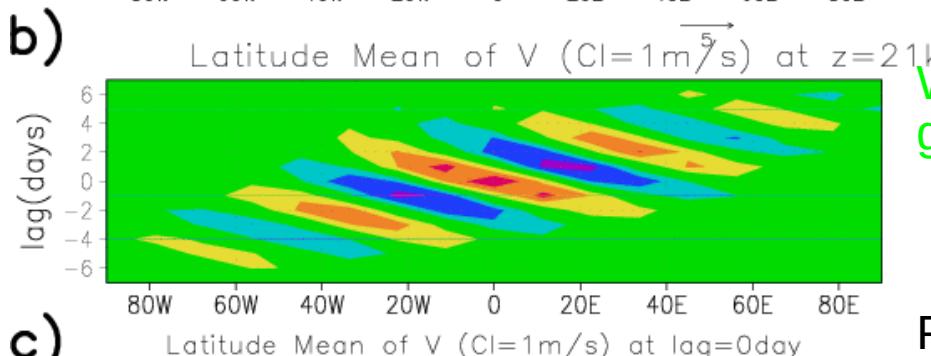
Composites of Rossby-gravity waves

### The Rossby-gravity waves

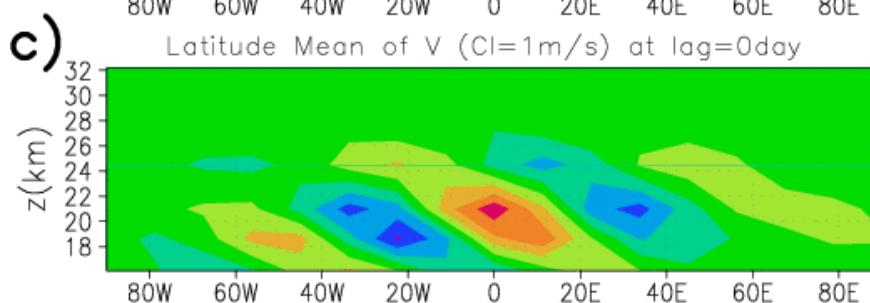


Characteristic structure of a Rossby-gravity wave

The amplitude is substantial (compare to the Standard deviation of the unfiltered field)



Westward phase propagation but eastward group propagation



Phase lines inclined westward when altitude increases indicating upward propagation.

Signal attenuation in the vertical (through interaction with the QBO?)

## a) Observations: Equatorial waves and quasi biennal oscillation

### The Quasi-Biennial Oscillation (low stratosphere)

Satellites wind observations (UARS, Swinbank et Ortland 1997)

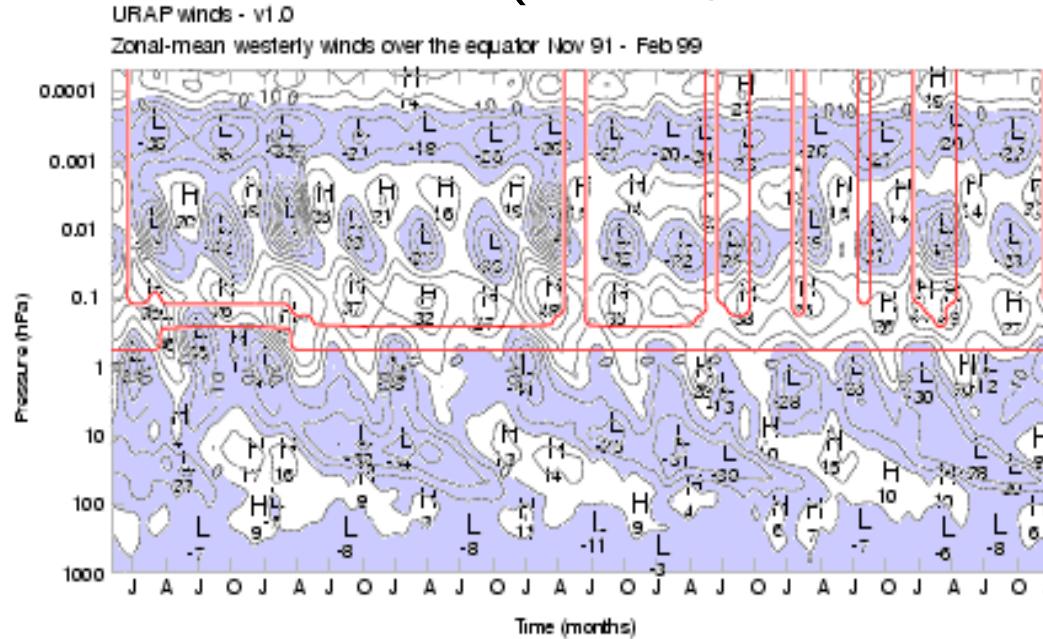
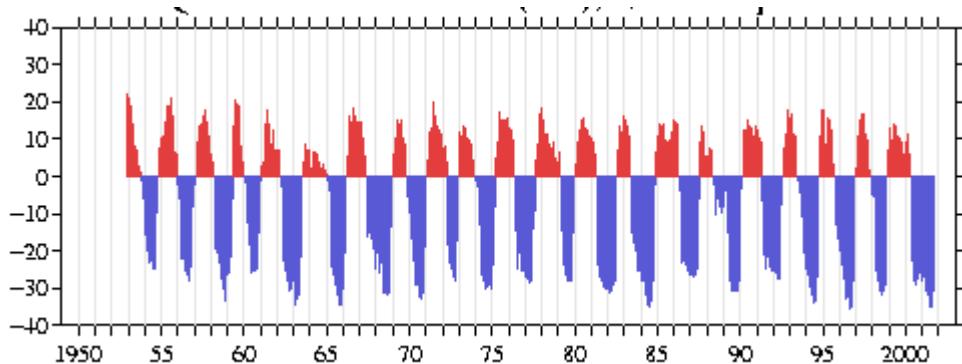


Figure 6. Time series of zonal-mean westerly winds over the equator, from November 1991 to February 1999. The tick marks along the x-axis mark each January, April, July and October. The additional lines show where the values are mainly derived from interpolated or climatological data.

## a) Observations: Equatorial waves and quasi biennial oscillation

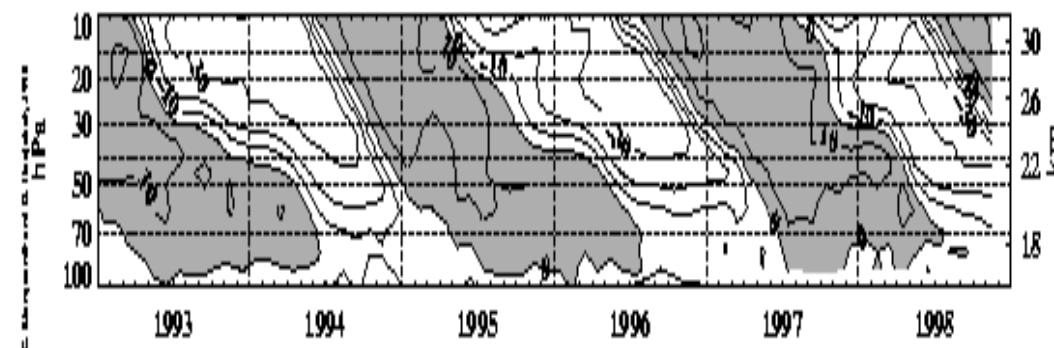
### Index de l'OQB

Moyenne du vent zonal (m/s) à 30hPa (~25km). Extrait du site:  
[tao.atmos.washington.edu](http://tao.atmos.washington.edu)



### Moyenne zonale du vent zonal en fonction du temps et de l'altitude

Extrait du site:  
[tao.atmos.washington.edu](http://tao.atmos.washington.edu)



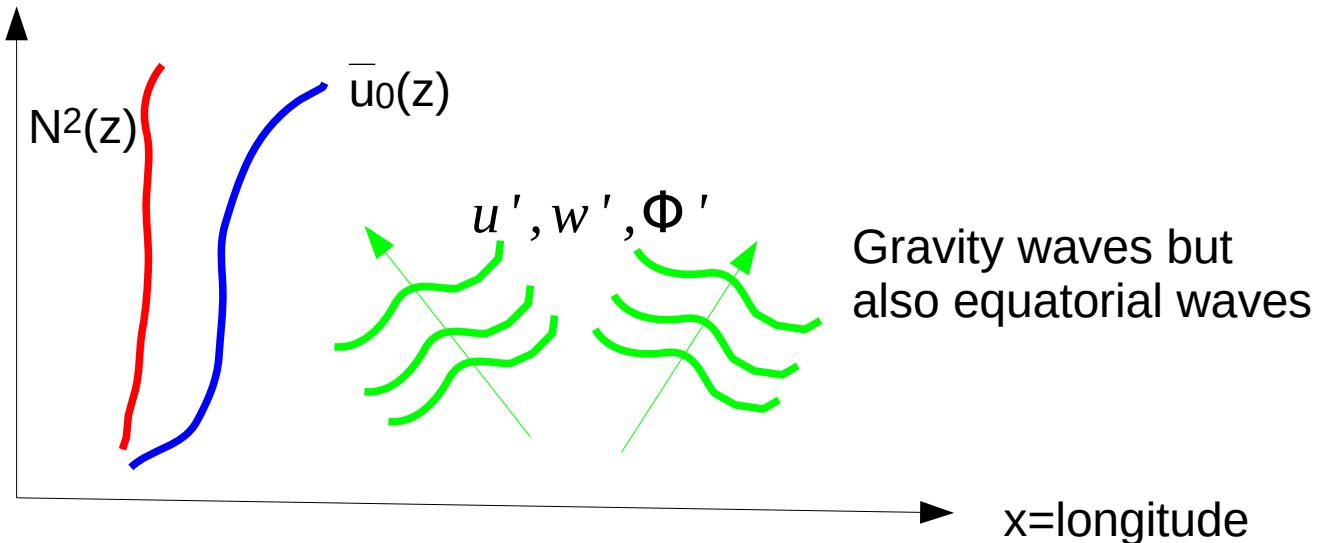
Noter la descente vers le bas des lignes de phase, indicatif que les modifications sont dues à des ondes venant d'en bas

## b) Quasi-Biennial Oscillation and gravity waves mean flow interactions

Toy model 5:  $N^2(z) \neq 0$ ,  $u_0(z) \neq 0$ ,  $f=0$ , 2D( $x-z$ )

Base equations are 2D, this will allow to handle variations in the vertical of the basic flow. Coriolis force is neglected : we are in the tropics

$$z = H \ln(p_r/p), \text{log pressure altitude}$$



$$\frac{Du}{Dt} = -\frac{\partial \Phi_e}{\partial x} + X$$

$$\frac{\partial u}{\partial x} + \rho_0^{-1} \frac{\partial (\rho_0 w)}{\partial z} = 0$$

$$\frac{D}{Dt} \partial_z \Phi_e + N^2 w = Q$$

$$\Phi = \Phi_0(z) + \Phi_e(x, y, z, t)$$

$$N^2 = \Phi_{0zz} + \frac{\kappa}{H} \Phi_{0z}, \quad \rho_0(z) = \rho_s e^{-z/H}, \quad H = \frac{RT_s}{g} = 7\text{km}$$

$$\frac{D}{Dt} = \partial_t + u \partial_x + w \partial_z$$

## b) Quasi-Biennial Oscillation and gravity waves mean flow interactions

Toy model 5:  $N^2(z) \neq 0$ ,  $u_0(z) \neq 0$ ,  $f=0$ , 2D( $x-z$ )

### Wave-mean flow separation

$$u(x, z, t) = \bar{u}_0(z) + \underbrace{u'(x, z, t)}_{O(\alpha)} + \underbrace{\bar{u}(z, t) - \bar{u}_0(z)}_{O(\alpha^2)}$$

$$\Phi(x, z, t) = \Phi_0(z) + \underbrace{\Phi'(x, z, t)}_{O(\alpha)} + \underbrace{\bar{\Phi}(z, t) - \Phi_0(z)}_{O(\alpha^2)}$$

Horizontal or zonal mean

$$\bar{u} = \frac{1}{L} \int_0^L u dx$$

ou

$$\bar{u} = \frac{1}{2\lambda} \int_0^{2\pi} u d\lambda$$

L size of the domain  
(périodic)

$\lambda$  longitude

### Equations for the wave ( $O(\alpha)$ ):

$$(\partial_t + \bar{u}_0 \partial_x) u' + \bar{u}_{0z} w' = -\partial_x \Phi' + X'$$

$$\partial_x u' + \frac{1}{\rho_0} \partial_z (\rho_0 w') = 0$$

$$(\partial_t + \bar{u}_0 \partial_x) \Phi'_z + N^2 w' = Q'$$

### Equations for the mean flow ( $O(\alpha^2)$ ):

$$\partial_t \bar{u} = \frac{-1}{\rho_0} \partial_z (\rho_0 \overline{u'w'}) + \bar{X}$$

$$\partial_t \bar{\Phi}_z = \frac{-1}{\rho_0} \partial_z (\rho_0 \overline{\Phi'_z w'}) + \bar{Q}$$

$$\bar{w} = 0$$

The fluxes  $\overline{u'w'}$  et  $\overline{\Phi'_z w'}$  translate the effect of the wave on the mean flow.

(consequence of the 2D geometry and from the continuity equation)

## b) Quasi-Biennial Oscillation and gravity waves mean flow interactions

Toy model 5:  $N^2(z) \neq 0$ ,  $u_0(z) \neq 0$ ,  $f=0$ , 2D( $x-z$ )

Let us consider an adiabatic and inviscid wave  
 (absence of breaking):

$$(\partial_t + \bar{u}_0 \partial_x) u' + \bar{u}_{0z} w' = -\partial_x \Phi'$$

$$\partial_x u' + \frac{1}{\rho_0} \partial_z (\rho_0 w') = 0$$

$$(\partial_t + \bar{u}_0 \partial_x) \Phi'_z + \bar{N}^2 w' = 0$$

Let us analyse harmonics by harmonics and consider monochromatic waves in  $x$  and  $t$   
 we allow the mean flow to vary in  $z$

$$\begin{pmatrix} u' \\ w' \\ \Phi' \end{pmatrix} = \Re \begin{pmatrix} \hat{u}(z) \\ \hat{w}(z) \\ \hat{\Phi}(z) \end{pmatrix} e^{i(kx - \omega t)} e^{z/2H}$$

with  $k > 0$ , and  $\alpha \ll 1$

Polarisation relations:

$$-i\hat{\omega}\hat{u} + \bar{u}_{0z}\hat{w} = -ik\hat{\Phi}$$

$$-i\hat{\omega}\left(\hat{\Phi}_z + \frac{\hat{\Phi}}{2H}\right) + N^2\hat{w} = 0$$

$$ik\hat{u} + \left(\hat{w}_z - \frac{\hat{w}}{2H}\right) = 0$$

Intrinsic frequency:

$$\hat{\omega} = \omega - k\bar{u}_0$$

Vertical structure Equation:  
 (Taylor-Goldstein)

$$\frac{d^2\hat{w}}{dz^2} + \underbrace{\left( \frac{\bar{N}^2 k^2}{\hat{\omega}^2} + \frac{k}{\hat{\omega}} \left( \bar{u}_{0zz} + \frac{\bar{u}_{0z}}{H} \right) - \frac{1}{4H^2} \right)}_{Q(z)} \hat{w} = 0$$

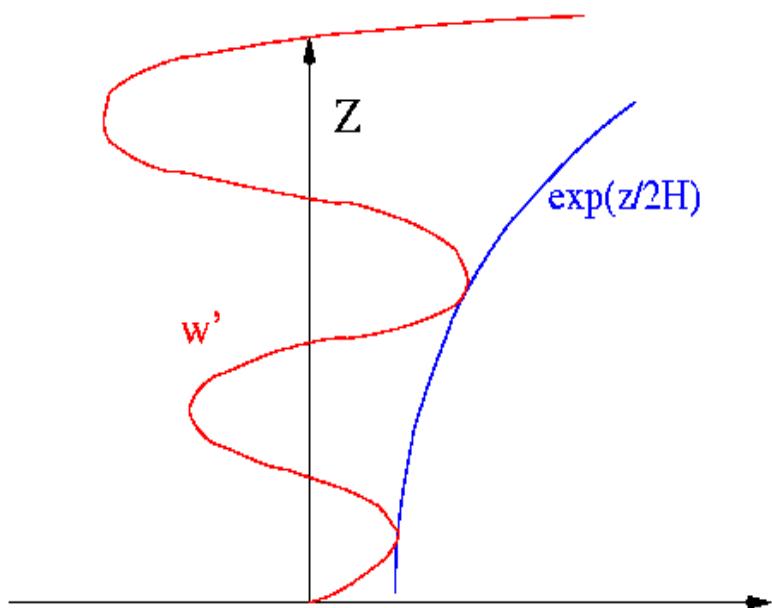
$Q(z)$  is the Scorer parameter

## b) Quasi-Biennial Oscillation and gravity waves mean flow interactions

Toy model 5:  $N^2(z)\neq 0$ ,  $u_0(z)\neq 0$ ,  $f=0$ , 2D( $x-z$ )

The effect of density:

Structure of a wave when  
 $u_0=\text{cte}$ ,  $\bar{N}^2=\text{cte}$   $\omega\neq 0$ .



$$m^2 = \frac{\bar{N}^2 k^2}{\hat{\omega}^2} - \frac{1}{4H^2}$$

where the intrinsic frequency  $\hat{\omega} = \omega - k \bar{u}_0(z)$

Upward propagation :

$$\hat{C}_{gz} = \frac{\partial \hat{\omega}}{\partial m} = \frac{-m \hat{\omega}}{m^2 + 1/(4H^2)} > 0 \rightarrow m = -\text{sign}(\hat{\omega}) \sqrt{\frac{\bar{N}^2 k^2}{\hat{\omega}^2} - \frac{1}{4H^2}}$$

$$\hat{w}(z) = \hat{w}(0) e^{imz}$$

$$w' = \Re [e^{i(kx+mz-\omega t)}] e^{z/2H}$$

## b) Quasi-Biennial Oscillation and gravity waves mean flow interactions

Toy model 5:  $N^2(z) \neq 0$ ,  $u_0(z) \neq 0$ ,  $f=0$ , 2D(x-z)

Thermal and momentum fluxes :

$$-\rho_0 \overline{w' \Phi'_z} = -\Re \left[ \frac{\rho_s}{2} \hat{w} \left( \hat{\Phi}_z + \frac{\hat{\Phi}}{2H} \right)^* \right] = -i \Re \left[ \frac{\rho_s N^2}{2 \hat{\omega}} \hat{w} \hat{w}^* \right] = 0 \quad \text{No heat flux:}$$

$$\overline{F^z} = -\rho_0 \overline{u' w'} = -\frac{\rho_s}{2} \Re \left[ \hat{u} \hat{w}^* \right] = \Re \left[ -i \frac{\rho_s}{2k} \hat{w}_z \hat{w}^* \right] \quad \text{The waves can carry horizontal momentum vertically:}$$

$$\hat{w} = \hat{w}(0) e^{imz}$$

$$w' = \Re \left[ e^{i(kx + mz - \omega t)} \right] e^{z/2h}$$

$$\overline{F^z} = \frac{\rho_s}{2} \frac{m}{k} \hat{w}(0) \hat{w}(0)^* \neq 0$$

It has a sign opposite to the waves horizontal phase speed

$$\hat{C}_x = \frac{\hat{\omega} k}{|\vec{k}|^2}$$

## b) Quasi-Biennial Oscillation and gravity waves mean flow interactions

Toy model 5:  $N^2(z) \neq 0$ ,  $u_0(z) \neq 0$ ,  $f=0$ , 2D( $x-z$ )

### The non-interaction Eliassen Palm theorem

From the expression of the momentum flux:

$$\overline{F^z} = \Re \left[ -i \frac{\rho_s}{2k} \hat{w}_z \hat{w}^* \right]$$

And the Taylor Goldstein equation:  $\hat{w}_{zz} + Q \hat{w} = 0$  multiplied by  $\hat{w}^*$

One deduce that:  $\frac{\partial \overline{F^z}}{\partial z} = 0$

A linear stationnary adiabatic and non-dissipated wave  
do not modify the mean flow

Remember that  $\partial_t \bar{u} = \frac{-1}{\rho_0} \partial_z (\rho_0 \bar{u}' \bar{w}') + \bar{X} = \frac{1}{\rho_0} \frac{\partial \overline{F^z}}{\partial z} + \bar{X}$

## b) Quasi-Biennial Oscillation and gravity waves mean flow interactions

Toy model 5:  $N^2(z) \neq 0$ ,  $u_0(z) \neq 0$ ,  $f=0$ , 2D( $x-z$ )

Value and sign of the EP-flux

$$m(z) = -\text{sign}(\hat{\omega})\sqrt{Q(z)} \rightarrow \text{sign}(\bar{F}^z) = -\text{sign}(k\hat{\omega})$$

The chosen sign for  $m$  is to guarantee Upward propagation

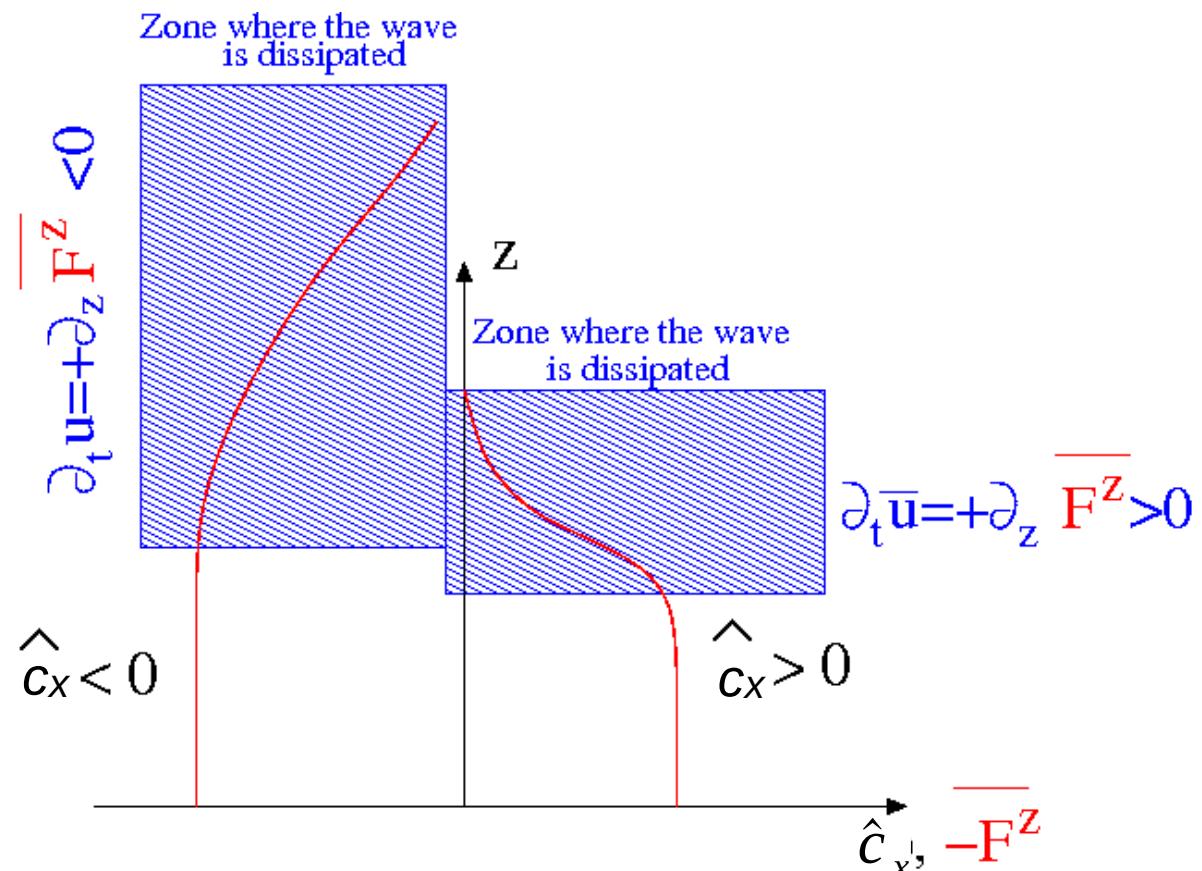
This imposes as well the sign of the EP flux

Eastward propagating waves accelerate the flow when they break

$$\hat{c}_x = \hat{\omega} k / |\vec{k}|^2 > 0$$

Westward propagating waves accelerate the flow when they break

$$\hat{c}_x = \hat{\omega} k / |\vec{k}|^2 < 0$$



## b) Quasi-Biennial Oscillation and gravity waves mean flow interactions

Toy model 5:  $N^2(z) \neq 0$ ,  $u_0(z) \neq 0$ ,  $f=0$ , 2D( $x-z$ )

### Solution WKB: Breaking

$$\left| \hat{\Phi}_{zz} + \frac{\kappa}{H} \hat{\Phi}_z \right| e^{z/H} > N^2$$

By using the polarization relationships:

$$|\hat{w}| < \left| \frac{\hat{\omega}}{m} \right| e^{-z/H} = w_s(z)$$

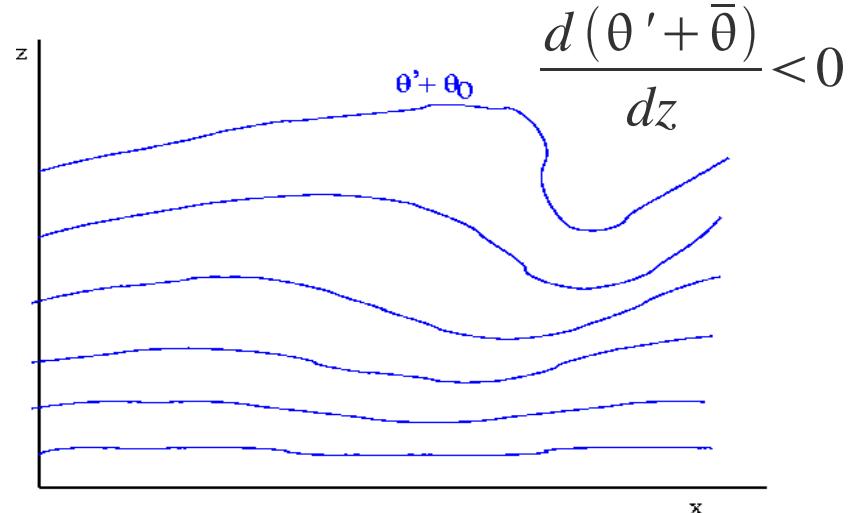
Or if you put  $w_s(z)$  into the EP-flux expression:

$$|\bar{F}^z| < |\bar{F}_s^z| \quad \text{ou} \quad \bar{F}_s^z = -\frac{\rho_r \hat{\omega}^3}{2 k^2 N} e^{-z/H}$$

**There is no flux through critical levels  
(e.g. levels where  $\hat{\omega}=0$  )**

For a constant flow the breaking altitude is:

$$Z_{br} = 2H \ln \left( \frac{\hat{\omega}^2}{Nk |\hat{w}(0)|} \right)$$



Breaking is favored when the amplitude of the intrinsic phase speed is small:

- In westward flows ( $U>0$ ), breaking of westward waves ( $C>0$ ) is favored. They accelerate the flow when they break.
- In an eastward flow ( $U<0$ ), breaking of eastward waves is favoured. They decelerate the flow then.

## b) Quasi-Biennial Oscillation and gravity waves mean flow interactions

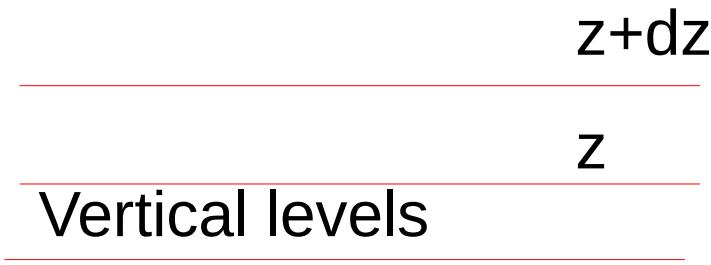
### Numerical solution

$$\rho_0 \frac{\partial \bar{u}}{\partial t} = \sum_{i=1}^2 \frac{\partial \bar{F}_i^z}{\partial z} + \nu \frac{\partial^2 \bar{u}}{\partial z^2}$$

Just two waves: one eastward and one westward

1) We impose in  $z=0$  (here the tropopause):  $\bar{F}_i^z(0), \omega_i, k_i$

2) Passage from  $z$  to  $z+dz$



$$\bar{F}_i^z(z+dz) = \bar{F}_i^z(z)$$

$$si |\bar{F}_i^z(z+dz)| > |\bar{F}_{iS}^z(z+dz)|$$

$$alors : \bar{F}_i^z(z+dz) = \bar{F}_{iS}^z(z+dz)$$

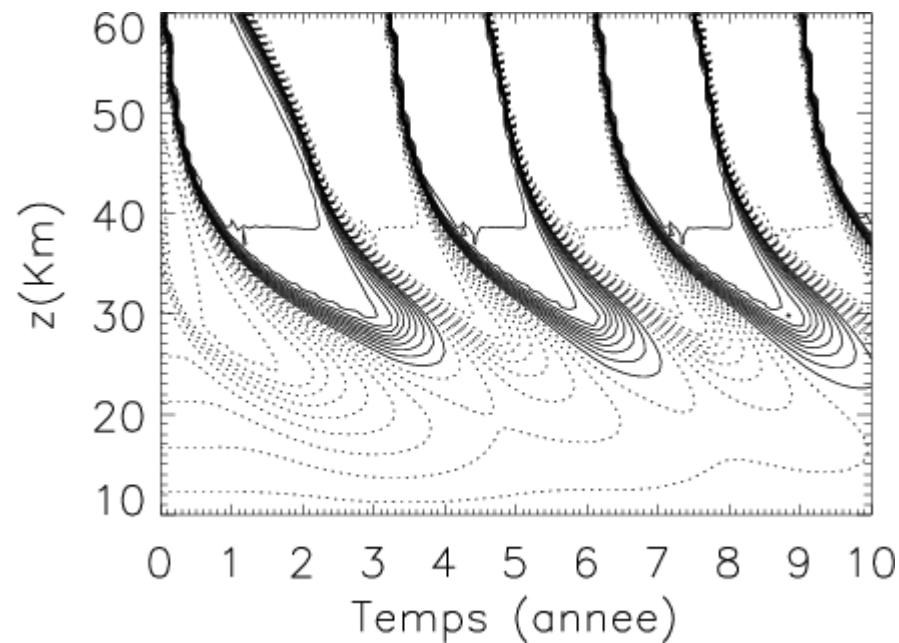
3) Evaluation of  $\bar{u}(t+dt, z)$

4)  $\bar{u}(t+dt, z) \rightarrow \bar{u}_0(z)$

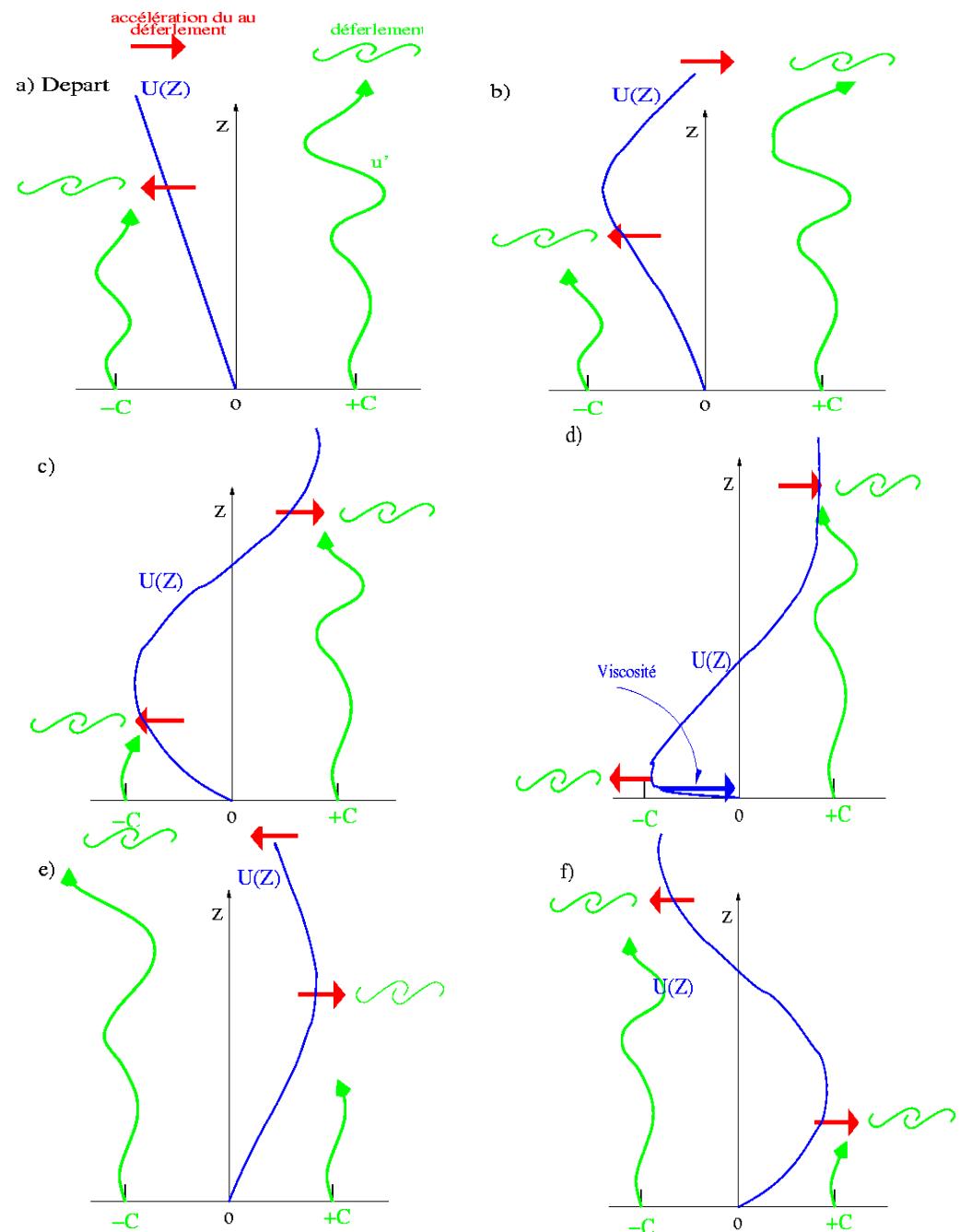
## b) Quasi-Biennial Oscillation and gravity waves mean flow interactions

Résult from the model:

$\bar{u}(z, t)$



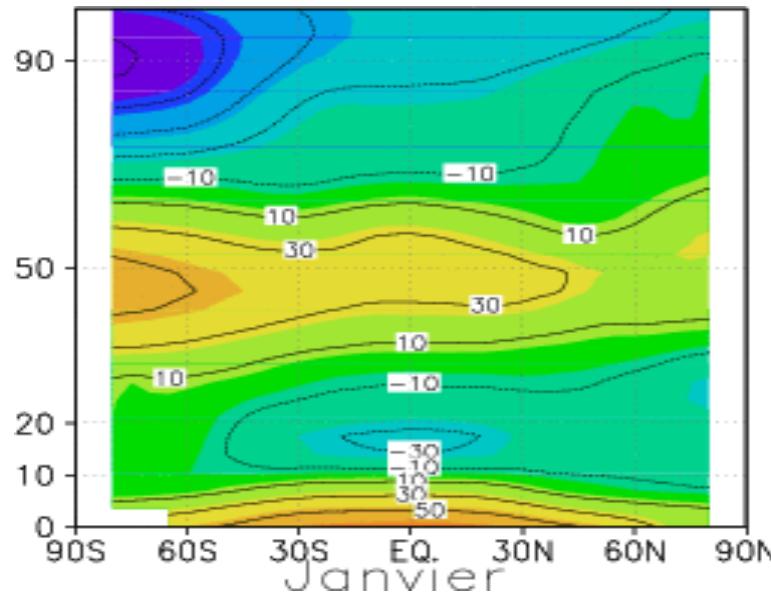
Interpretation:



### C) Returning to the Temperature minimum at the summer polar mesopause

The middle atmosphere is not in radiative equilibrium

January Temperature ( CIRA)



At the mesopause in NH winter the eastward phase speed waves accelerate the zonal mean flow in the SH  
The westward phase speed waves decelerate the flow in the NH

- Temperature is far from the radiative equilibrium:
- During solstices:
  - In the upper mesosphere (70-90km) T increases from the winter pole to the summer pole !!!
  - At the mesopause (90km) over the summer pole is the coldest region of the neutral atmosphere!

