# <sup>1</sup> Coupled chemistry climate model simulations of the <sup>2</sup> solar cycle in ozone and temperature

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Abstract. The 11-year solar cycles in ozone and temperature are exam-27 ined using new simulations of coupled chemistry climate models. The results show a secondary maximum in stratospheric tropical ozone, in agreement with 29 satellite observations and in contrast with most previously published sim-30 ulations. The mean model response varies by up to about 2.5% in ozone and 31 0.8K in temperature during a typical solar cycle, at the lower end of the ob-32 served ranges of peak responses. Neither the upper atmospheric effects of en-33 ergetic particles nor the presence of the quasi biennial oscillation is neces-34 sary to simulate the lower stratospheric response in the observed low lati-35 tude ozone concentration. Comparisons are also made between model sim-36 ulations and observed total column ozone. As in previous studies, the model 37 simulations agree well with observations. For those models which cover the 38 full temporal range 1960-2005, the ozone solar signal below 50 hPa changes 39 substantially from the first two solar cycles to the last two solar cycles. Fur-40 ther investigation suggests that this difference is due to an aliasing between 41 the sea surface temperatures and the solar cycle during the first part of the 42 period. The relationship between these results and the overall structure in 43 the tropical solar ozone response is discussed. Further understanding of so-44 lar processes requires improvement in the observations of the vertically vary-45 ing and column integrated ozone. 46

# 1. Introduction

The impact of solar irradiance variations on the atmosphere has long been seen as 47 an important issue, and may have contributed to the Little Ice Age in the Northern 48 Hemisphere during the Maunder minimum [Yoshimori et al., 2005], although its more 49 regional influence is still debated [Shindell et al., 2003; Bengtsson et al., 2006]. Indirectly, 50 solar variations may have also contributed to decadal time scale variability in sea surface 51 temperatures (SSTs) [White et al., 2003]. In purely energetic terms, solar cycle variations 52 are not significant, since for the 11-year solar cycle for example, the total solar irradiance 53 varies by only 0.08%. By the Stefan-Boltzman law, this can change the global temperature 54 by only 0.06K, which is too small to be detected. Therefore, if there is a solar impact on 55 climate, then there must exist a process, or processes, which enhance the solar cycle or 56 which is dependent on a part of the electromagnetic spectrum where the solar variation is 57 larger. The suggestion of *Haigh* [1994, 1996], and supported by later calculations of e.g. 58 Shindell et al. [1999], is that stratospheric ozone could provide the important solar link to 59 the tropospheric circulation by a modulation of the Brewer-Dobson circulation. Kodera 60 and Kuroda [2002], Matthes et al. [2004, 2006] and Haigh and Blackburn [2006] have also 61 demonstrated a link between the stratosphere and the troposphere by a solar modulation 62 of the polar night jet and the Brewer-Dobson circulation. The ocean response in sea 63 surface temperature to solar variations can be another factor providing an amplifying link for the solar influence on the tropospheric circulation [Meehl et al. 2003]. 65

Observations show a clear 11-year solar cycle in stratospheric ozone, both in the column [Zerefos et al., 1997] and in its vertical distribution [Soukharev and Hood, 2006 and

references therein; Randel and Wu, 2007; Tourpali et al., 2007]. However, although model 68 simulations have generally been able to simulate the response in the column amount 69 reasonably accurately [Zerefos et al., 1997], the vertical ozone profile has been in poor 70 agreement with observations. For example, in low latitudes where the solar signal can 71 be reasonably well established, the observations have a double maximum with near zero 72 solar response near 10-20 hPa. In contrast model simulations both in two and three di-73 mensions typically have simulated a response which increases with altitude and peaks 74 near 10 hPa [Shindell et al., 1999; Soukharev and Hood, 2006, especially their Figure 75 14.]. Related differences between model simulations and observations also occur in the 76 temperature response because of the radiative impact of ozone. Despite improvements 77 in models, including the use of 3-D coupled chemistry climate models [e.g. Labitzke et 78 al., 2002; Tourpali et al., 2003; Rozanov et al., 2005b] these differences have tended to 79 persist. All the aforementioned studies have completed two simulations by imposing fixed 80 phase solar fluxes for solar maximum and solar minimum. In principle this procedure 81 provided the largest atmospheric signal for the least computational cost. The results pre-82 viously obtained therefore suggest either that the full solar cycle needs to be represented, 83 or that there are missing processes in many of the simulations completed. For example, 84 Callis et al. [2001] suggested that energetic electron precipitation generates  $NO_x$  in the 85 upper mesosphere which then propagates to lower levels. Observations confirm this [e.g. 86 *Rinsland et al.*, 2005] while the descent to lower levels is particularly rapid during strato-87 spheric warmings [e.g. Manney et al., 2008]. This process is generally restricted to high 88 geomagnetic latitudes rather than low latitudes where a major model deficiency is noted. 89 Langematz et al. [2005] were able to explain the middle stratospheric minimum by ener-90

<sup>91</sup> getic electron precipitation, but these calculations are not supported by a more realistic <sup>92</sup> description of the odd nitrogen source [*Rozanov et al.*, 2005a]. Also, the observational <sup>93</sup> basis for the additional  $NO_x$  in the tropics is poor, with for example *Langematz et al.* <sup>94</sup> simulating an amount about 3 times larger than observed [*Hood and Soukharev*, 2006].

There are now some indications that the relatively poor model performance may have 95 been resolved, if not understood. In recent simulations using coupled chemistry climate 96 models, Rozanov et al. [2005c], Austin et al. [2007a] and Marsh et al. [2007] have been 97 able to generate the observed minimum response in tropical ozone in the region 10-20 98 hPa assuming observed monthly varying forcings of SSTs and variations in solar flux on a 99 monthly or daily frequency. In contrast, the ozone minimum response did not appear in 100 simulations of the same models but with fixed phase forcing and climatological SSTs. For 101 reasons that are not clear, two additional sets of simulations [Schmidt and Brasseur, 2006; 102 T. Nagashima, personal communication, 2007] now reproduce the observed ozone solar 103 signal with fixed phase solar forcing in contrast to all other similar simulations known 104 to the current authors, as presented for example in World Meteorological Organization 105 (WMO) [2007, Chapter 3], taken from Soukharev and Hood [2007]. In addition, the 106 simulations of Schmidt and Brasseur [2006] used climatological SSTs. 107

Most of the above models were used in the quadrennial ozone assessment [*WMO*, 2007, Chapters 5 and 6]. Simulations of the different models were completed typically for the period 1960 to about 2000 or beyond with observed forcings, including observed SSTs and in some cases observed tropical winds. Most models also completed simulations for the future atmosphere. This work analyses the model runs of the past for the solar cycle and attempts to establish whether consistently improved model results are now obtained,

as well as the possible reasons for this improvement. All the simulations include some or 114 all of a number of processes affecting temperature and ozone, and to separate the various 115 influences we employ multilinear regression as in the analysis of observations, particularly 116 Soukharev and Hood [2006]. The current work continues the analysis of Eyring et al. 117 [2006] which presented the model simulations and compared the results with observations 118 for the basic atmospheric quantities temperature, ozone and other minor constituents. In 119 addition we present a new analysis of the solar response in total column ozone prior to 120 and during the satellite era from 1979 onwards. 121

# 2. Description of the 3-D models and simulations included

## 2.1. General description of transient runs

The main model simulations included are denoted REF1 by Eyring et al. [2006], and 122 are transient simulations for the period 1950 to 2004 or a subset thereof. All simulations 123 are from fully coupled chemistry climate models extending to at least 0.1 hPa, although 124 there are variations in the horizontal resolution and height domain, and details of the 125 chemistry schemes used. As well as some of the basic model information, which also ap-126 pears in Eyring et al. [2006], Tables 1 and 2 include additional information which could 127 be of particular relevance to the solar cycle, such as an indication of the resolution of 128 the radiation scheme, as given by the number of bands in the UV and visible. Of the 129 simulations included, four model simulations (CMAM, GEOSCCM, LMDZrepro, UM-130 SLIMCAT) did not include solar variations in the radiative fluxes. These simulations are 131 included to provide contrasting results which in some respects might be interpreted as 132 controls for the remaining simulations. Five models (AMTRAC, CMAM, GEOSCCM, 133 LMDZrepro and WACCM) also did not include the quasi-biennial oscillation (QBO) in 134

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any form whatsoever, whereas the other models included a QBO either occurring natu-135 rally (MRI, UMETRAC, UMSLIMCAT) or with the tropical winds externally imposed 136 in some form (CCSRNIES, MAECHAM4CHEM, SOCOL). The model simulations varied 137 between single runs of 20 years and 3 runs of 54 years. Three models (AMTRAC, MRI 138 and WACCM) were run as ensembles of 3, 5, and 3 members respectively to reduce the 139 uncertainty in the derived model ozone signal. This permitted investigation into the sen-140 sitivity of the results to the analysis period. Only one of the models (WACCM) included 141 the effects of upper atmosphere particle precipitation, and therefore in most cases the 142 additional solar influence of  $NO_x$  suggested by Callis et al. [2001] is excluded. The simu-143 lations of MRI that are analyzed here, are primarily the new ensemble results with version 144 2, which included solar cycle changes in both the radiative heating and model photolysis 145 rates. Some comparisons are made also with results from the model with version 1, which 146 was a single simulation which appeared in WMO Chapter 6 [2007] and which included 147 the solar forcing only in the radiative heating. Comparisons are also made with a new 148 version of AMTRAC. This is a single simulation and in the results here is denoted AM-149 TRAC4. The model has undergone many improvements since WMO [2007]. The model 150 ozone family scheme has been extended to the mesosphere and the convection scheme 151 has been changed leading to higher and more realistic tropopause temperatures. Also, 152 the chlorine parameterization has been adjusted leading to improved values in low and 153 middle latitudes. 154

## 2.2. Solar forcing in the transient runs

<sup>155</sup> Solar variability is forced explicitly in the models through changes in the radiative <sup>156</sup> heating and photolysis rates. Details are included in the individual model descriptions (references cited in Table 1) and also in *Eyring et al.* [2006]. Solar variability could also arise implicitly due to changes in the observed SSTs used as lower boundary forcing if those SSTs happened to be correlated with the solar cycle. Similarly, for those models which imposed a tropical wind, a solar response might arise implicitly if those winds are correlated with the solar forcing.

#### <sup>162</sup> 2.2.1. Photolysis rates

For most models, the photolysis rates are parameterized in terms of the monthly av-163 eraged 10.7 cm solar flux, although WACCM uses daily values. Most models represent 164 photolysis rates with a look up table with base values calculated using a high resolution 165 spectral model, typically 150 bands in the visible and ultraviolet (UV). An important 166 term for the mesosphere and upper stratosphere is the inclusion of the Lyman- $\alpha$  band 167 centered on 121.6 nm for the calculation of the photolysis rates. For this band, the solar 168 fluxes typically increase by over 50% from solar minimum to solar maximum which can 169 significantly influence the concentrations of  $CH_4$  and  $H_2O$  [Brasseur and Solomon, 1987]. 170 However, this is likely to have only a small impact on the results in the lower and middle 171 stratosphere. The variation of the order of 10% in the Schumann-Runge and Herzberg 172 regions also has a direct impact on ozone production in the middle atmosphere. 173

# <sup>174</sup> 2.2.2. Radiative heating

The photolysis rate changes caused by the solar irradiance variability can be reasonably well captured by the participating CCMs. However, it is less the case for the heating rates, because all the models use radiation codes from the core GCM, which were designed to attain the highest computational speed and in most cases no particular attention was paid to the solar variability effects. The ozone absorption in the spectral area 250-700 nm is

responsible for about 90% of the heating rates in the stratosphere [Strobel, 1978]. How-180 ever, because the solar irradiance variability changes are more pronounced for the shorter 181 wavelengths [Krivova et al., 2006], the direct radiative effects of the solar variability are 182 formed in the stratosphere primarily by ozone absorption in the Herzberg continuum 183 and in the mesosphere by the oxygen absorption in the Lyman- $\alpha$  line and Schumann-184 Runge bands. Therefore, the solar radiation code of CMAM, LMDZrepro and ECHAM4 185 (core GCM for MAECHAM4CHEM and SOCOL), which takes into account only ozone 186 absorption in the 250-700 nm spectral interval, is fast and reasonably accurate, but its 187 application for solar variability studies could lead to substantial underestimation of the 188 direct radiative heating due to solar irradiance variability. This weakness has been con-189 firmed by Equation *Equation* and a parameterization has been added to the standard 190 SOCOL radiation code to take into account the extra heating by ozone and oxygen due 191 to solar irradiance variability. This deficiency in the ECHAM4/5 solar radiation code has 192 also been illustrated by Nissen et al. [2007]. The solar radiation code of the UM (core 193 GCM for UMETRAC and UMSLIMCAT CCMs) takes into account ozone absorption in 194 the 200-690 nm spectral region. UMETRAC uses a more up to date code with more 195 bands than UMSLIMCAT, but in both models some underestimation of the direct radia-196 tive heating response is expected only in the mesosphere due to the absence of oxygen 197 absorption. The same is true for GEOSCCM, MRI and CCSRNIES models, which are 198 able to treat the ozone absorption with the same spectral coverage. The more complex 199 solar radiation code of AMTRAC takes into account the ozone and oxygen absorption 200 in the 170-700 nm spectral region, and therefore the performance of this code should be 201 better in the mesosphere. Of the models used here, WACCM has the most sophisticated 202

<sup>203</sup> solar radiation code, and the heating rates above the stratopause are derived from the
<sup>204</sup> photolysis rates calculated with high spectral resolution and wide spectral coverage. The
<sup>205</sup> latter approach (also implemented in the HAMMONIA CCM, *Schmidt et al.*, [2006]) can
<sup>206</sup> be recommended for future experiments aimed at the study of the solar irradiance effects.
<sup>207</sup> However, several technical issues need to be resolved before implementing this approach
<sup>208</sup> in operational models.

#### 3. Regression models

For the zonally averaged ozone and temperature data as a function of pressure and latitude the following regression equation was assumed:

$$M(t) = \mu_i + a_0 + a_1 t + a_2 u_{30} + a_3 u'_{30} + a_4 F_{10.7} + a_5 A + \epsilon(t)$$
(1)

where M(t) is the model quantity averaged for each season of the simulation, t is time 211 in seasons, and  $\mu_j$  is the seasonal average over all the years of the analysis, for the jth 212 season.  $u_{30}$  is the equatorial wind at 30 hPa,  $F_{10.7}$  is the 10.7 cm solar flux, and A is the 213 aerosol surface area at 60 hPa at the Equator estimated from the optical depth [Thomason 214 and Poole, 1997]. The term  $u'_{30}$  has been constructed normal to  $u_{30}$  by copying  $u_{30}$  and 215 shifting it in one day increments, using linear interpolation to derive values at sub-month 216 resolution, until the time integral of  $u'_{30}u_{30}$  was zero. The two wind fields have been 217 normalized to an amplitude of 1 which then allows a phase lag between the dependent 218 variable and the wind to be taken into consideration. A similar out of phase term was 219 also included for the solar flux in earlier calculations but this led to steep phase gradients 220 in the lower stratosphere in some cases, where the solar signal was small compared with 221

<sup>222</sup> the uncertainty. For simplicity therefore the solar phase lag is neglected, as indeed it is <sup>223</sup> in the ozone analysis of *Soukharev and Hood* [2006]. The dependent variable is treated <sup>224</sup> as first order autoregressive, AR(1), using the method of *Tiao et al.* [1990], so that the <sup>225</sup> residual term  $\epsilon(t)$  is taken to be of the form

$$\epsilon(t) = b\epsilon(t-1) + w(t) \tag{2}$$

where *b* is a constant and w(t) is expected to be a white noise function. Equation 1 was solved for the coefficients  $a_i$  using the least squares algorithm developed for the NAG library [*NAG*, 1999]. The  $\mu_j$  terms contain the seasonal variation and the  $a_i$  coefficients represent the secular variations which are discussed in this paper. The same regression model, given by Equations 1 and 2 was also used for the total column ozone discussed in Section 4.4 and 5.

The regression model is very similar to that of Soukharev and Hood [2006], but the main 232 difference is that here we use 10.7 cm flux as the independent solar forcing term, as the 233 photolysis rates in the models themselves were driven by these flux values. By contrast, 234 Soukharev and Hood used the magnesium index for their solar forcing term. Since not 235 all models have a tropical oscillation, the QBO cannot play a role in some of the simula-236 tions. Other variations in tropical dynamics may be contributing, and this is reflected by 237 including  $u_{30}$  and  $u'_{30}$  as independent variables. By basing the regression model on that 238 of Soukharev and Hood, the model results can be directly compared with their observa-239 tional analysis. The regression equation includes a trend term  $a_1$ . In principle this could 240 represent changes due to all non-solar and non-aerosol photochemical processes including 241

indirect processes arising from stratospheric cooling, but in practice chlorine change is 242 the dominant process influencing  $a_1$ . Clearly, the regression could be reformulated to 243 add explicitly a chlorine term, but the results could not then be compared directly with 244 Soukharev and Hood. Results for one of the models (AMTRAC) were also recomputed 245 with a halogen term replacing the trend term and this was found to have a negligible effect 246 on the solar coefficient  $a_4$ . The aerosol term is included at all levels, but does not have 247 a significant influence on the solar coefficient. A time lag is not included in the aerosol 248 term even though its effects may take time to influence ozone and temperature. Much 249 comment has been made in the literature and elsewhere concerning the aliasing between 250 the solar and aerosol terms. However, in this work removing the aerosol term entirely had 251 only a small impact on the solar coefficients because there hasn't been a major eruption 252 for the whole of the last solar cycle. Aliasing between the solar and other independent 253 variables is generally of concern and in particular we comment later on the impact of  $u_{30}$ 254 which is a proxy for the QBO. Also, in Section 4.3, we consider the impact of an SST term 255 in Equation 1. An SST-term is also included in a regression expression by *Steinbrecht et* 256 al. [2006] who focus on MAECHAM4CHEM and observations and consider also terms 257 related to the strengths of the polar vortices. 258

All models include sea surface temperature variations, which contribute to ozone variations indirectly via transport. However, the results obtained here were not generally found to be sensitive to the sea surface temperatures, except those models which started before 1980 — see Section 4.3. Hence it is not included in the regression equation. This also ensures consistency between the analysis of total column ozone, ozone vertical variability and the observations of *Soukharev and Hood* [2006]. A similar analysis has been performed for the zonally averaged total ozone time series derived from observations available for the period 1964 - 2006 [*WMO*, *Chapter 3*, 2007; V. Fioletov, personal communication, 2006].

#### 4. Results — Ozone

#### 4.1. Latitude and pressure variation of the ozone solar cycle

The latitude and pressure variation in the annually averaged model solar responses, 268  $4a_4/(\mu_1 + \mu_2 + \mu_3 + \mu_4)$ , for those models with explicit solar forcing is shown in Figure 269 1. The response is typically 1-2% in each model and a statistically significant response 270 occurs in most models over a limited region above about 10 hPa. However, each model has 271 a different signal, due amongst other things to model interannual variability. Large dif-272 ferences also occurred between the individual ensemble simulations of AMTRAC, MRIV2 273 and WACCM, but only the ensemble means are shown. Results from MRIV1 appeared 274 in WMO, Chapters 5 and 6 [2007] and may be compared with results from MRIV2. In 275 the former, solar cycle variations are included only in the radiative heating rates, whereas 276 in the latter, the model photolysis rates also have a solar cycle. In the photochemically 277 controlled region in the upper stratosphere, MRIV1 exhibits only a slight ozone solar 278 cycle response as the simulations does not include the photochemical response. In the 279 dynamically controlled region in the lower stratosphere, MRIV1 and MRIV2 give similar 280 results due to the relative unimportance of photochemistry. AMTRAC4 is an additional 281 simulation which is an improved version of AMTRAC, with a mesospheric ozone scheme, 282 an improved gravity wave drag parameterization, and improved parameterization of  $Cl_y$ 283 production rates. In high latitudes, the model results are less consistent with each other, 284 although the uncertainty in the derived solar cycle is quite large even in the mean of the 285

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ensemble runs. In the tropical lower stratosphere, models have a distinct minimum in solar response, as analyzed in more detail in the next subsection.

The results of Figure 1 may be contrasted with those obtained for the models without 288 explicit solar forcing (Figure 2). As would be anticipated, these models do not gener-289 ally imply a solar signal. A coherent signal is absent in all the models, except in the 290 LMDZ repro results, which imply a possible statistically significant signal in the Antarctic 291 lower stratosphere. In this region, no other model gives a response to the solar cycle of 292 such a large magnitude. Because of several biases, Antarctic polar ozone in LMDZrepro 293 is anomalously sensitive to the volcano-driven variations of aerosol loading. The sulfuric 294 acid aerosol fields used in their simulations were taken from microphysical simulations 295 using a global 2D chemistry/aerosol model using its own winds and temperatures. This 296 procedure tends to overestimate the amount of sulfuric acid particles present at high lat-297 itudes during winter and spring because of the absence of a polar vortex barrier in the 298 2D model. The other problem in the LMDZ repro simulations is the negative temperature 200 bias in the Antarctic lower stratosphere and hence the vertical, horizontal and temporal 300 extent of PSCs is also anomalously large. Finally, in the LMDZ repro PSC scheme, the 301 amount of chemical processing depends on the aerosol loading. These effects combine to 302 make Antarctic polar ozone sensitive to the variations in aerosol loading and any aliasing 303 between the aerosol and the solar cycle can be misinterpreted as a solar signal. 304

The mean of all the model results is shown in Figure 3, broken down into those with solar forcing and those without. For the runs with solar forcing, a much more coherent vertical structure is present compared with the individual models, giving rise to a small latitudinal variation. In the tropics, ozone has a minimum response near 20 hPa. A <sup>309</sup> minimum also occurs at other latitudes, but at a lower level. For the model simulations <sup>310</sup> without explicit solar forcing, the mean solar response is about 0.5% per 100 units of <sup>311</sup>  $F_{10.7}$  but with a typical uncertainty of about twice as much. The apparent solar response <sup>312</sup> increases in the tropical lower stratosphere as in the solar forced simulations, although <sup>313</sup> the response is not statistically significant.

#### 4.2. Low latitude average

As indicated in Figures 1-3, most of the model simulations have a minimum in ozone 314 solar response near 20 hPa. This feature has appeared in observations at a slightly higher 315 level, about 10 hPa, but has proved difficult for models to simulate, e.g. Soukharev and 316 Hood [2006]. The difference between observations and models for the altitude of the 317 minimum is probably not statistically significant bearing in mind the large uncertainties 318 in determining the solar response. The monthly model results were first averaged over 319 the latitude range 25°S to 25°N and the regression equations were then recomputed. The 320 results are shown in Figure 4, together with satellite observations. Comparisons with 321 observations are discussed in Section 5. 322

For those models which have explicit forcing (Figure 4, upper panels), the results are 323 generally in agreement with each other, bearing in mind the large uncertainties of typically 324 1%/100 units  $F_{10.7}$ . The models indicate a clear minimum in solar response near 20 325 hPa. Those models without explicit solar forcing (Figure 4, lower left) have dramatically 326 different results, with none of the models having a response significantly different from 32 zero at any level. Some indirect solar response might have been present, if the lower 328 atmosphere forcing were significant and driven by solar forcing of the observed SSTs, but 329 in general for these models that does not appear to be the case, although see Section 330

4.3. The simple mean of all the model simulations which had a solar forcing, is shown in Figure 4 (lower, right). The model and observation error bars overlap throughout the domain.

It has been suggested that the QBO is important in determining the low latitude ozone 334 solar response either by affecting the signal directly [McCormack, 2003; McCormack et 335 al., 2007], or due to a statistical interference in the signal [Lee and Smith, 2003]. Of those 336 models which explicitly included solar forcing, most models also included some form of 337 QBO, either internally generated or forced (see Table 2). In comparison, there were two 338 models (AMTRAC and WACCM) which had explicit solar forcing but did not include 339 any type of QBO. Examination of Figure 4 indicates that for the simulations presented in 340 this work, there was no clear difference between those simulations including a QBO and 341 those without one. 342

For those runs which completed ensembles, the uncertainties are smaller than the other 343 models and the minimum feature near 20 hPa in all the models is statistically more distinct 344 from the maxima which occur higher and lower in the atmosphere (Figure 4, upper left). 345 Both WACCM and AMTRAC are in agreement with each other throughout the pressure 346 range up to about 1 hPa. Comparisons with the results of the improved AMTRAC run 347 (not shown) indicate that the oversimplifications in the AMTRAC mesospheric chemistry 348 scheme has contributed to most of the differences above 1 hPa. MRI results are quali-349 tatively similar to the other two models, but the absolute values are about 50% larger. 350 which is in better agreement with observations in the upper and lower stratosphere. 351

<sup>352</sup> Owing to the large volume of data from the ensemble runs of AMTRAC and WACCM, <sup>353</sup> it is possible to compare the solar cycle for different periods and the results have been split

into 1960-1981 and 1982-2003 for each model, covering six solar cycles in total in each 354 period from three runs. All the other models which imposed a solar forcing were integrated 355 from 1980 onwards. Results for the separate periods were also calculated for CMAM. 356 Above 10 hPa, the results are not dependent on the time period in any of the models 357 (Figure 5), but in the lower stratosphere the solar signal changed substantially. Although 358 there are differences between AMTRAC and WACCM regarding the lower stratospheric 359 minimum feature, both models show a strong negative response in the lower stratosphere 360 for the period 1960-1981, compared with a strong positive response from about 1982. 361 Further, both models agree better with observations using the results of the later period 362 rather than the earlier period, particularly in the lower stratosphere (see Section 5). 363 Despite the absence of a solar cycle in the CMAM forcing, the CMAM results also have 36 similar features, albeit not statistically significant, of a negative response for the early 365 period and a positive response for the later period when projected on to the solar forcing. 366 In comparison, in the middle and upper stratosphere, the CMAM solar response is less 367 than 0.5% for all the periods considered. 368

#### 4.3. Sea surface temperature impact on the derived solar sensitivity

SSTs influence tropospheric dynamics which in turn affect the ozone amount by vertical transport. In the above formulation of the regression equation, this has been neglected. To consider the SST effect, the regression calculation was repeated after adding an additional independent variable, which is the tropical mean SST, seasonally adjusted and averaged over the latitude range 22S to 22N. The SSTs were lagged by 18 months to allow the tropospheric processes to influence the results at the 30 hPa pressure level, as indicated by the mean model age of air at that location. Figure 6 shows the recomputed solar <sup>376</sup> sensitivity for AMTRAC, WACCM and CMAM (compare Figure 5). The results were <sup>377</sup> found not to be critically dependent on the time lag assumed.

For the period as a whole, 1960-2003, or for 1982-2003, the results have not changed significantly in any of the models at any level in the atmosphere. However, for the period 1960-1981, the results have changed substantially. Indeed, for AMTRAC there is now no significant sensitivity to the period analyzed, at any level. WACCM and CMAM still indicate some sensitivity to the period, although this is somewhat reduced compared with Figure 5 and in any case is similar to the likely uncertainty.

Further analysis shows that for the period 1960-1981 there was a higher correlation 384 between  $F_{10.7}$  and SSTs (correlation coefficient 0.38) than either the whole period 1960-385 2003 (correlation coefficient 0.28), or the period 1982-2003 (correlation coefficient -0.11). 386 Therefore it would appear that the marked difference in solar sensitivities in the different 387 periods is largely due to an aliasing effect between the solar and SST terms. The model 388 results in this paper are mostly from 1980 onwards, and so the aliasing effect would 380 generally be small. In the case of CMAM, the solar cycle is not explicitly included 390 and hence the derived solar response appears as a false solar signal due to the aliasing, 39: especially for the period 1960-1981. 392

#### 4.4. The solar cycle in total ozone

Figure 7 shows the time series of the globally averaged total ozone for the model simulations, after removing the non-solar terms. For those models which had explicit solar forcing (top and middle panels of Figure 7), a well defined solar cycle is present. For those models which completed several realizations (Figure 7, upper panel) the solar variability is clearest, especially for AMTRAC and WACCM. In comparison, for those models which <sup>398</sup> completed a single realization (Figure 7, middle panel) the deviations from the solar cycle <sup>399</sup> are typically larger. Note that for the first few years of the AMTRAC simulation, the <sup>400</sup> total ozone was still evolving rapidly away from the initial conditions and the connection <sup>401</sup> with the solar cycle was not clear. The differences between AMTRAC and WACCM in <sup>402</sup> the early part of the record may also be related to the different aerosol distributions used, <sup>403</sup> as this would tend to have more impact in the lower stratosphere.

For the models with no explicit solar forcing, the total ozone deviation time series (Figure 7, lower panel) are very similar in all four models. The results show no clear solar signal, although all the models reveal an oscillation with a 30 year period.

# 5. Comparison between model results and measurements for the ozone solar cycle

The most comprehensive database of ozone measurements, supplying both column 407 amounts and vertical distribution, is provided by satellite data (Solar Backscattered Ul-408 traviolet (SBUV); Stratospheric Aerosol and Gas Experiment (SAGE) and the Halogen 409 Occultation Experiment (HALOE)) which have been investigated in detail for solar ef-410 fects by Soukharev and Hood [2006] and references therein, see also Randel and Wu [2007]. 411 Total ozone from ground-based observations are also considered, updated from *Fioletov* 412 et al. [2002], and supplied courtesy of V. Fioletov. Here the modeled vertical ozone 413 solar sensitivity is compared with the satellite data, and the total column sensitivity is 414 compared with the ground-based observations. 415

#### 5.1. Solar sensitivity of the ozone vertical variation

As indicated by *Soukharev and Hood* [2006] the satellite data have large uncertainties locally, especially in high latitudes. Hence in this section we consider only the low latitudes

#### X - 20

and reduce the random error further by averaging over the latitude range 25°S to 25°N. 418 Also shown in Figure 4 is the observed ozone response, taken from the satellite data 419 presented by Soukharev and Hood [2006]. To obtain these values, the mean response was 420 determined for the three individual satellite instruments without regard to the period of 421 the analysis. On account of the different vertical resolutions in the satellite instruments, 422 the lower stratospheric minimum has become spread over a larger range of altitudes than 423 in the individual instruments, but this is accommodated in the uncertainty ranges shown. 424 Also, because of the different periods used to form the observational signal, the mean 425 profile should be considered only representative but a more rigorous analysis is beyond the 426 scope of the current work. As noted in Section 4.2, the models with solar forcing generally 427 agree well with the observations throughout most of the pressure range indicated. The 428 model results are strictly zonal average values, which is an average over local time, whereas 429 the observations are typically made at fixed local times. Therefore, in the mesosphere, 430 where the diurnal variation of ozone is large, some of the differences between model results 431 and observations may have arisen from a diurnal variation in the actual solar response. 432

#### 5.2. Solar sensitivity of the total ozone column

The solar response in total ozone is shown in Figure 8 for the ground-based observations from 1964 onwards, and the full temporal range in each of the model simulations. The results shown here are similar to the ground based results shown by *Randel and Wu* [2007], although they show their results in DU rather than %. Also, the use of different proxies in the regression analysis as well as different periods lead to some differences in the solar signal obtained. More importantly, *Randel and Wu* indicate that the solar signal <sup>439</sup> obtained from satellite data is much higher than from the ground-based data, although
the difference is in most cases not statistically significant.

In both observations and model simulations, the solar response is about 1-2% of the 441 annual mean per 100 units of the  $F_{10.7}$  flux. In the tropics, where the errors are smallest, 442 the response is statistically significant for most of the models (error bars not shown) and 443 the average model response is well within the 95% confidence range of the observations. 444 Away from the tropics the errors are larger and there are large differences between the 445 models, especially in the Southern Hemisphere polewards of 60°S. As in the case of the 446 vertical ozone response, this may be attributed to the interannual variability of the dif-447 ferent models. Similar results were also found for those models which ran ensembles, 448 and there is less spread between the individual model results. For both AMTRAC and 449 WACCM the solar response closely followed the observations, especially in middle and low 450 latitudes. In the polar regions the MRI ensemble mean results diverged from the other 451 ensemble results although all the uncertainties are large in high latitudes. 452

<sup>453</sup> Of the models without explicit solar forcing the solar response was close to zero, except <sup>454</sup> in the polar regions. Over the Arctic, the uncertainties were generally large but the model <sup>455</sup> solar responses were not significantly different from zero. Over Antarctica LMDZrepro <sup>456</sup> showed a statistically significant response reflecting the aliasing to the aerosol term dis-<sup>457</sup> cussed in Section 4.1.

#### 6. Results — Temperature

The simulated latitude and pressure variations of the solar response for all the models are shown in Figures 9 and 10, arranged according to simulation attributes as for the ozone plots, Figures 1 and 2. As in the case of the ozone simulations, the signal in those

models without explicit solar forcing was generally negligible (Figure 10). The exceptions 461 to this may have been due to the short length of the simulations, or possibly some aliasing with the ozone hole development as was suggested in the case of LMDZ repro for ozone 463 (compare Figure 10 with Figure 2). As in the case of ozone, the temperature response 464 in the individual models with solar forcing (Figure 9) differs in detail, but many of the 465 model analyses suffer from the short integration time (only two cycles in most cases). 466 For those models which completed ensemble runs, the solar response varied between the 467 individual members but this was not statistically significant. Single simulations of 4 or 5 468 solar cycles are not sufficient to establish a reliable solar signal. For the ensemble runs the 469 domain over which the solar signal is statistically significant is in the upper stratosphere. 470 The peak temperature response is similar in WACCM and AMTRAC, but much larger in 47 MRI, consistent with the ozone differences. Comparison between MRI version 1 (MRIV1) 472 and version 2 (MRIV2) indicates the impact of the photolysis rates which increased the 473 solar temperature response especially in the polar upper stratosphere. The temperature 474 solar cycle response of MRIV1 in the tropics is very similar to that due to UV heating 475 alone under the fixed dynamical heating assumption [Shibata and Kodera, 2005]. 476

The mean of the model results is shown in Figure 11 separated into those simulations which included a solar cycle and those which did not. As in the case of ozone, for the solar forced runs, the mean response was approximately independent of latitude from 60°S to 60°N in the middle and upper stratosphere. In the tropics a double peak structure is present, although the lower stratospheric maximum is not statistically significant. There were fewer simulations which did not have a solar cycle and therefore the uncertainty of the model mean is larger by about a factor of two (Figure 11, bottom right). The derived solar response is substantially smaller than for the solar forced runs, and is nowhere
statistically significant.

The results for the low latitude average are shown in Figure 12. Given typical uncer-486 tainty ranges (2 $\sigma$ ) of  $\pm$  0.2 K/100 units  $F_{10.7}$ , the results are generally in agreement with 487 each other throughout the pressure range. In addition, those models without explicit solar 488 forcing (Figure 12, lower left) are consistent with zero temperature solar response. The 489 results of Figure 12 are also similar to the ozone response shown in Figure 4, with a double 490 maximum feature, although it is weaker than in ozone and not statistically significant. 491 This is discussed further in Section 8. In Figure 12, observed values derived from the 492 data of *Scaife et al.* [2000] are indicated by the dotted black line. In the model mean 493 (Figure 12, lower right), the model results agree with observations taking account of the 494 uncertainties in model and observations, although the model results are typically at the 495 lower end of the observed range. 496

Although temperature measurements have a longer history than ozone measurements, 497 to obtain an accurate solar signal requires very careful analysis of data that have been 498 specially processed to eliminate data discontinuities due to the change in observing sys-499 tems. The only consistent data source throughout the stratosphere are specially processed 500 data from the Stratospheric Sounding Unit (SSU) and Microwave Sounding Unit (MSU). 501 Data that have undergone suitable screening have been presented by *Scaife et al.* [2000] as 502 well as *Randel et al.* [personal communication, 2007]. Recently, the SSU data have been 503 further corrected for the overall trend in  $CO_2$  amounts [Shine et al., 2007]. Although this 504 affects the temperature trend determined from the SSU data, we here assume that the so-505 lar signal has not been significantly affected. Other analyses using data assimilations [e.g. 506

Crooks and Gray, 2005] are not clearly superior as they would also not have taken into 507 account the recent corrections of Shine et al. [2007]. Data in the very low stratosphere 508 are also available from radiosondes which have also been suitably screened for accuracy 509 [Randel et al., personal communication 2007]. The satellite data have a broad maximum 510 in solar response peaking at the equator in the middle to upper stratosphere. A slight 511 reduction in temperature solar response is suggested in the radiosonde data near 20 hPa, 512 which also appears in the assimilated data presented by *Crooks and Gray* [2005]. The 513 satellite data have too low a vertical resolution to reveal this feature which in any case is 514 not statistically significant in the analysis of *Randel et al.* [personal communication 2007]. 515

#### 7. The diagnosis of lower stratospheric transport

Direct measures of transport in coupled chemistry climate models are difficult to obtain. 516 Here, we analyze briefly water vapor and age of air for solar cycle signals. Above the 517 hygropause, water vapor is an approximately conserved tracer. The vertical gradient is 518 positive with height due to methane oxidation which has a longer timescale than the 519 advective time scale. A reduction during high solar flux implies enhanced upward motion 520 at this time due to the transport of lower values from below. Some change in water at the 521 hygropause is also expected from freeze drying. Assuming that processes are reasonably 522 linear, the solar cycle response in temperature at the hygropause (at about 70 hPa) is 523 positive and about 0.2K in most models. This should give rise to a positive water vapor 524 solar response, assuming no change in transport, of about 3% due to an increase in the 525 saturated vapor pressure. 526

Age of air is a time integrated quantity which in AMTRAC was shown to be inversely related to the tropical upwelling [Austin and Li, 2006] over multi-decadal time scales. <sup>529</sup> However, in AMTRAC the tropical upwelling did not display a solar cycle dependence <sup>530</sup> [Austin et al., 2007a]. Assuming a fixed tropical pipe for entry into the stratosphere, the <sup>531</sup> difference in the age of air between mid-latitudes and the tropics should also be inversely <sup>532</sup> proportional to vertical velocity [Neu and Plumb, 1999]. While it cannot be shown here <sup>533</sup> that age of air and vertical velocity are strictly in inverse proportion to each other, Neu <sup>534</sup> and Plumb [1999] and Austin and Li [2006] show that the two quantities are clearly closely <sup>535</sup> related.

Concentrating on the low latitude region where the solar cycle in many quantities is 536 more robust, Figure 13 shows the model results for water vapor. For those models without 537 explicit solar forcing, the water vapor signal is not statistically significant (Figure 13, 538 right). For the models with explicit solar forcing (Figure 13, left), the derived water 539 vapor signal is much larger. Although there is no consensus between the models in the 540 overall change in water vapor amounts, after allowance for the change in temperature 541 at the hygropause indicated above, most of the results would imply a decrease in water 542 vapor. Hence by the arguments above an effective increase in the upwelling is simulated 543 for higher solar fluxes. 544

Of the models included here, only a small number diagnosed age and the results for the low latitudes are shown in Figure 14. The LMDZrepro model simulated a larger signal than the other models but because of the short integration time the uncertainties are large and the results are not statistically significant. The other two models without explicit solar forcing revealed a small response in the age of air, similar to the other diagnostics previously presented. Of those models which included a solar cycle, two indicated a correlation between the solar cycle and age, while the other showed an anticorrelation. For the ensemble averages shown in Figure 14 a typical solar response is 1% per 100 units of  $F_{10.7}$ , and this is just statistically significant at most levels above 50 hPa. Although the age of air results for UMETRAC are not statistically significant, they are consistent with the water vapor results in Figure 13.

As with a number of diagnostics presented in this paper, although the individual ensemble members often vary substantially in their solar response, the AMTRAC and WACCM ensemble means agree well with each other. The increase in the age of air in these models for high solar flux appears to be inconsistent with the water vapor results (after correcting for the temperature effect) and imply decreased upward motion during high solar flux.

#### 8. Discussion on the structure of the tropical ozone response

The current paper has confirmed the major progress which has taken place in simulating 561 the solar cycle in ozone. Compared with the previous situation in which model results 562 agreed poorly with observations [Soukharev and Hood, 2006], agreement is now obtained 563 within the error bars of the observations and models concerning the structure of the 564 tropical ozone solar response. Earlier work [e.g. Callis et al 2001; Langematz et al., 565 2005] has tended to explain the inability to simulate the observed minimum by including 566 an additional chemical loss due to energetic electron precipitation, although it is not 567 supported by experiments with a more realistic description of this odd nitrogen source 568 Rozanov et al [2005a]. The results obtained here and elsewhere [Kodera and Kuroda, 569 2002; Schmidt and Brasseur, 2006] now support more the idea that the structure is better 570 described as a 'double vertical peak' with the upper peak due to photolysis and the lower 571 peak due to transport. Between these two regions, neither process is particularly sensitive 572 to the solar cycle. Further, in the mean model result shown in Figure 3, the minimum 573

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<sup>574</sup> solar response broadly follows the tropopause, with a higher altitude over the tropics <sup>575</sup> and a lower altitude over the polar regions. The CMAM results (Figures 5 and 6) also <sup>576</sup> provide indirect evidence of the importance of dynamics on the lower stratospheric solar <sup>577</sup> response. For this model, a solar response occurred despite the absence of explicit solar <sup>578</sup> forcing, but this response varied according to the period. In this case, it is plausible that <sup>579</sup> this is a dynamical effect induced by the sea surface temperatures which bear a different <sup>580</sup> relationship to  $F_{10.7}$  during different periods.

The Kodera and Kuroda [2002] study used a simplified model to show that for the winter season, solar forcing should result in a decrease in the upward motion. If it is assumed that the winter season dominates the annual average, then this would give rise to the temperature and ozone effects seen in the observations and simulations. Examination of the model simulations for transport changes, though, produced ambiguous results for the limited datasets available: the model results for water vapor and age of air were not apparently consistent with each other.

The results obtained in the lower stratosphere were largely independent of whether 588 or not the QBO was present. However, in earlier results in which tropical wind was 589 not included as an independent variable, MAECHAM4/CHEM results did not show a 590 prominent lower stratospheric peak. This suggests that for short simulations the difficulty 591 of separating the QBO signal is leading more to aliasing [Lee and Smith, 2003] than a 592 direct impact. A possible resolution therefore of the apparent contrast between the results 593 here and those published [e.g. *McCormack*, 2003] is that most of the simulations are now 594 long enough for the statistical impact of the solar cycle to be separated from the QBO. 595 Nonetheless it is possible that the QBO is partially contributing to the results for a given 596

<sup>597</sup> model [e.g. *McCormack et al.*, 2007], but that this is a smaller effect than the differences <sup>598</sup> between models.

In results shown here, the ozone solar response was also found to be relatively insensitive 599 to period, once the aliasing of the SSTs with the solar cycle during the years 1960-1981 600 was considered. Aside from this complication of the correlation between the SSTs and 601 the solar cycle, by using the observed SSTs instead of climatological values the models 602 might be expected to simulate improved, stronger tropospheric wave forcing which is 603 no longer smoothed as much over time. The Brewer-Dobson circulation is then more 604 realistic, resulting in an improvement in the simulated sensitivity to the solar cycle of ozone 605 transport. This would imply the need for observed SSTs and a fully varying solar phase, 606 as have been incorporated in the model simulations here. Support for these arguments 607 comes from additional simulations of models used here [Austin et al., 2007a; Marsh et al., 608 2007] as well as the many simulations shown by Soukharev and Hood [2006] in which the 609 lower stratospheric tropical maximum response is not well reproduced with climatological 610 SSTs and fixed phase solar forcing. 611

It would be natural to conclude that the correct details of the forcings are needed to obtain the correct lower stratospheric transport, and hence to simulate the secondary lower stratospheric ozone peak response. However, recently other models have been able to simulate this feature using climatological SSTs and fixed solar forcing (maximum/minimum) [Schmidt and Brasseur, 2006; T. Nagashima, pers. comm., 2007]. A pertinent question would be whether those models produce a stronger double peak structure when the observed forcings are used.

#### 9. Summary and conclusion

Multi-decadal simulations of coupled chemistry climate models have been analyzed for 619 the presence of the solar cycle in ozone and temperature, and compared with satellite 620 measurements. The simulations are from those described in *Eyring et al.* [2006] and 621 have observed forcings (sea surface temperatures - SSTs, aerosol and solar cycle) for the 622 period 1950 to 2005, or a subset thereof, although Eyring et al. [2006] did not analyze the 623 results for the solar cycle. As a function of latitude and pressure, the derived solar signals 624 in the models were very variable from point to point and subject to large uncertainty. 625 Therefore much of the analysis concentrated on the tropical average response for which 626 smaller model and observation uncertainties could be established. In addition, several 627 models performed ensemble runs which helped to reduce further the uncertainty in the 628 solar signal. 629

The model results for ozone generally agreed with observations averaged over the lati-630 tude range  $25^{\circ}$ S to  $25^{\circ}$ N, and indicate a peak solar response of about 2% per 100 units of 631 10.7 cm radio flux. Given typical solar minimum to solar maximum change in flux of about 632 125 units, this implies a response of about 2.5% from solar minimum to maximum. The 633 results are an improvement over, for example, the compendium of model results presented 634 in Soukharev and Hood [2006]. In particular, all the models presented here which forced a 635 solar cycle reproduce a double maximum solar response in the stratosphere, and further 636 investigations were carried out to try to determine its cause. 637

Some of the model simulations had a quasi-biennial oscillation (QBO), either naturally occurring or forced from observations, but other models did not. The results obtained, <sup>640</sup> particularly regarding the presence of the tropical ozone minimum solar response were
 <sup>641</sup> largely independent of whether or not the QBO was present.

In the two longest simulations, both models were consistent with each other and the 642 results were initially found to be substantially different for the first two solar cycles (1960-643 1981) than the last two solar cycles (1982-2003). The differences in the two periods were 644 small in the middle and upper stratosphere, but in the lower stratosphere, the ozone 645 response was negative for 1960 to 1981 and positive for 1982 to 2003. Moreover, the 646 latter results were consistent with the solar sensitivity derived from satellite data over 647 approximately the same period. Further analysis showed that when an additional term 648 representing sea surface temperatures was included in the regression much of the sensi-649 tivity to period disappeared but for the results over the whole period of simulations, the 650 results did not change significantly. This suggests that aliasing between the SSTs and 651 solar flux artificially affected the results over the period 1960-1981. The analysis of total 652 ozone from the model results also revealed a solar signal which in most cases was in agree-653 ment with that derived from observations. The signal was found to be small in middle 654 latitudes, about 1.5% from solar minimum to solar maximum, and statistically significant. 655 The signal only became large in high latitudes where the uncertainty was even larger, so 656 that the signal could not be distinguished from a zero response. 657

The temperature solar response in the models was found to peak in the upper stratosphere at about 0.6 K per 100 units of 10.7 cm radio flux, slightly smaller than the observed value of 0.8K, derived from the data of *Scaife et al.*, [2000]. The temperature and ozone responses are correlated in accordance with previous modeling studies [e.g. *Labitzke et al.*, 2003, *Rozanov et al.* 2005c]. In the upper stratosphere, additional ozone leads to additional solar heating, while in the lower stratosphere reduced upward motion induces both reduced adiabatic cooling and less transport of low ozone amounts. The observed temperature solar signal is subject to large uncertainty due to the change in instrumentation over the satellite period. Also, the satellite data have low vertical resolution. It is unclear therefore whether there is a minimum in the temperature solar response in the lower or middle stratosphere, which would be needed for the hypothesis regarding transport influences, discussed in Section 8, to be confirmed.

The age of air results were ambiguous, although two of the models which completed 670 ensemble runs tend to support the Kodera and Kuroda argument of decreased upward mo-671 tion during high solar forcing. The inconsistencies between models and between different 672 transport measures need to be resolved by completing more simulations of the complete 673 cycle with a larger suite of models, including age as a diagnostic. Another possibility is 674 to investigate the model results of generalized Lagrangian mean vertical velocity or the 675 tropical upwelling. However, this has not been explored because of the large interan-676 nual variability which for example was too large in AMTRAC to detect a solar cycle in 677 tropical upwelling [Austin et al., 2007a]. Moreover the improved performance of current 678 models emphasizes the need to obtain improved observational analyses of the solar cycle 679 for accurate model validation. 680

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Model	Name	Reference
AMTRAC	Atmospheric Model with TRansport And	Austin and Wilson [2006]
	Chemistry	Austin et al. [2007a,b]
CCSRNIES	Centre for Climate System Research	Akiyoshi et al. [2004]
	National Institute for Environmental Studies	
CMAM	Canadian Middle Atmosphere Model	Beagley et al. [1997]
		de Grandpré et al. [2000]
GEOSCCM	Goddard Earth Observing System	Bloom et al. [2005]
	Chemistry Climate Model	Stolarski et al. [2006]
LMDZrepro	Model of Laboratoire de Meteorologie Dynamique-	Lott et al. [2005]
	Reactive Processes Ruling Ozone	Lefèvre et al. [1994, 1998]
MAECHAM4CHEM	Middle Atmosphere version of	Manzini et al. [2003]
	ECHAM4 with Chemistry	Steil et al. [2003]
MRI	Meteorological Research Institute	Shibata and Deushi [2005]
		Shibata et al. [2005]
SOCOL	Solar Climate Ozone Links	Egorova et al. [2005]
		Rozanov et al. [2005a,b]
UMETRAC	Unified Model with Eulerian TRansport And	Austin and Butchart [2003]
	Chemistry	Struthers et al. [2004]
UMSLIMCAT	Unified Model SLIMCAT	Tian and Chipperfield [2005]
WACCM	Whole Atmosphere Community Climate Model	Garcia et al. [2007]

 Table 1.
 Model names and references.

Model	Simulations	Solar	Energetic	QBO	# Radiation Bands and spectral
			Particles		coverage in UV/visible
AMTRAC	$3 \times 1960-2004$	Yes	No	No	14: 170-700 nm
CCSRNIES	1980-2004	Yes	No	Forced	7: 200-700 nm
CMAM	1960-2004	No	No	No	1: 250-680 nm
GEOSCCM	1960-2003	No	No	No	8: 200-700 nm
LMDZrepro	1979-1999	No	No	No	1: 250-680 nm
MAECHAM4CHEM	1980-1999	Yes	No	Forced	1: 250-680 nm
MRI	$5 \times 1980-2004$	Yes	No	Internal	8: 200-700 nm
SOCOL	1980-2004	Yes	No	Forced	1*: 250-680 nm
UMETRAC	1980-1999	Yes	No	Internal	5: 200-690 nm
UMSLIMCAT	1980-1999	No	No	Internal	2: 200-690 nm
WACCM	$3 \times 1950-2003$	Yes	Yes	No	8**: 170-700 nm

 Table 2.
 Brief description of models and simulations.

\* Includes an additional parameterization for solar effects [Egorova et al., 2004].

\*\* Includes special treatment for the shorter wavelengths [Garcia et al., 2007].



**Figure 1.** Annually averaged ozone solar cycle response (% per 100 units  $F_{10.7}$ ), as a function of latitude and pressure, for those models which explicitly included solar forcing. The contour interval is 0.5 and the shaded region indicates where the solar response is significantly different from zero at the 95% confidence level. Contours with broken lines indicate negative contour values with the zero contour drawn in bold. The model names are indicated at the top of each panel, truncated to the first 6 characters. The 7th character refers to the simulation number for that model (typically 1), or *e* for the ensemble mean.



Figure 2. Annually averaged ozone solar cycle response (% per 100 units  $F_{10.7}$ ), as a function of latitude and pressure, for those models which did not include explicit solar forcing. The contour interval and shading are the same as in Figure 1.



**Figure 3.** Left Panel: As in Figures 1 and 2, but composites of all the model results which forced a solar cycle in both the radiative heating and photolysis rates (upper panels) and those without solar forcing (lower panels). The contour interval is 0.25%. The model mean uncertainty was computed from the population statistics as  $\sigma = \sqrt{\sum \sigma_i^2/[n(n-1)]}$  for the 8 models with solar forcing and 4 without.  $2\sigma$  values are plotted.



**Figure 4.** Ozone solar response averaged over the latitude range  $25^{\circ}$ S to  $25^{\circ}$ N. The top left panel illustrates the results for ensemble simulations and the top right panel shows the single simulation results, in both cases for models with a solar cycle. The lower left panel illustrates the results for those models without explicit solar forcing. The lower right panel shows a simple mean of the simulations of the models with solar forcing (red line). The dotted black line in all the panels is the mean of the observations from three independent satellite instruments presented in *Soukharev and Hood* [2006] — see text. All the uncertainty ranges are 95% confidence intervals.

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Figure 5. Ozone solar response averaged over the latitude range  $25^{\circ}$ S to  $25^{\circ}$ N in AMTRAC, WACCM and CMAM, separated into different periods as indicated. Note the different scaling on the abscissa for CMAM compared with the other two models.



Figure 6. Ozone solar response averaged over the latitude range  $25^{\circ}$ S to  $25^{\circ}$ N in AMTRAC, WACCM and CMAM, separated into different periods. In these calculations, SSTs are included as an independent variable in the regression equation. Note the different scaling on the abscissa for CMAM compared with the other two models.



Figure 7. Model simulated globally averaged total ozone with the column mean, aerosol, trend and wind terms removed using the regression equation. The  $F_{10.7}$  values are indicated by the broken black line. Upper panel: mean results for ensemble simulations. Middle panel: simulations for single realizations. Lower panel: results for models without solar forcing.

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Figure 8. Total ozone solar response in % per 100 units of  $F_{10.7}$  simulated by the models as a function of latitude in comparison with observations. The 95% confidence intervals for the observations is indicated at every other grid point. Upper panel: mean results for those models which completed ensembles. Middle panel: model results for single simulation runs. Lower panel: results for those models without explicit solar forcing. The broken black lines indicate solar responses of 0% and 1%.



Figure 9. Annually averaged temperature solar cycle response (K per 100 units  $F_{10.7}$ ), as a function of latitude and pressure, for those models which explicitly included solar forcing. The contour interval is 0.25 and negative values are drawn with broken contours. The zero contour is drawn bold. The shaded region indicates where the solar response is significantly different from zero at the 95% confidence level.



Figure 10. Annually averaged temperature solar cycle response (K per 100 units  $F_{10.7}$ ), as a function of latitude and pressure, for those models which did not explicitly include solar forcing.



Figure 11. Left Panel: As in Figures 9 and 10, but composites of all the model results which forced a solar cycle in both the radiative heating and photolysis rates (upper panels) and those without solar forcing (lower panels). The contour interval is 0.1K. The model mean uncertainty was computed from the population statistics as  $\sigma = \sqrt{\sum \sigma_i^2/[n(n-1)]}$  for the 8 models with solar forcing and 4 without.  $2\sigma$  values are plotted.



Figure 12. Temperature solar response averaged over the latitude range  $25^{\circ}$ S to  $25^{\circ}$ N. The upper left panel are the results from ensemble simulations and the upper right panel are the results from single simulations, in both cases for models with a solar cycle. The lower left panel illustrates the results for those models without explicit solar forcing. The lower right panel shows the mean of all the models with explicit solar forcing. The solar cycle derived from SSU and MSU data is indicated by the dotted black line, and data are reprocessed from *Scaife at al.* [2000].



Figure 13. Water vapor solar response averaged over the latitude range 25°S to 25°N in those models with a solar cycle (left panel), and in those models without explicit solar forcing (right panel). Models are arranged in alphabetic order in each panel, and the line colors cycle through red-yellow-green-blue. The first 4 models are given by solid lines and the second four by dotted lines.

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Figure 14. Age of air solar response averaged over the latitude range  $25^{\circ}$ S to  $25^{\circ}$ N in those models with a solar cycle (left panel), and in those models without explicit solar forcing (right panel). For clarity, the error bars are not shown for all the models.