

## **General texts on the principles of climate modeling**

<https://www.lmd.jussieu.fr/~hourdin/PUBLIS/HourdinGuillemotUniversalis.pdf>

Un article de présentation générale de la modélisation du climat (français)

[https://web.lmd.jussieu.fr/~hourdin/PUBLIS/110329\\_LivreClimat\\_IV.6\\_10.pdf](https://web.lmd.jussieu.fr/~hourdin/PUBLIS/110329_LivreClimat_IV.6_10.pdf)

Le principe des paramétrisations physiques

[https://web.lmd.jussieu.fr/~hourdin/PUBLIS/110329\\_LivreClimat\\_IV.13\\_06.pdf](https://web.lmd.jussieu.fr/~hourdin/PUBLIS/110329_LivreClimat_IV.13_06.pdf)

Stratégie d'évaluation des modèles

<https://www.lmd.jussieu.fr/~hourdin/PUBLIS/bams-d-15-00135.1.pdf>

The art and science of climate model tuning (english)

## **More technical and directly related to the course**

<http://www.lmd.jussieu.fr/~hourdin/HDR/habil.pdf> (in french ...)

Reynolds decomposition (Section 2.2.2)

Advection schemes (Section 2.3)

Turbulent diffusion (Section 3.1)

Boundary layer convection (Section 3.3)

[http://hmf.enseeiht.fr/coursenligne/estivalezes/notes\\_tmms.pdf](http://hmf.enseeiht.fr/coursenligne/estivalezes/notes_tmms.pdf)

COURS ENSEEIHT : Reynolds, TKE equation

# Parameterizations and use of models

I. Convective parameterisations

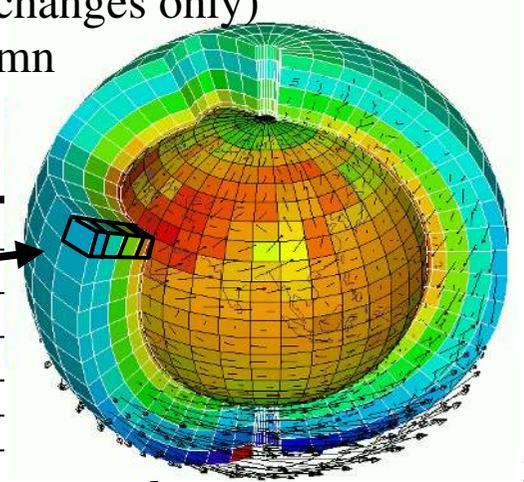
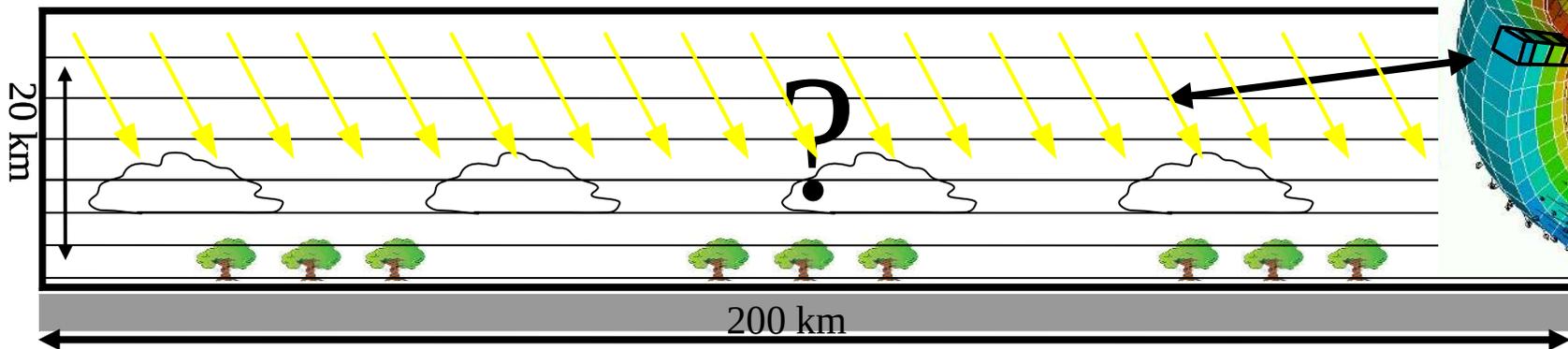
II. Parametrizations and calibration/tuning

## Parameterizations : principles



- Compute the **average effect of unresolved processes on the global model state variables** ( $\underline{U}, \theta, q$ )
- **Based on a description of the approximate collective behavior** of processes
- Involve additional **parameterization internal variables** (cloud characteristics, standard deviation of the sub-grid scale distribution of a variable, ...)
  - Derive **equations** relating internal variables to the state variables  $\underline{U}, \theta, q$  at time  $t \rightarrow$  **internal variables**  $\rightarrow E, Q, Sq \rightarrow \underline{U}, \theta, q$  at  $t+\delta t$
- **Homogeneity hypothesis** (statistical) on the horizontal of the targeted processes (like in the plane-parallel approximation of radiative transfer)
  - $\rightarrow$  1-dimensional equations in  $z$  (vertical exchanges only)
  - $\rightarrow$  Independent atmospheric column

Inside an « atmospheric column » ...

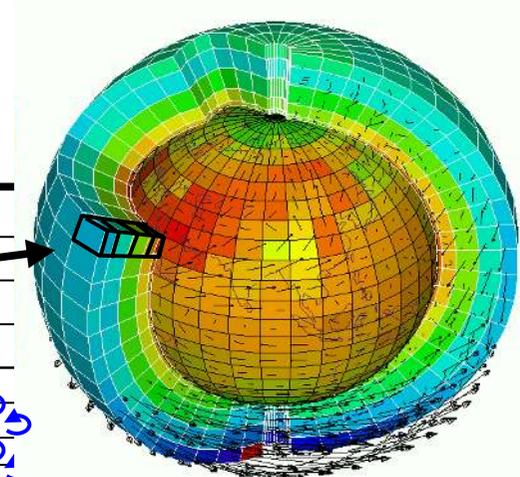
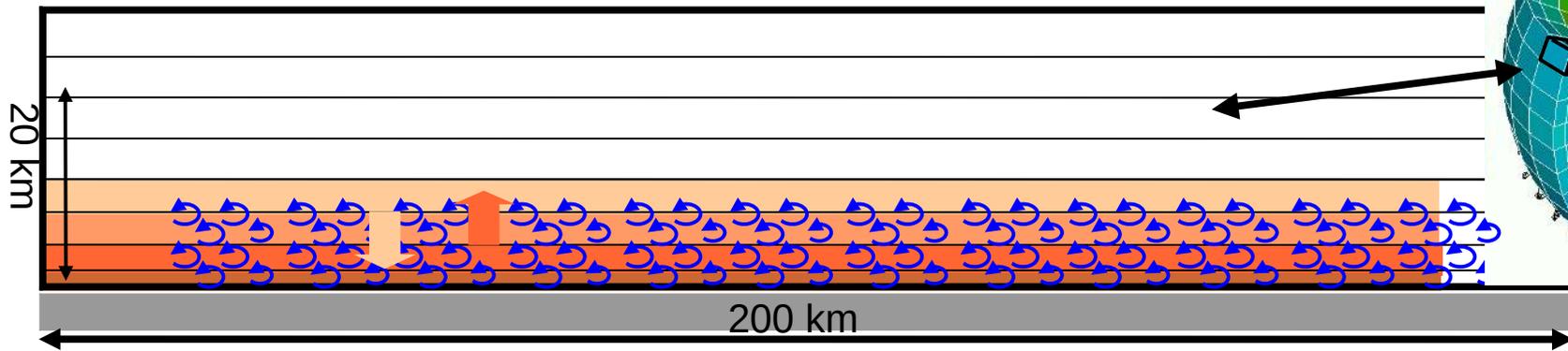


# Current approaches for parameterizations of convection and clouds



## II. General circulation models

Within a column of the atmospheric model ...



Turbulence parameterization



→ « **turbulent mixing** » or turbulent diffusion  
Transport by small random motions.

Analogous to molecular diffusion

$$Dq/Dt = Sq \quad \text{with} \quad Sq = \frac{\partial}{\partial z} \left( K_z \frac{\partial q}{\partial z} \right)$$

→ Prandtl mixing length :

$l$  : Characteristic mixing length

$w$  : Characteristic velocity

$$K_z = l |w|$$

→ Turbulent kinetic energy :

$$De/Dt = f(dU/dz, d\theta/dz, e, \dots)$$

$$Dl/dt = \dots$$

$$K_z = l \sqrt{e}$$

Same models are used in engineering sciences

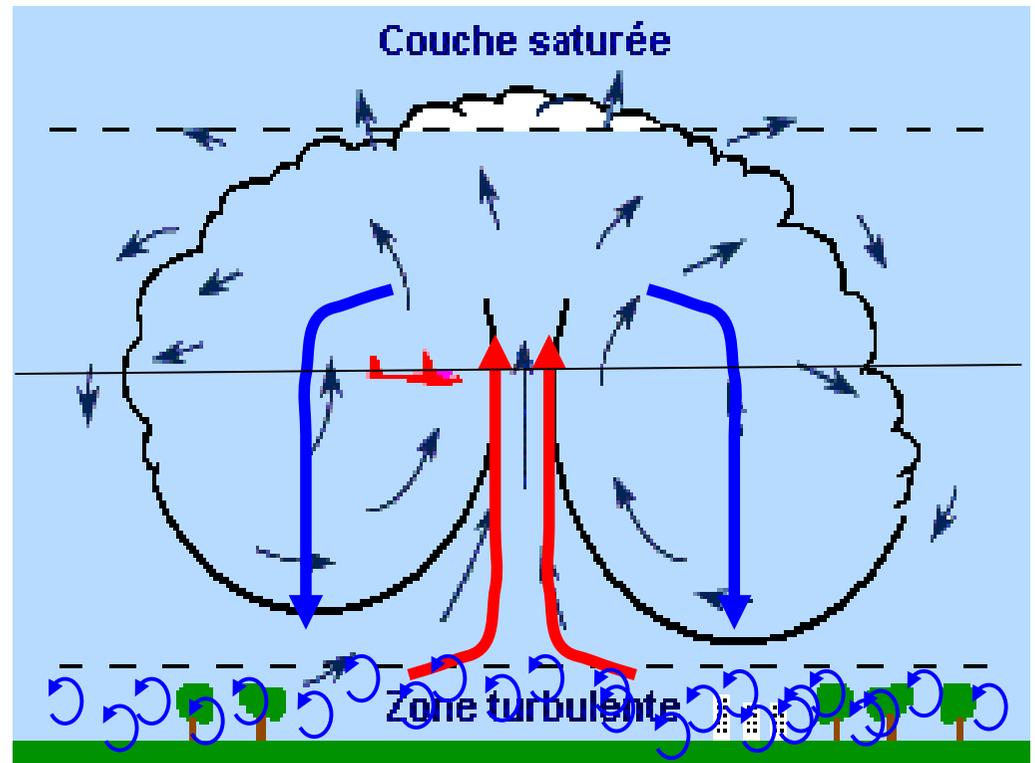
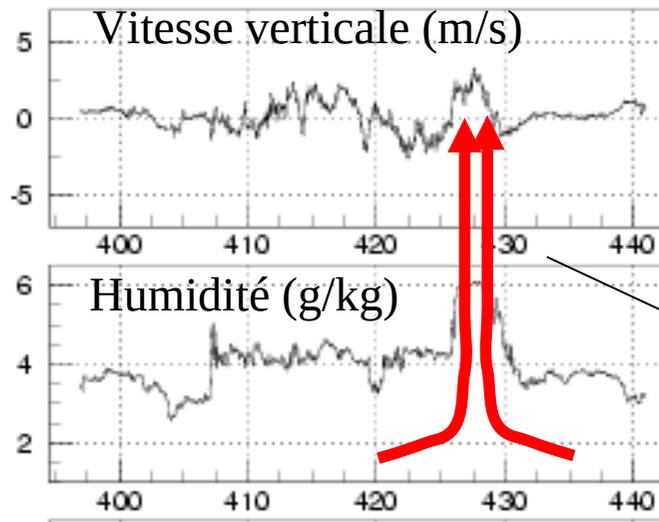
Similarity → Tests à des échelles différentes en laboratoire

A world by itself

# Turbulence isotrope de petite échelle -> mélange turbulent

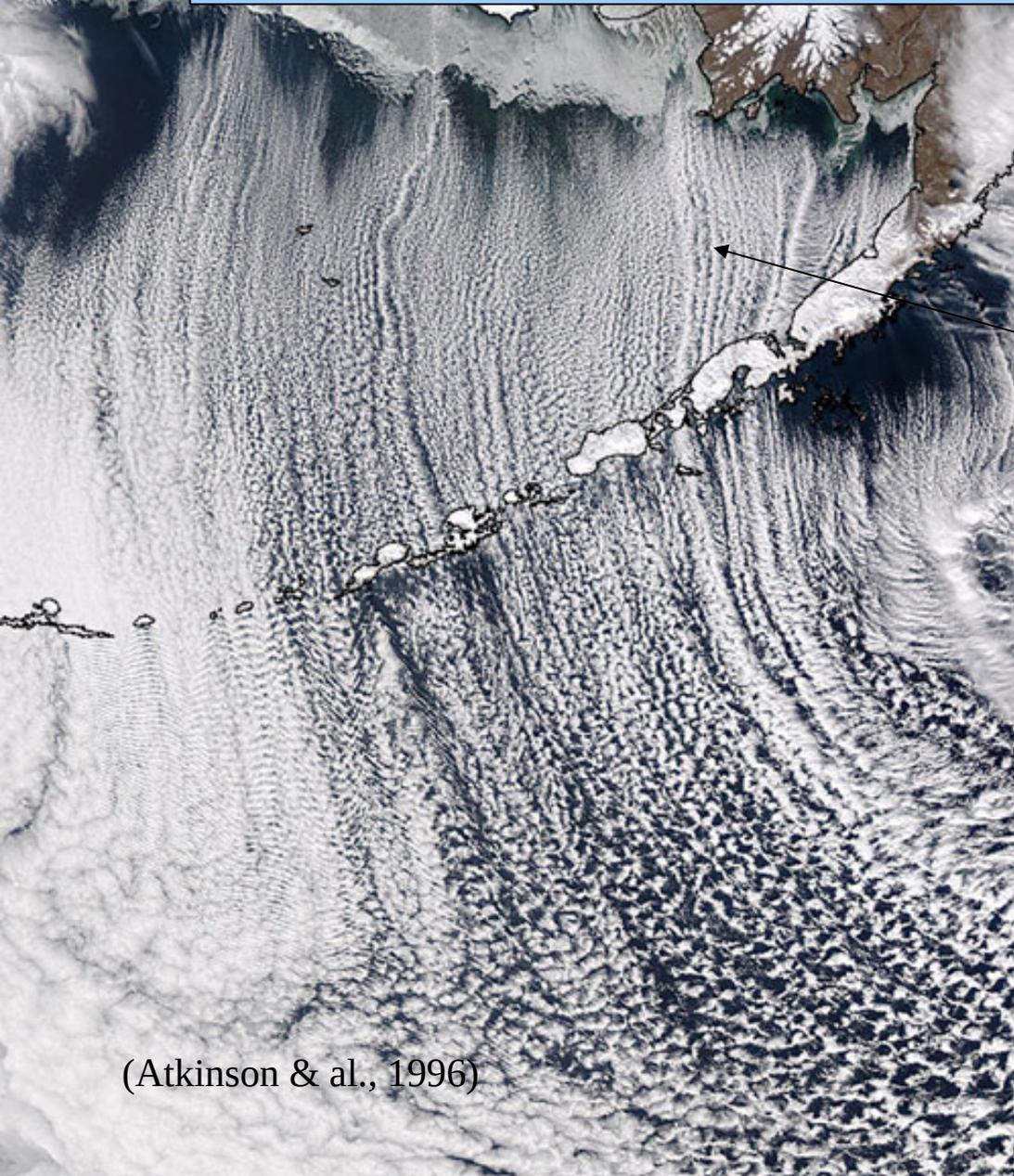
## Turbulence atmosphérique : “mésos-échelle”, organisée et anisotrope

Exemples de mesures avion  
(région parisienne, conditions estivales, cumulus)

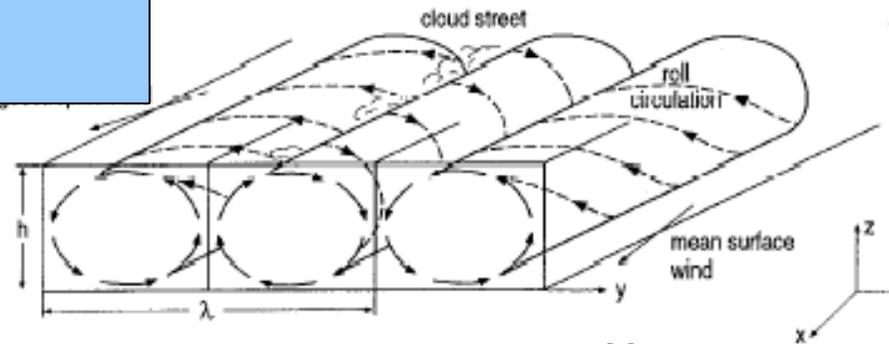


- L'air chaud (léger) et humide monte de la surface sous l'effet des forces d'Archimède.
- En montant cet air se refroidit (détente adiabatique) et ne peut plus contenir autant de vapeur d'eau.
- En cas de saturation : apparition de cumulus en haut du panache chaud.

# Importance des structures organisées visualisées ici par les rues de nuages

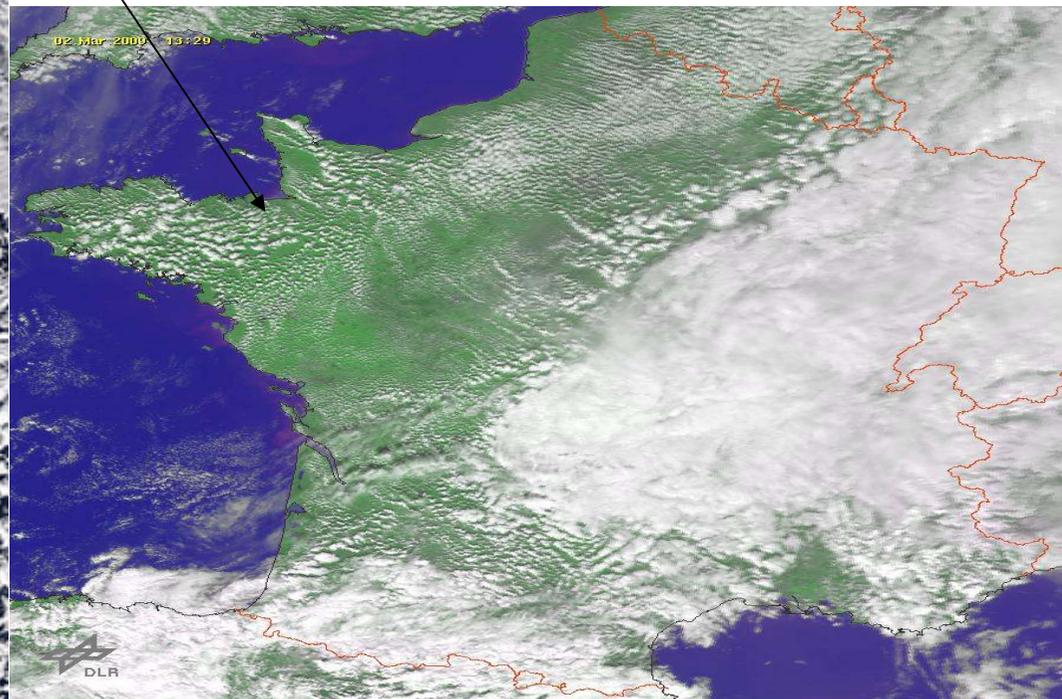


(Atkinson & al., 1996)



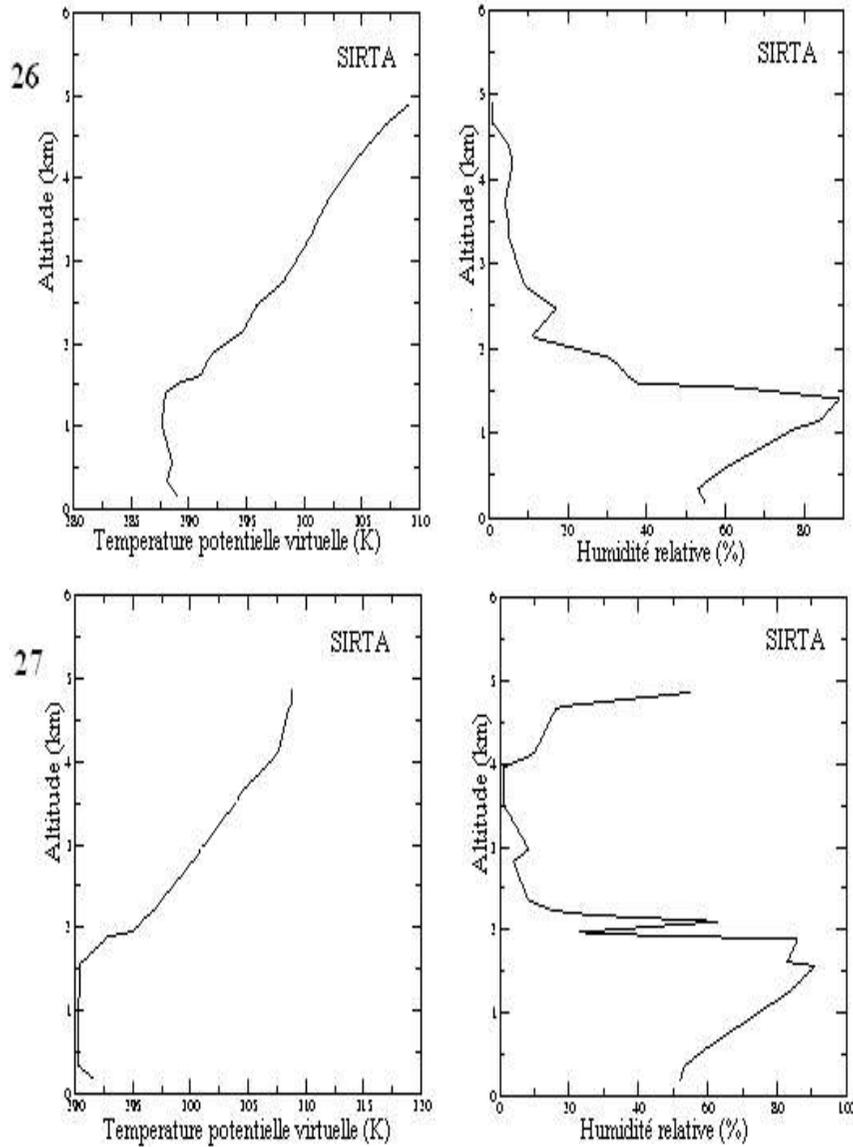
Exemple classique de rues de nuages créées au sommets de rouleaux convectifs :

- arrivé d'air polaire froid sur des masses océaniques plus chaudes
- entrée d'air marin doux sur un continent plus chaud

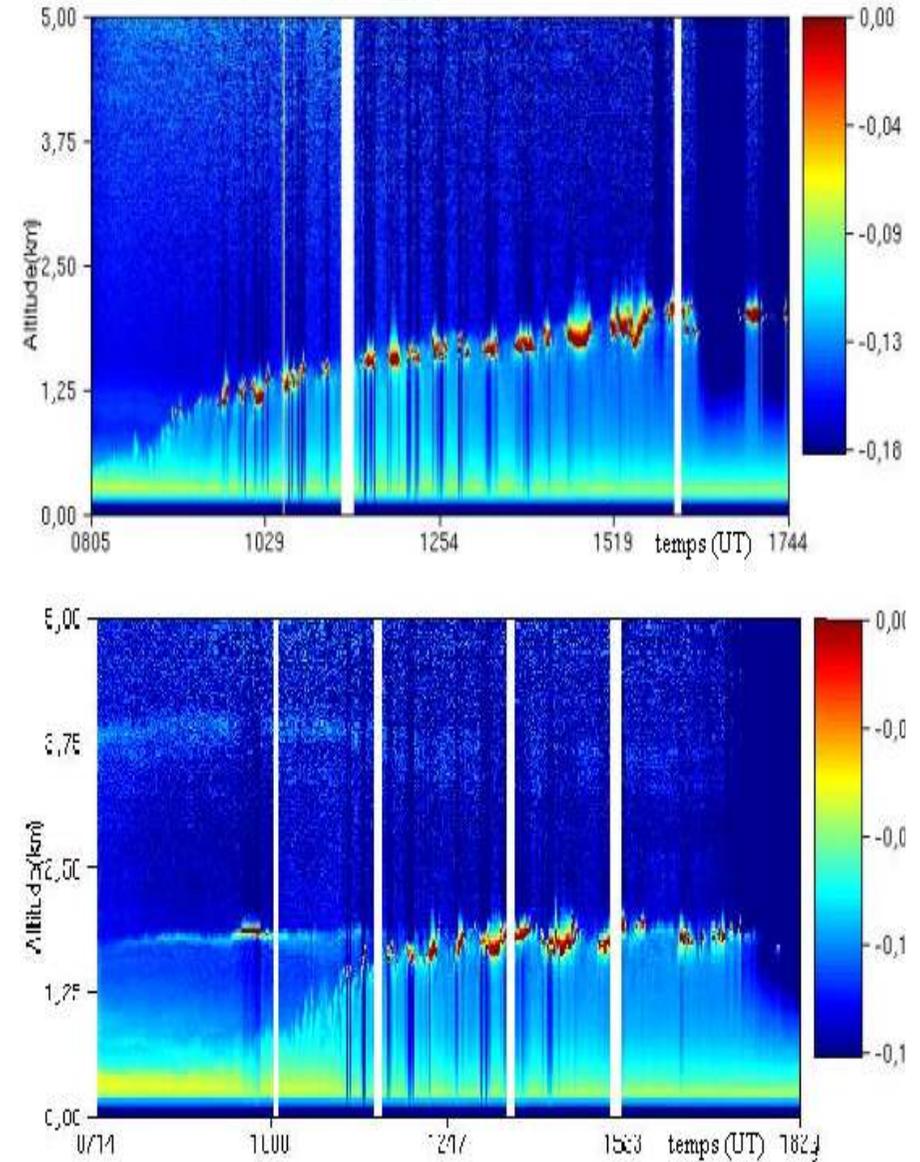


# Exemple d'observations de la couche limite en région parisienne

## Sondages de Trappes (Paris)

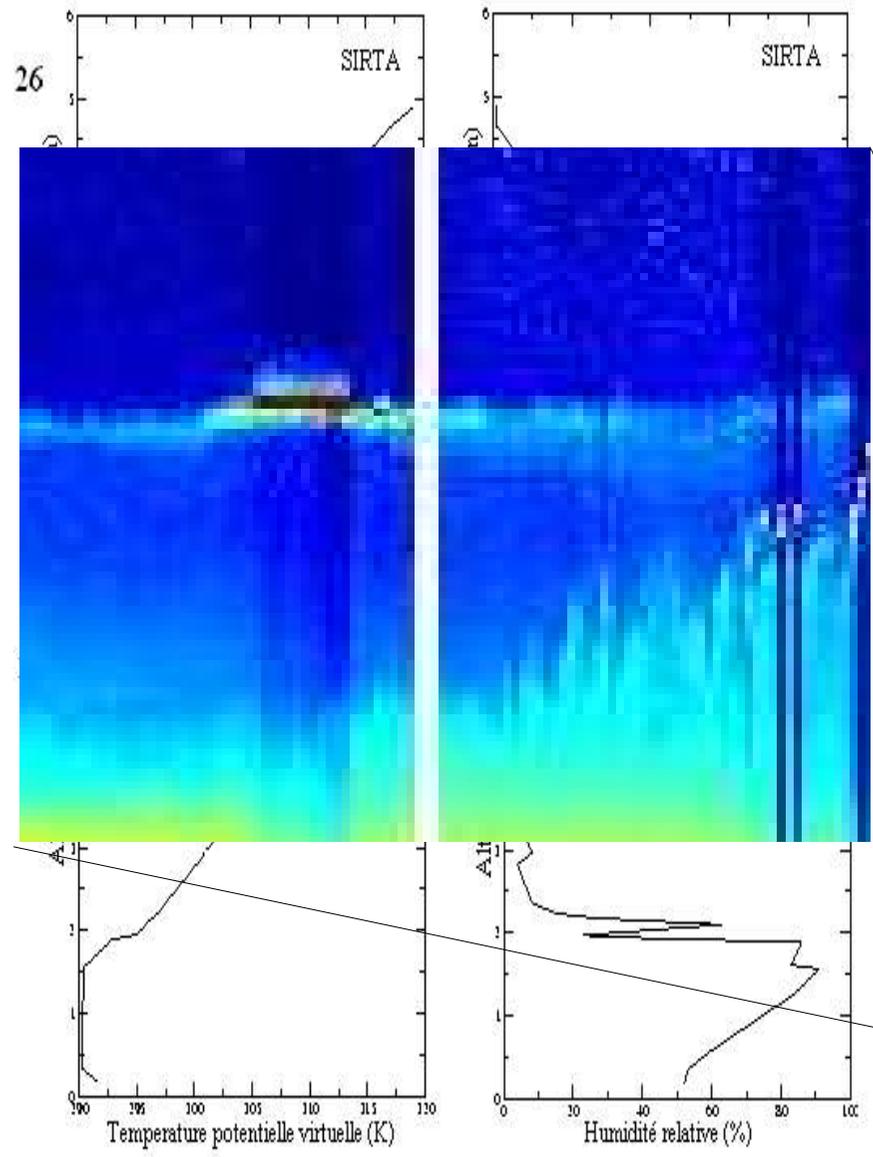


## Observations Lidar

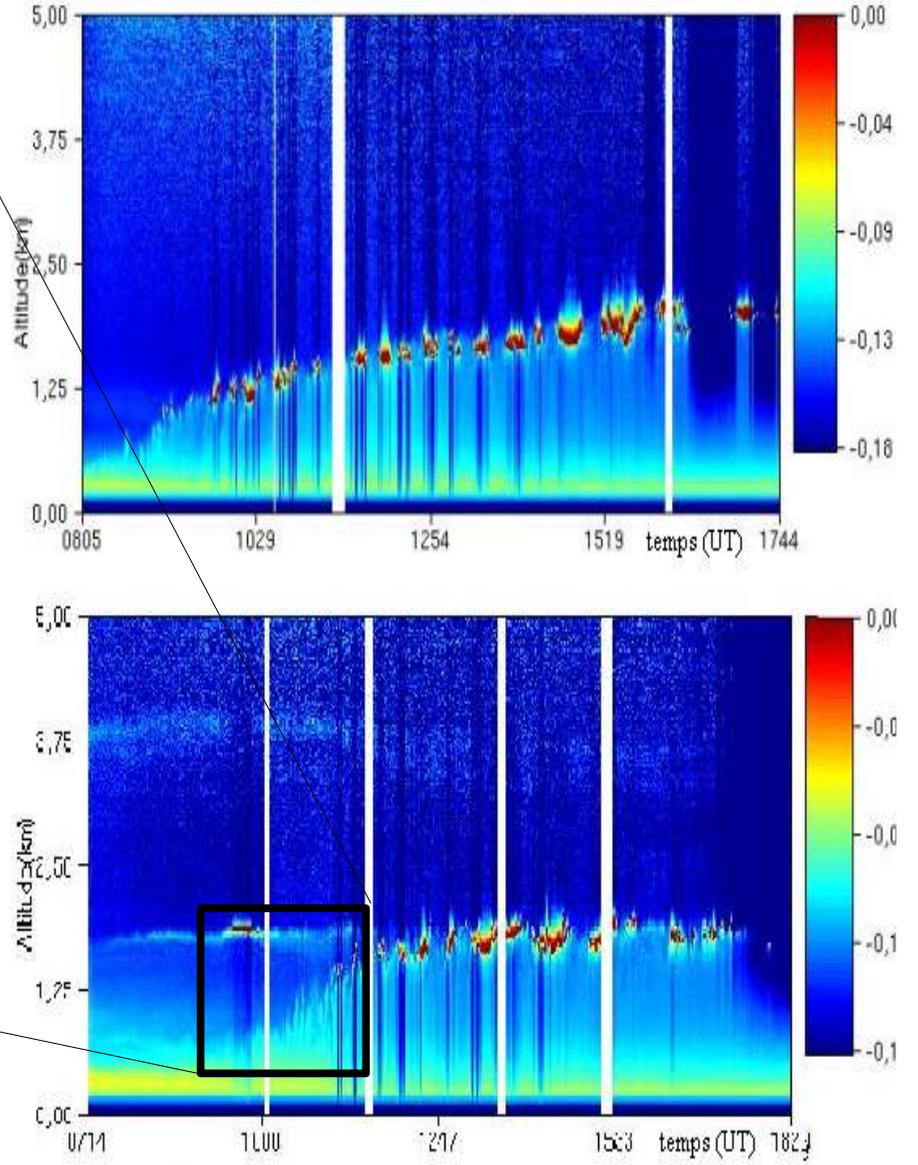


# Exemple d'observations de la couche limite en région parisienne

## Sondages de Trappes (Paris)



## Observations Lidar



## 2. Couche limite convective

Convection organisée même pour les couches limites non nuageuses.

Mise en évidence dans des « Large Eddy Simulations » ou « Simulation des grands tourbillons », domaine de quelques km, mailles de qq 10m.

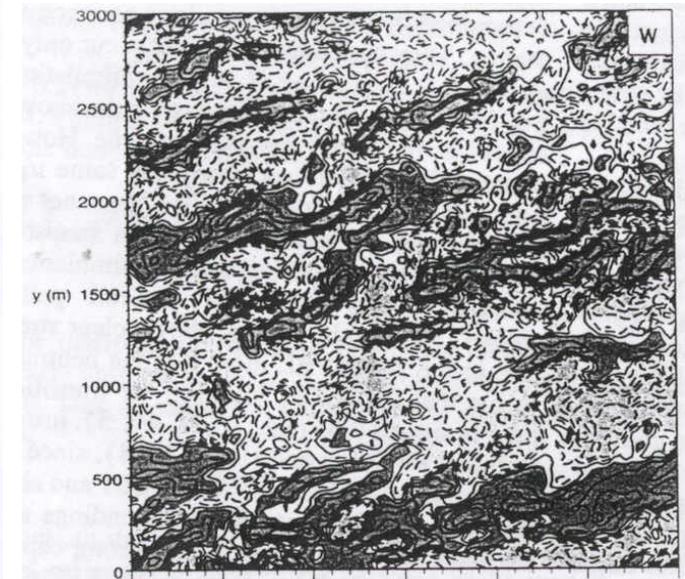
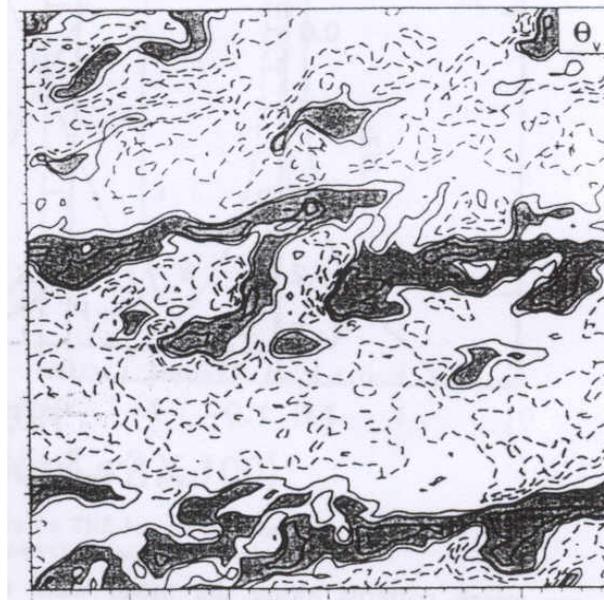
Forcé par un flux de chaleur venant de la surface

$\theta'$

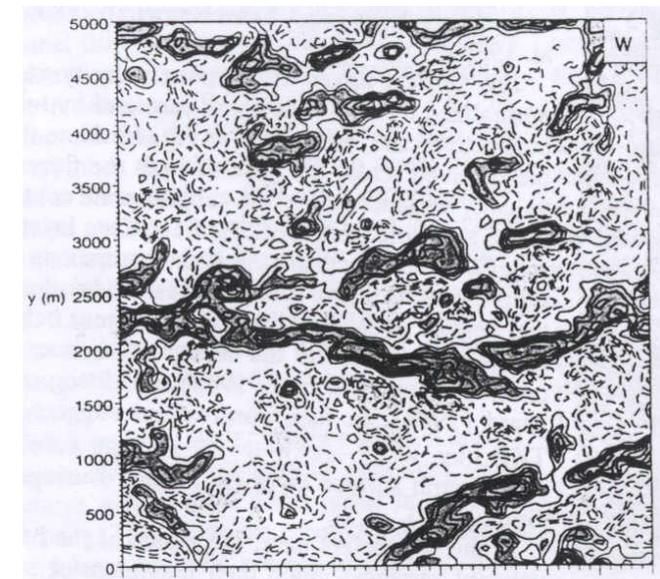
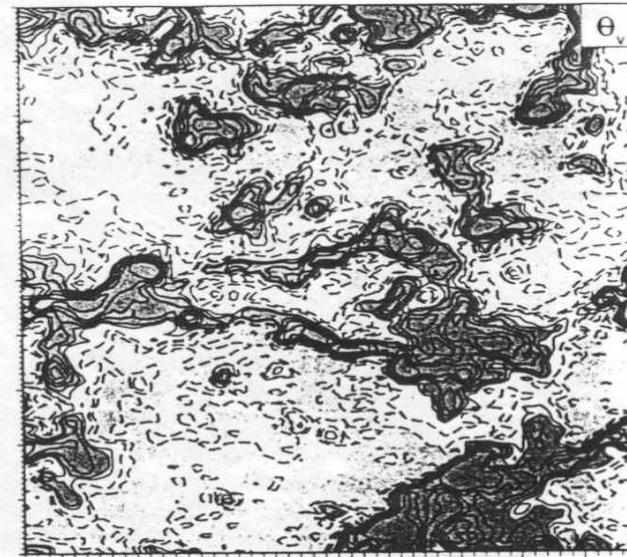
$w'$

Exemple de résultats de simulations LES. Coupes instantannées au niveau  $0.2 Z_i$  où  $Z_i$  est la hauteur de la couche limite. Moeng et al, 1994

Simulation avec convection + cisaillement



Simulation avec convection sans cisaillement (convection libre)



# Parameterization of convective boundary layer and turbulence

Built upon on much finer resolution simulation (LES), here with a mesh of 8m  
This movie is all physics : both the simulation of clouds and the rendering  
Physical rendering made possible by a recent PhD 2019 (N. Villefranque)  
An illustration of state-of-the-art work on parameterizations



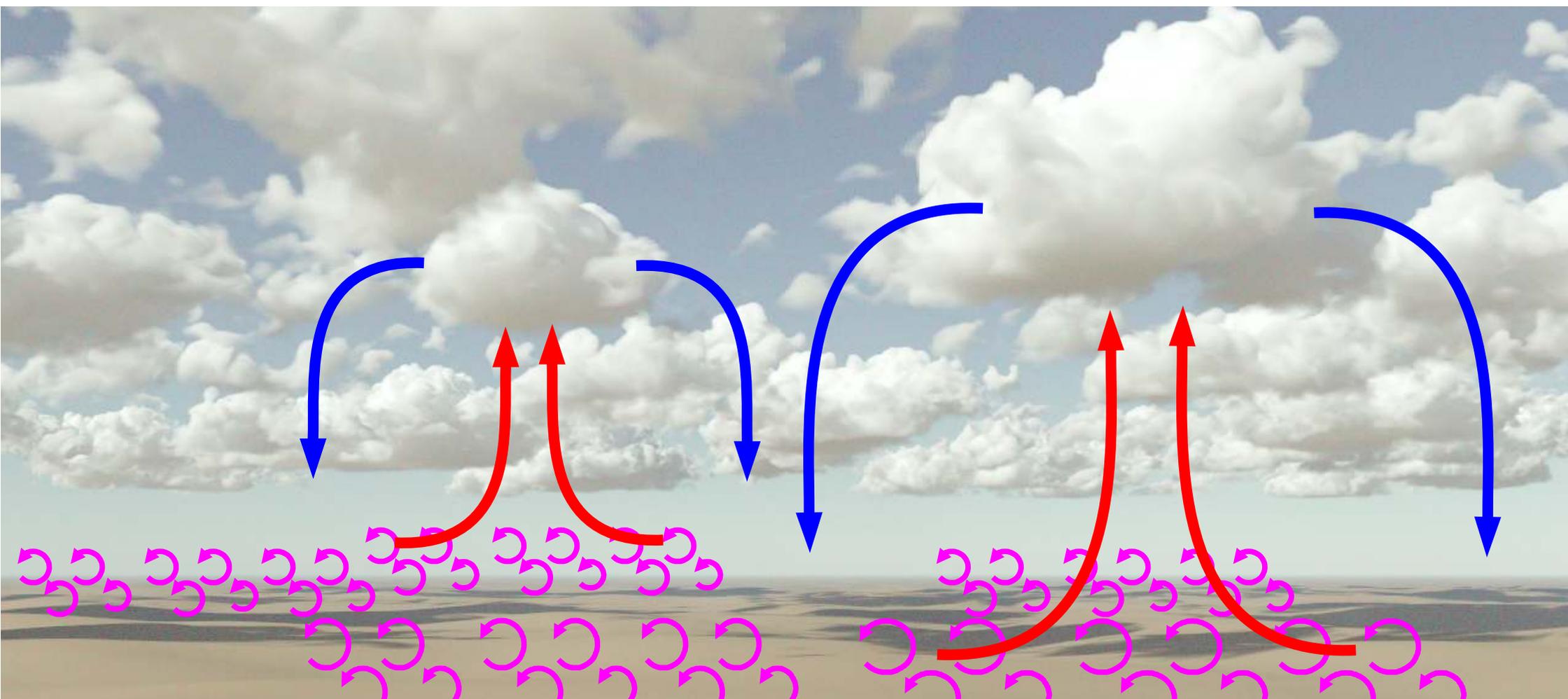
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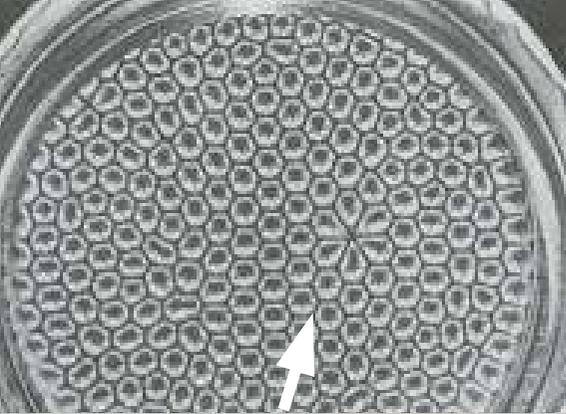
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# Parameterization of convective boundary layer and turbulence

Large Eddy simulations at 8m of a case of cumulus case (ARM case)  
Used to understand the processes at work and idealize them

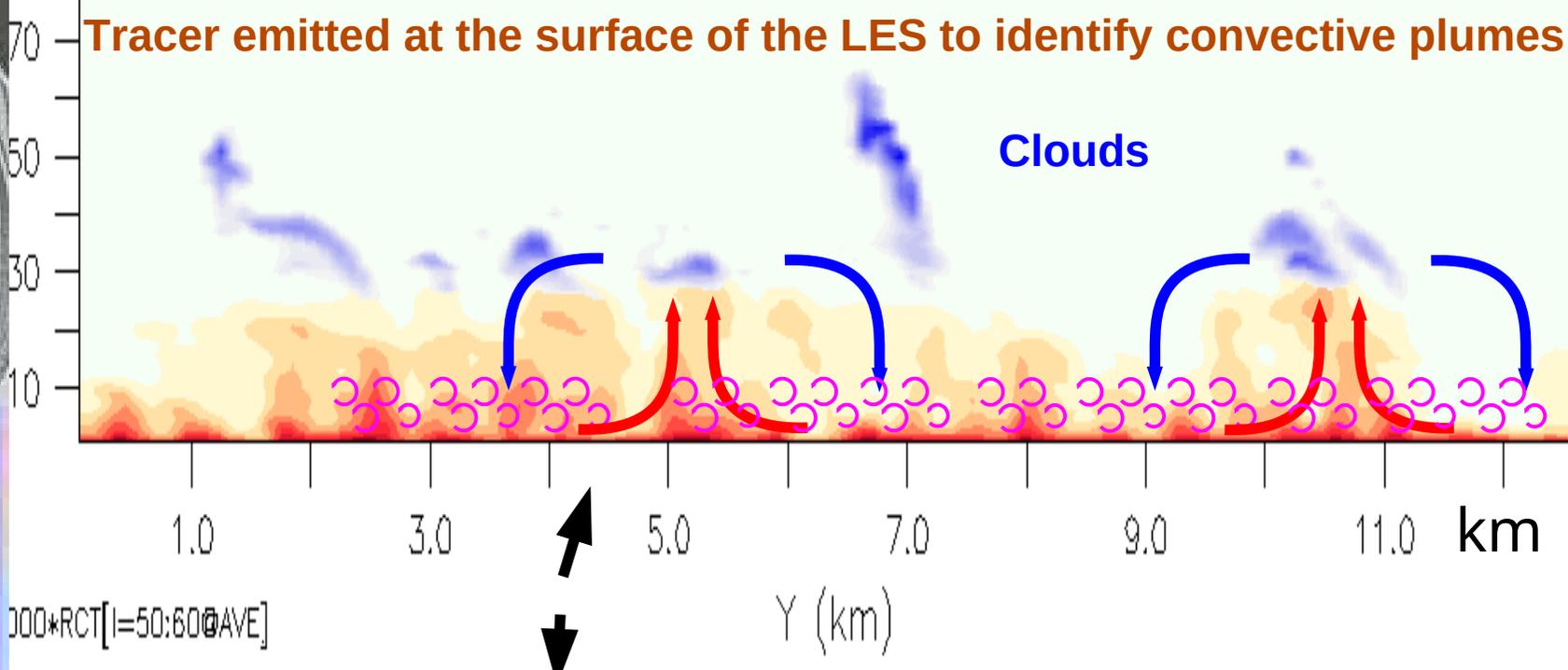




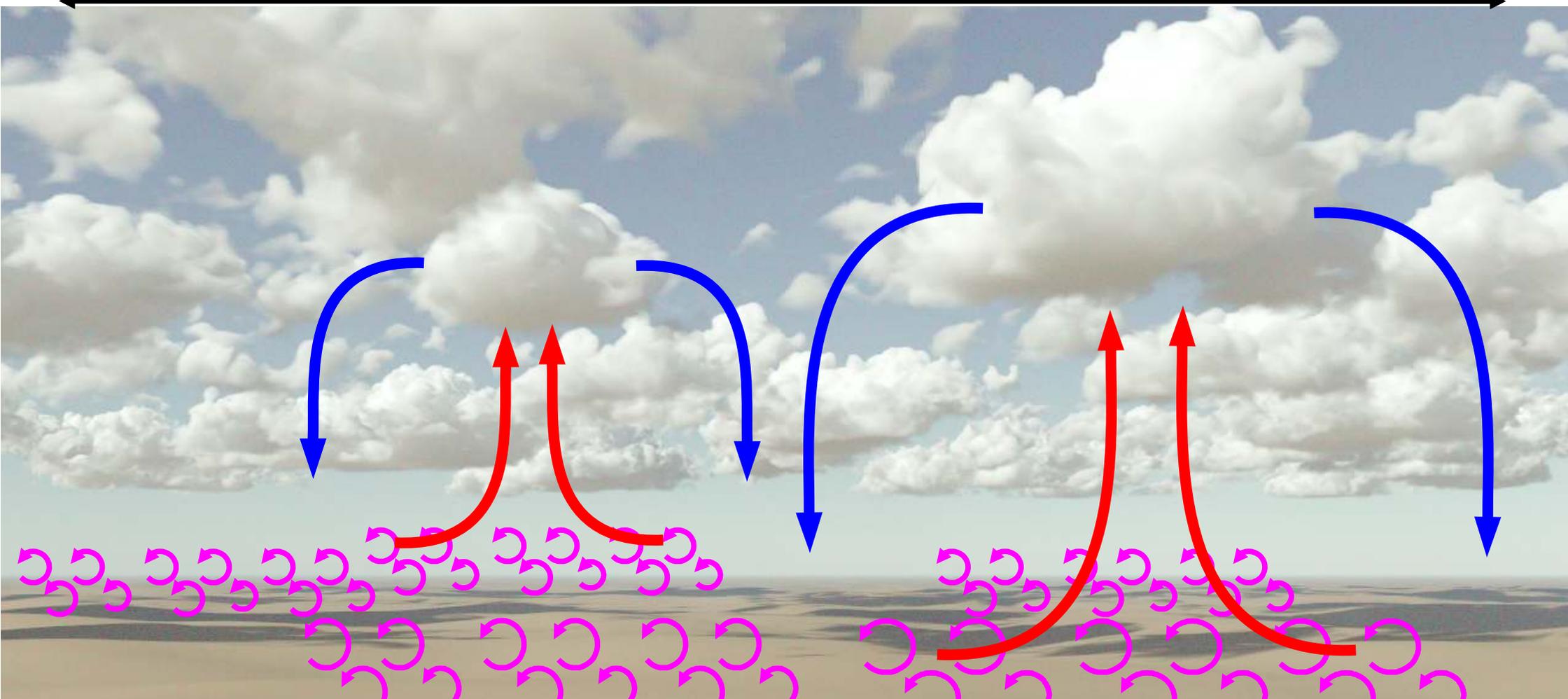
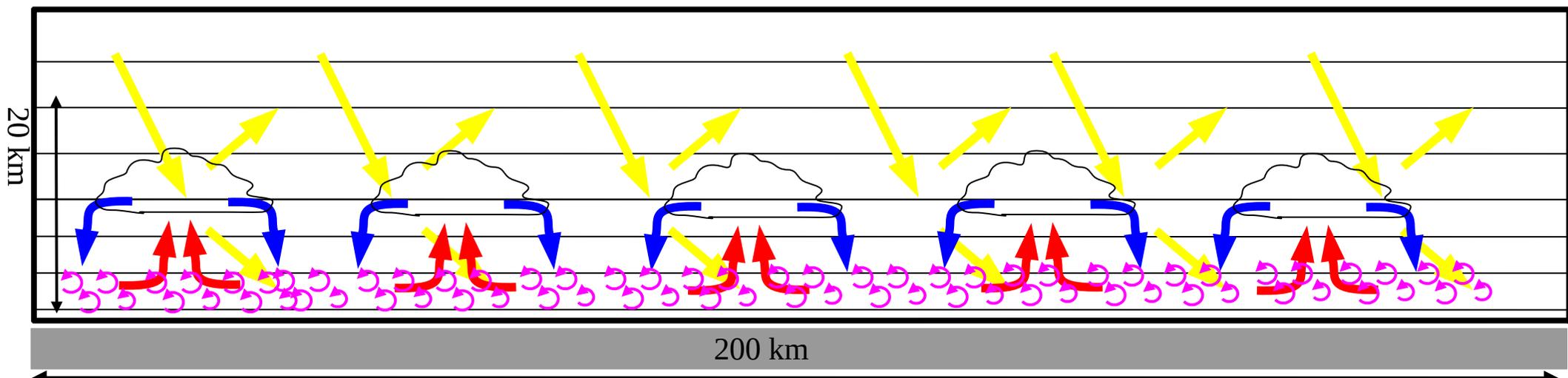
Rayleigh Bénard  
Convection  
An analogous



Tracer emitted at the surface of the LES to identify convective plumes

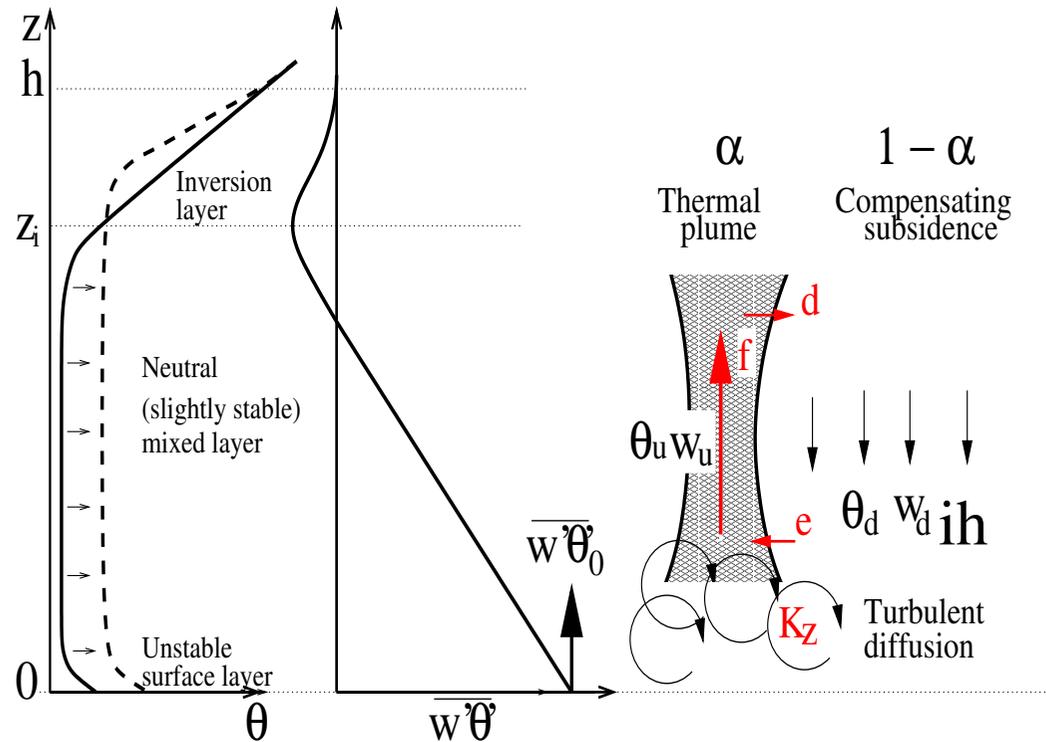


# Parameterization of convective boundary layer and turbulence



# The “thermal plume model” equations

## An EDMF, combining turbulent diffusion with mass fluxes



$$X = \alpha X_u + (1 - \alpha) X_d$$

ascending plume of mass flux

$$f = \alpha \rho w_u$$

$$\frac{\partial f}{\partial z} = e - d$$

$$\frac{\partial f c_u}{\partial z} = e c_d - d c_u$$

$$\overline{\rho w' c'} = -\rho K_z \frac{\partial c}{\partial z} + f (c_u - c_d) \quad (9)$$

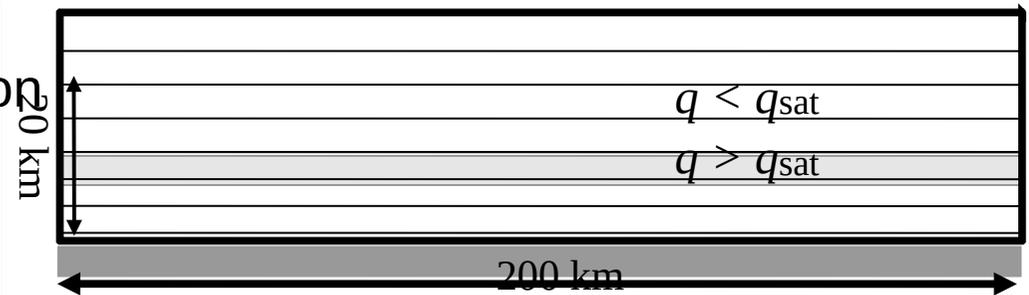
Chatfield, R. B., et Brost, R. A. (1987). A two-stream model of the vertical transport of trace species in the convective boundary layer. *Journal of Geophysical Research*, 92, 13,263-13,276.

Hourdin, F., Couvreux, F., & Menut, L. (2002). Paramétrage de la couche limite de convection sèche basé sur une représentation du flux de masse des thermiques. *Journal of the Atmospheric Sciences*, 59, 1105-1123

# Statistical cloud scheme

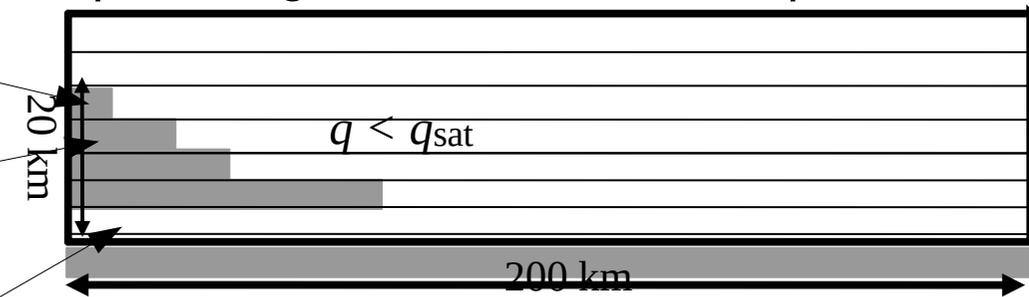
## « all or nothing » model :

If  $q > q_{sat}$  cloudy grid cell, else clear sky

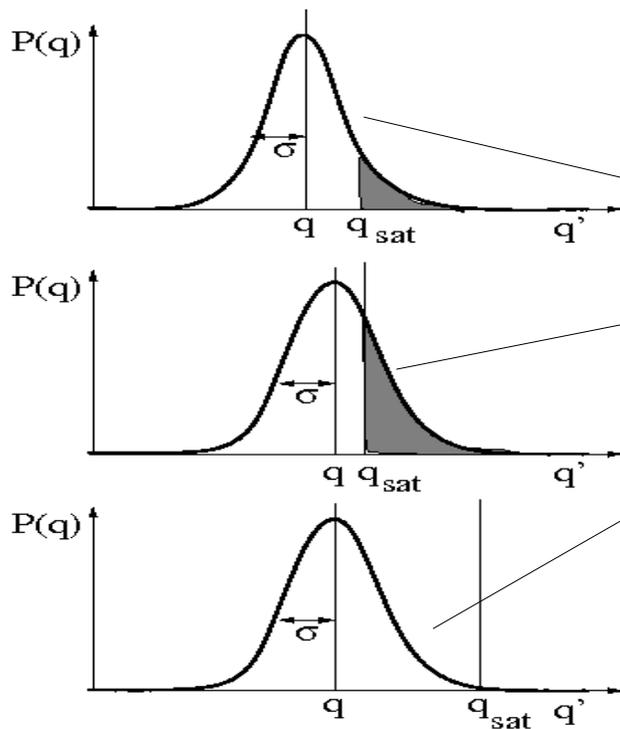


## « Statistical » cloud scheme :

We assume a subgrid-scale distribution of  $q'$  in the grid cell centered on  $q$



$q$  : water vapor concentration  
 $q_{sat}$  : maximum concentration at saturation  
 If  $q > q_{sat}$  :  
 → water condenses = clouds  
 $q$  and  $q_{sat}$  are known at the grid scale  
 → What is the fractional coverage ?



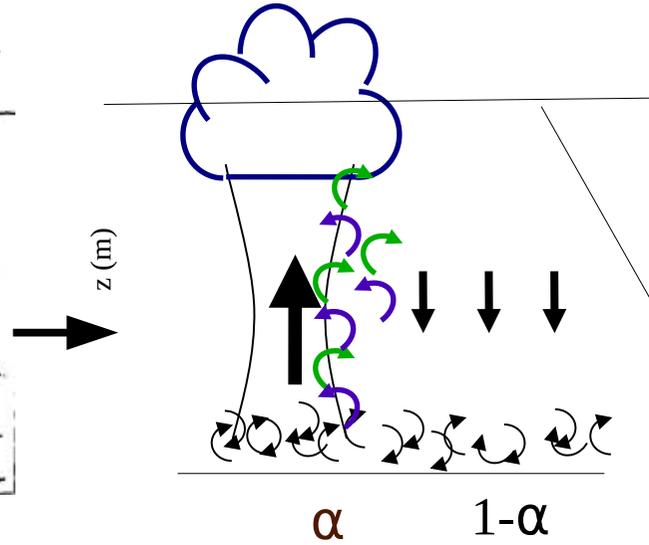
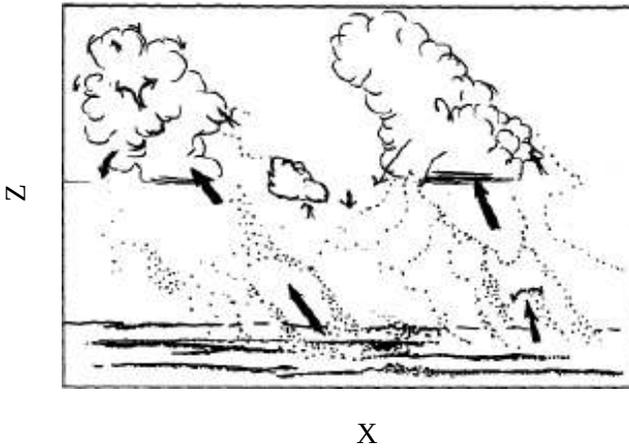
Simple parameterization : gaussian with  $\sigma / q = 20\%$

# « Mass flux schemes » : example of the thermal plume model boundary layer convection

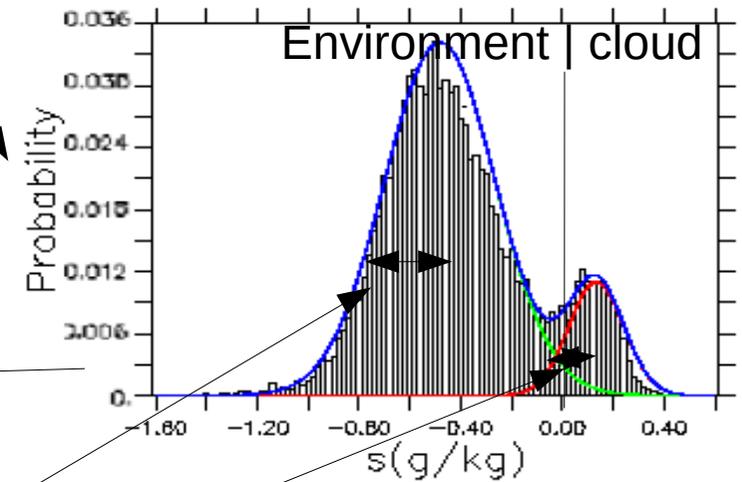
Hourdin et al., JAS, 2002; Rio et Hourdin, JAS, 2008

Parameterization of the subgrid-scale distribution of  $s=q-q_{sat}$

LeMone and Pennell, MWR, 1976



$$\bar{s}_{env} = S \quad \bar{s}_{th} = S_u$$

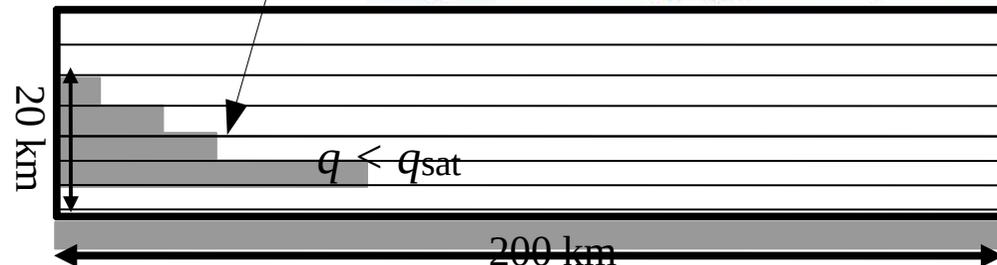


## Internal variables

- $w$ : mean vertical velocity within thermals
- $\alpha$ : fractional coverage of thermals
- $e$ : entrainment rate within thermals
- $d$ : detrainment rate from thermals
- $q_a$ : concentration of  $q$  within thermals

$$\sigma_{s,env} = c_{env} \times \left(\frac{\alpha}{1-\alpha}\right)^{\frac{1}{2}} \times (\bar{s}_{th} - \bar{s}_{env}) + b \times \bar{q}_{t,env}$$

$$\sigma_{s,th} = c_{th} \times \left(\frac{\alpha}{1-\alpha}\right)^{-\frac{1}{2}} \times (\bar{s}_{th} - \bar{s}_{env}) + b \times \bar{q}_{t,th}$$

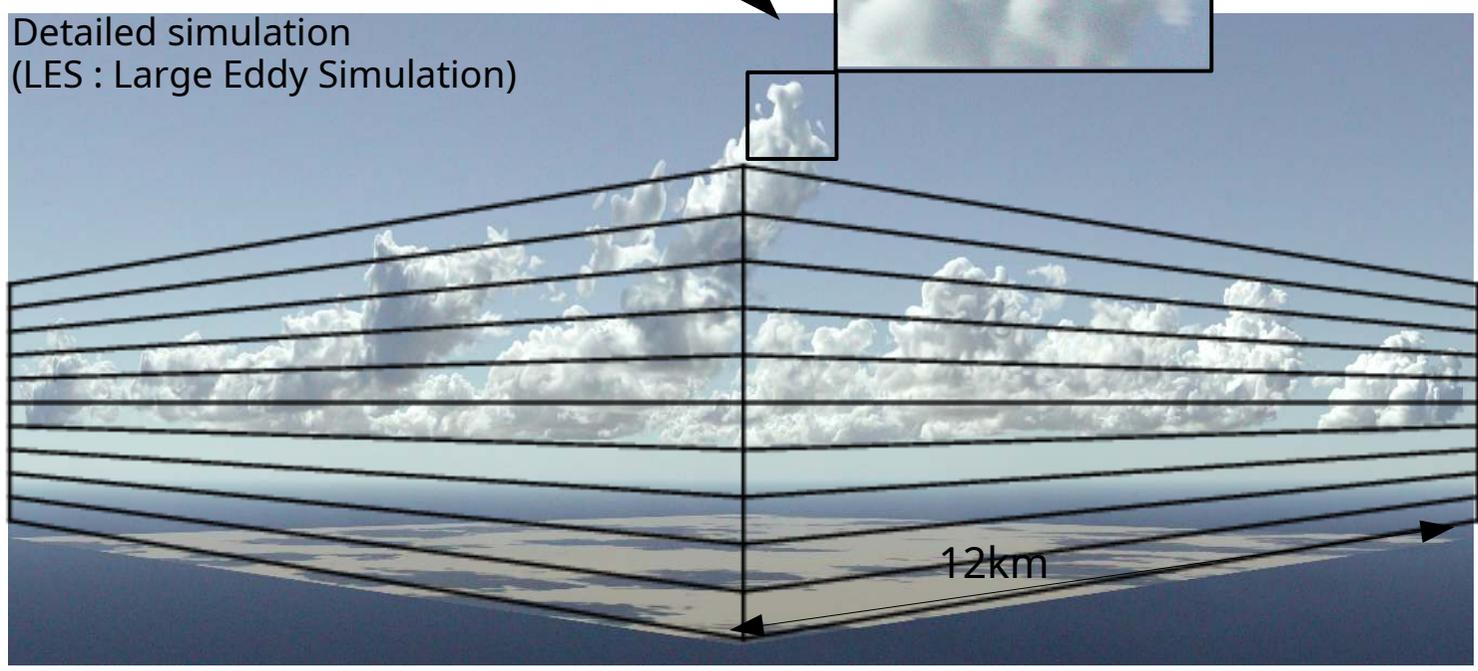
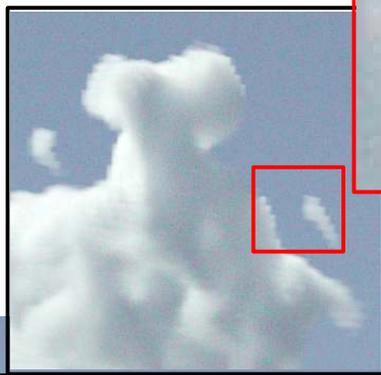


Simple parameterization : Gaussian  $\sigma / q = 20\%$



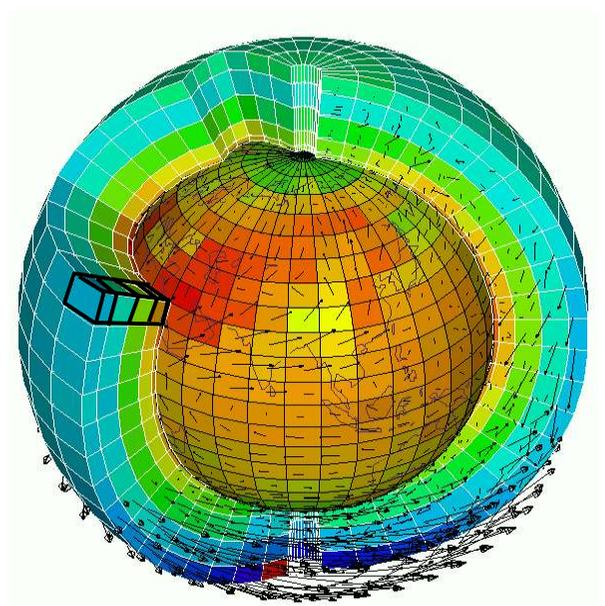
Field campaign experiment

Evaluation



Detailed simulation  
(LES : Large Eddy Simulation)

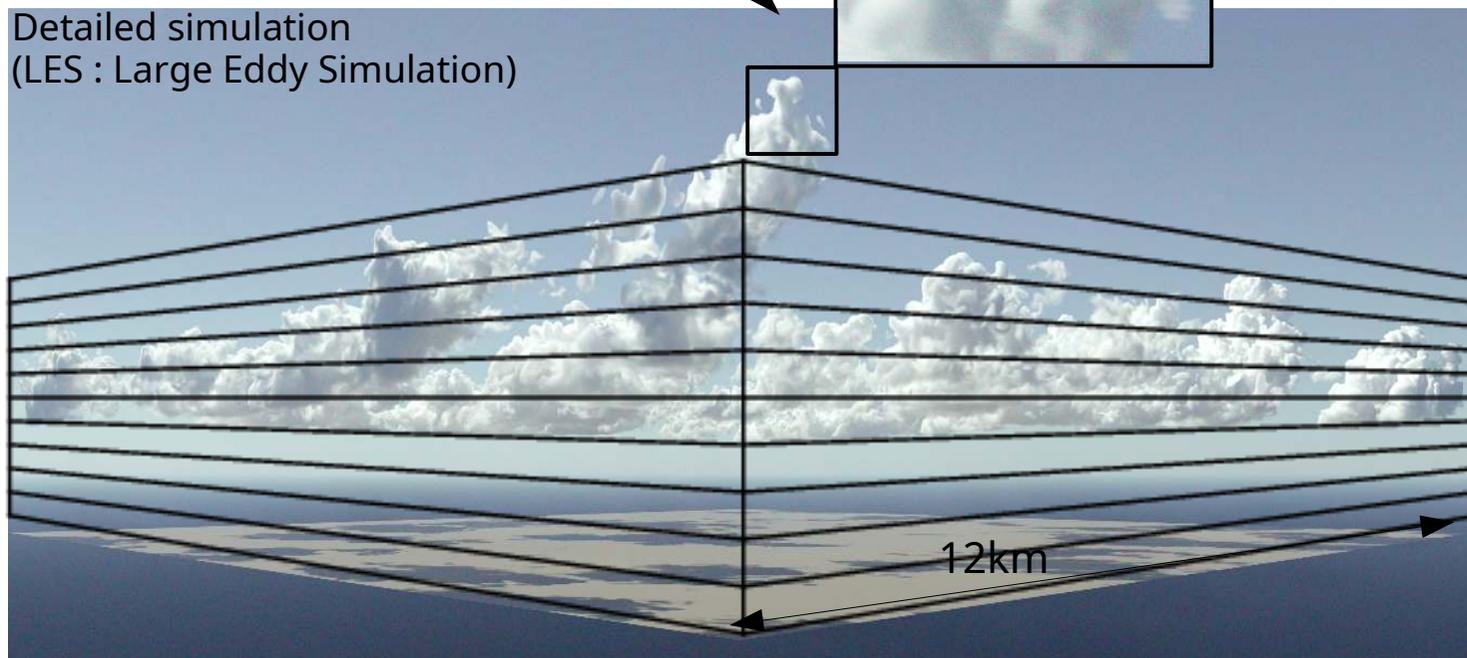
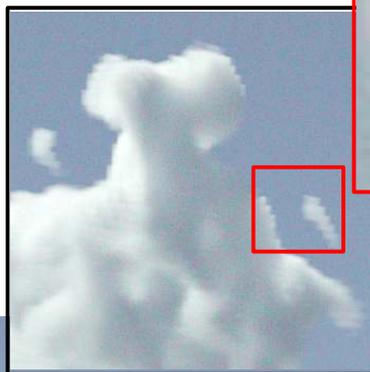
12km





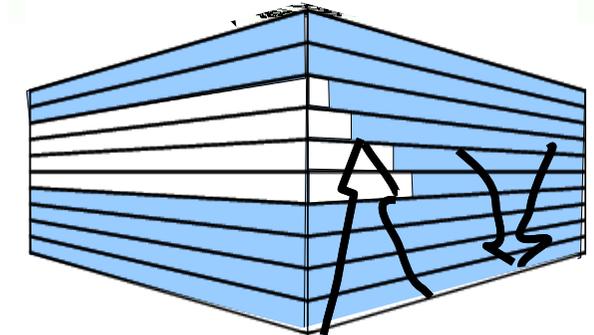
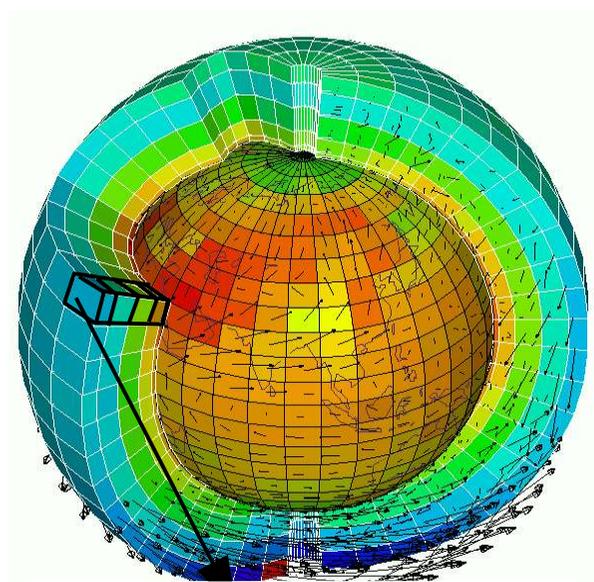
Field campaign experiment

Evaluation



Detailed simulation (LES : Large Eddy Simulation)

12km

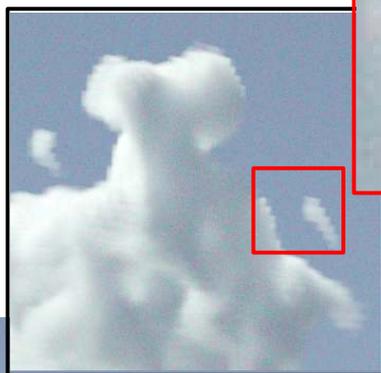


Building a model of a convective plume and associated clouds. Trying to represent an idealized mean cloud

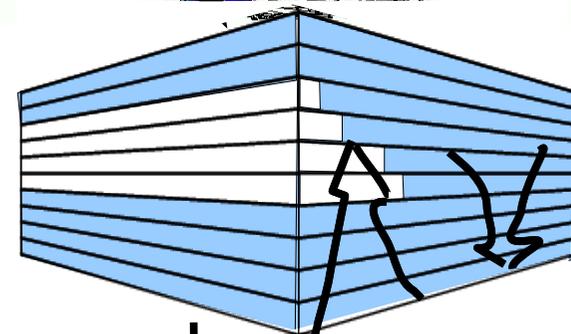
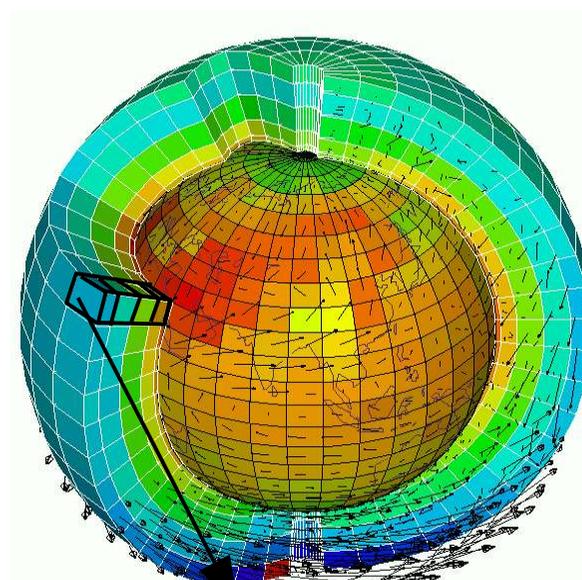
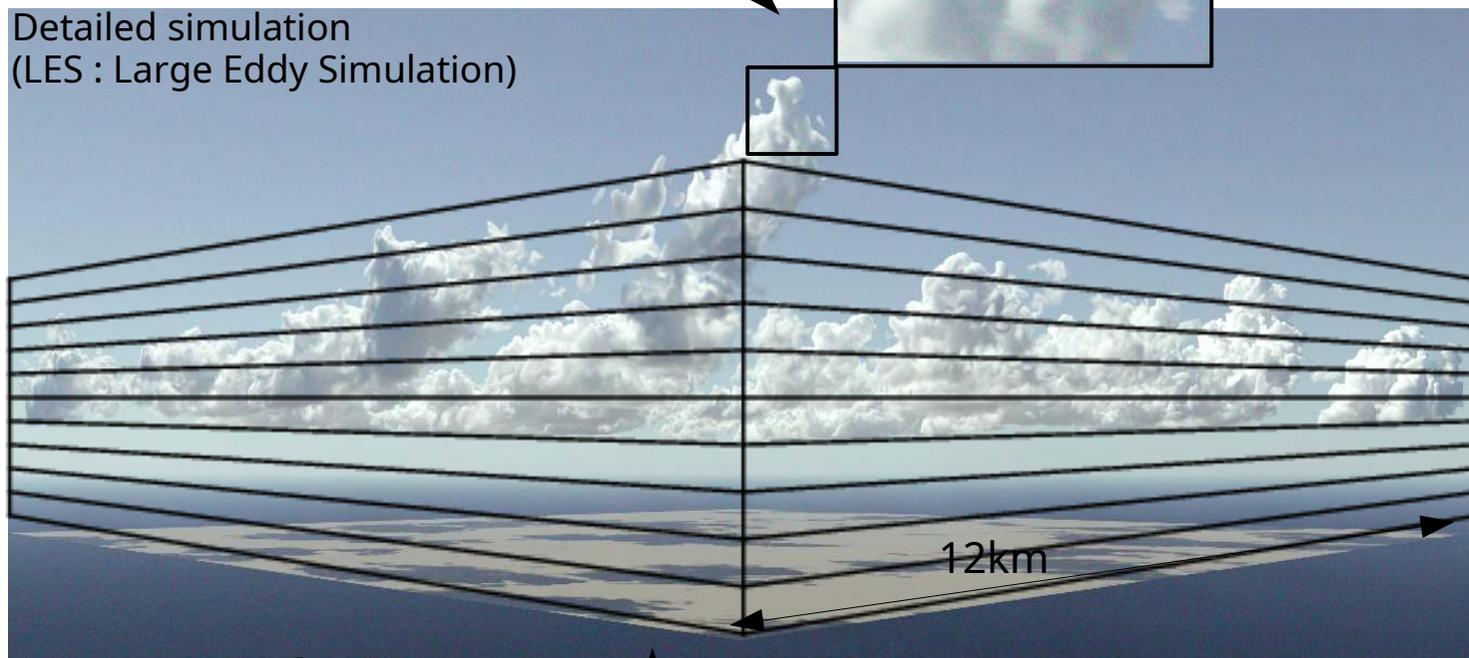


Field campaign experiment

Evaluation

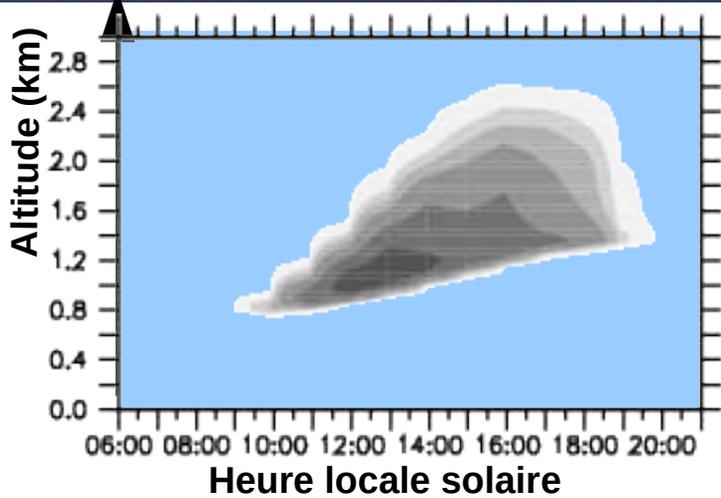


Detailed simulation (LES : Large Eddy Simulation)

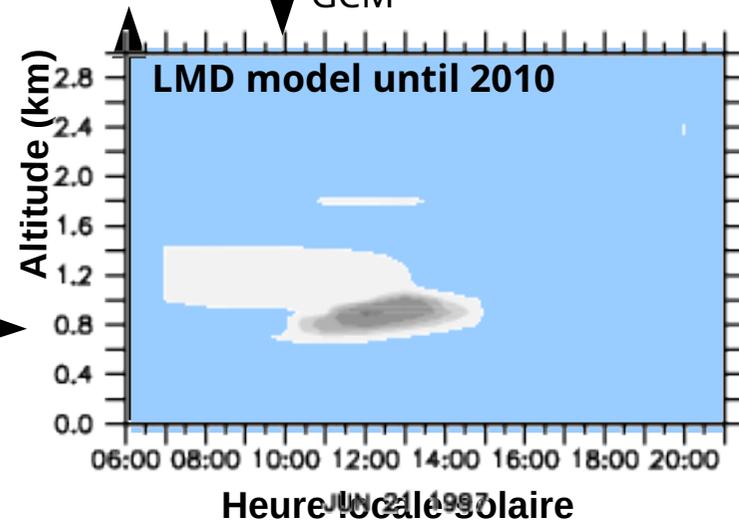


Computing the cloud fraction for each grid cell in one column of the GCM

Computing at each altitude the fraction of the horizontal domain covered by clouds. Also called : **the cloud fraction**



Evaluation

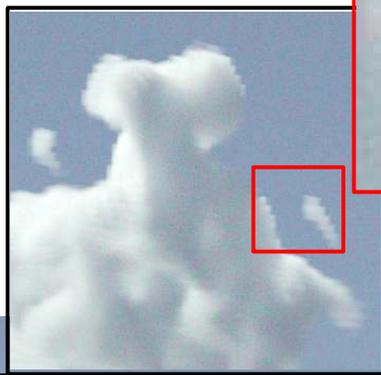


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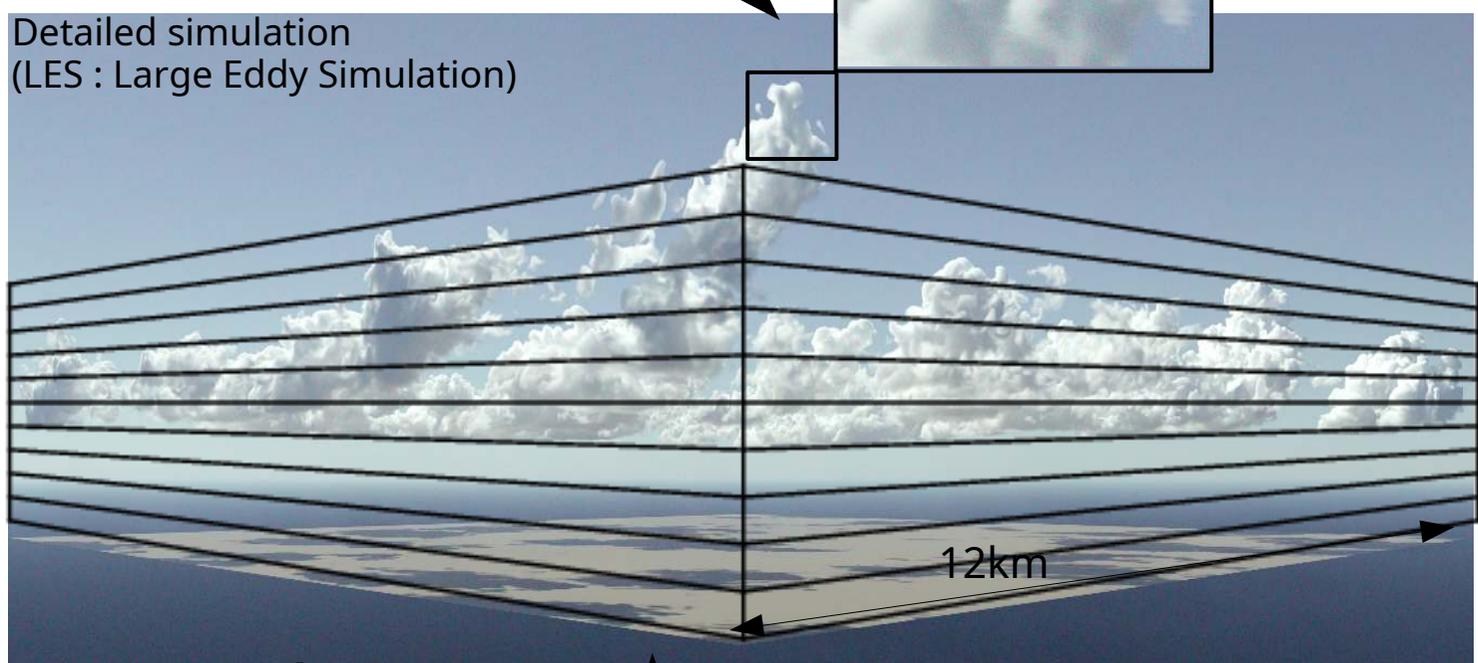


Field campaign experiment

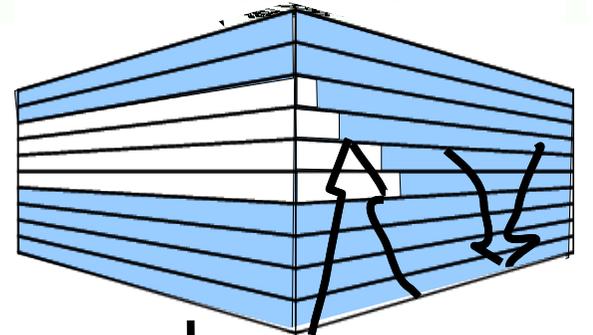
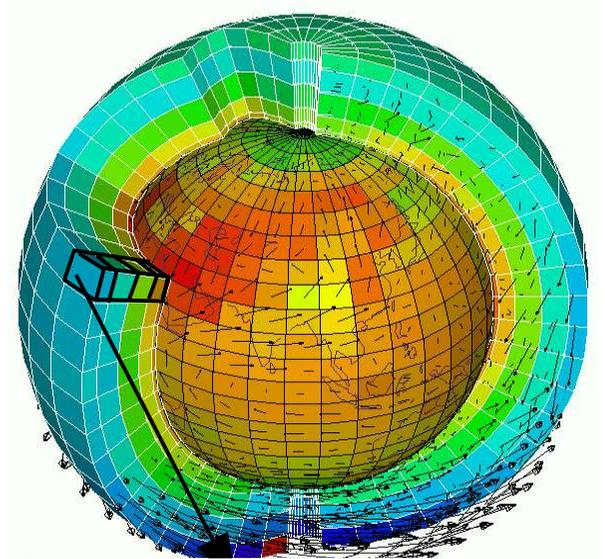
Evaluation



Detailed simulation (LES : Large Eddy Simulation)

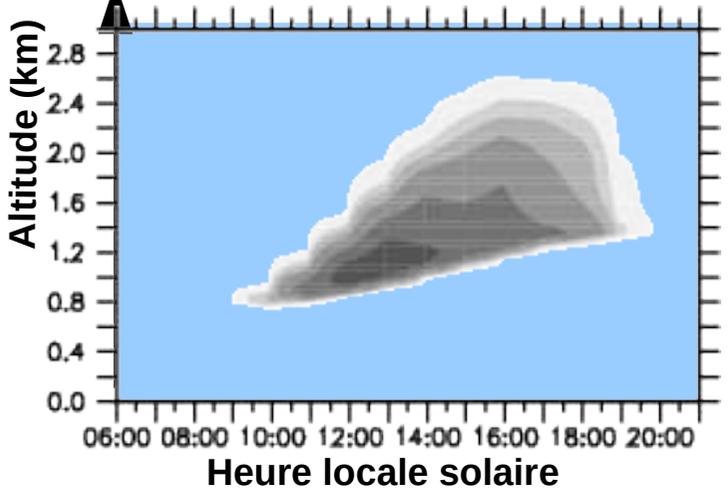


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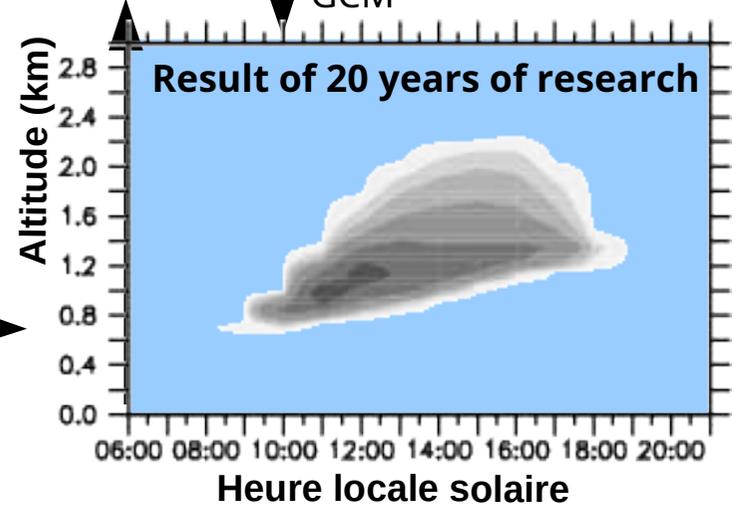


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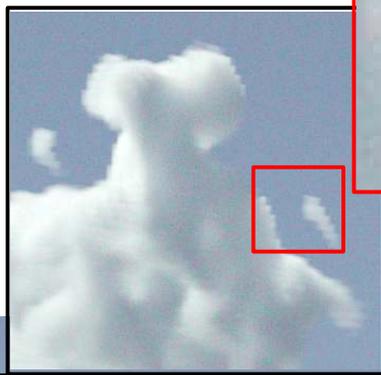


**Result of 20 years of research**

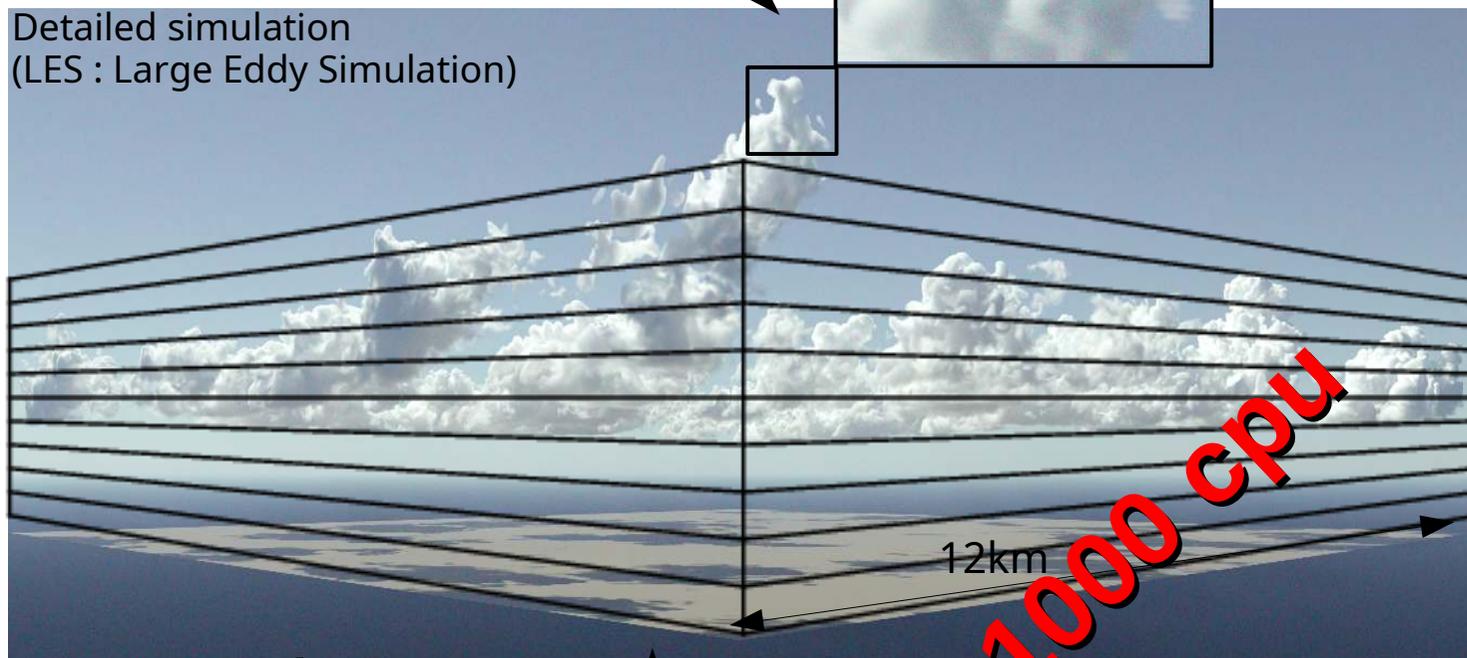


Field campaign experiment

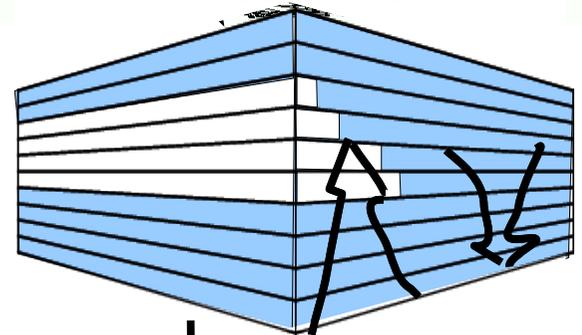
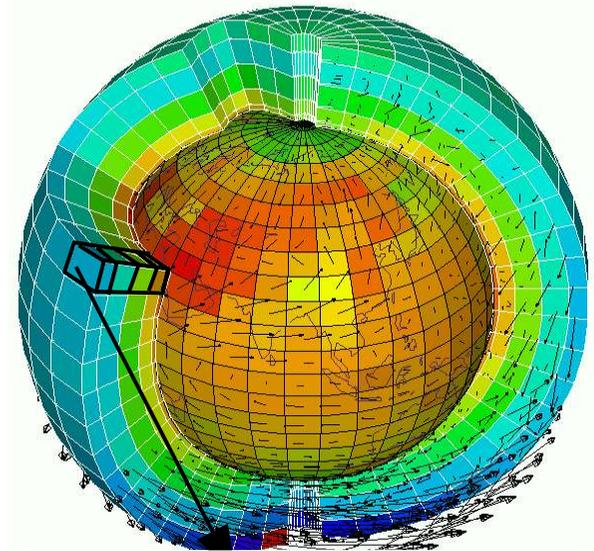
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Detailed simulation (LES : Large Eddy Simulation)



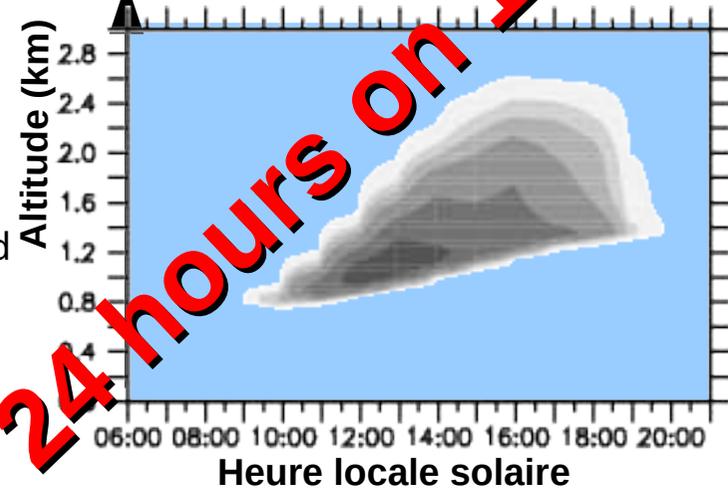
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Computing the cloud fraction for each grid cell in one column of the GCM

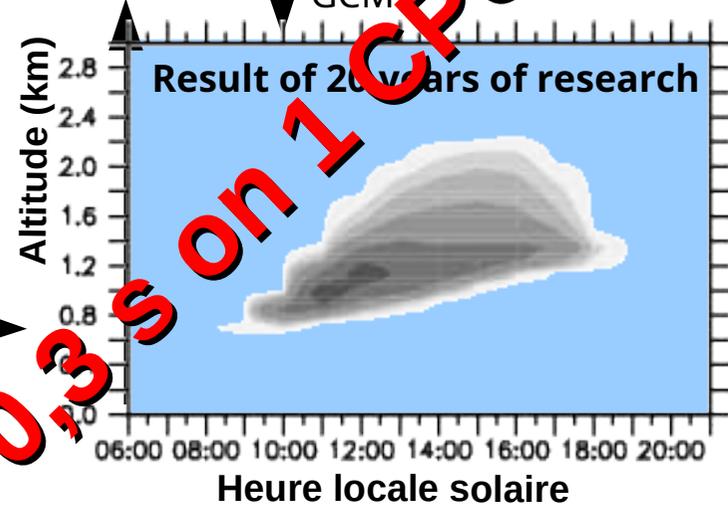
Computing at each altitude the fraction of the horizontal domain covered by clouds. Also called : **the cloud fraction**

**24 hours on 1000 CPU**



**0.3s on 1 CPU**

Evaluation

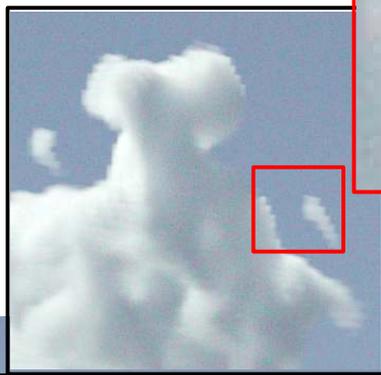


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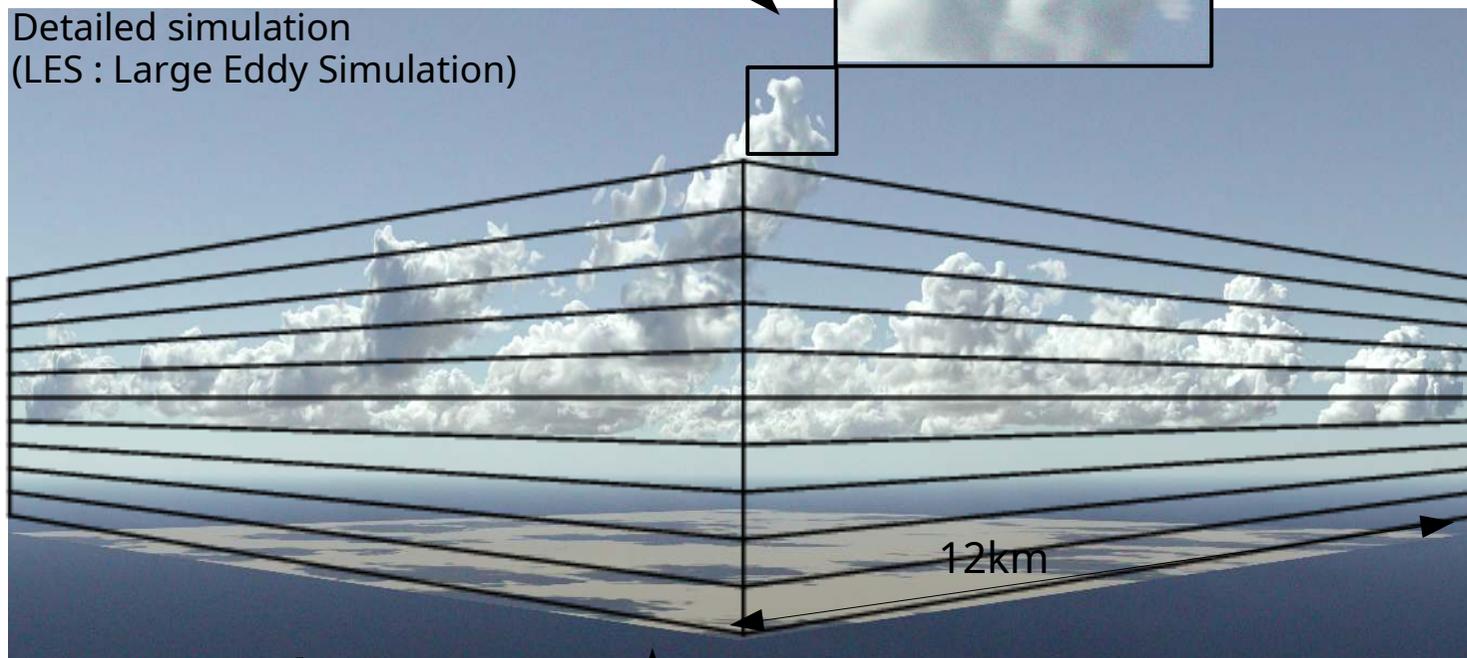


Field campaign experiment

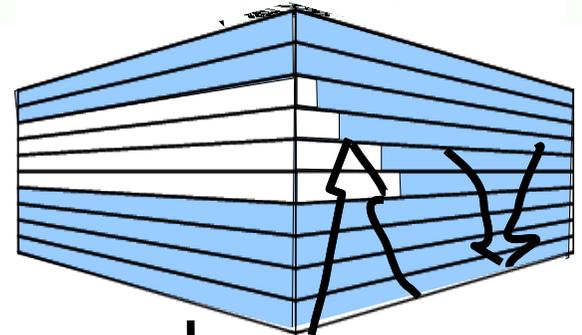
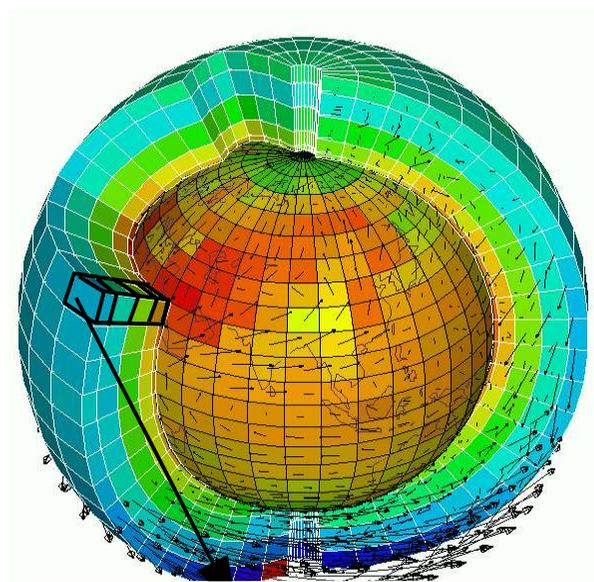
Evaluation



Detailed simulation (LES : Large Eddy Simulation)

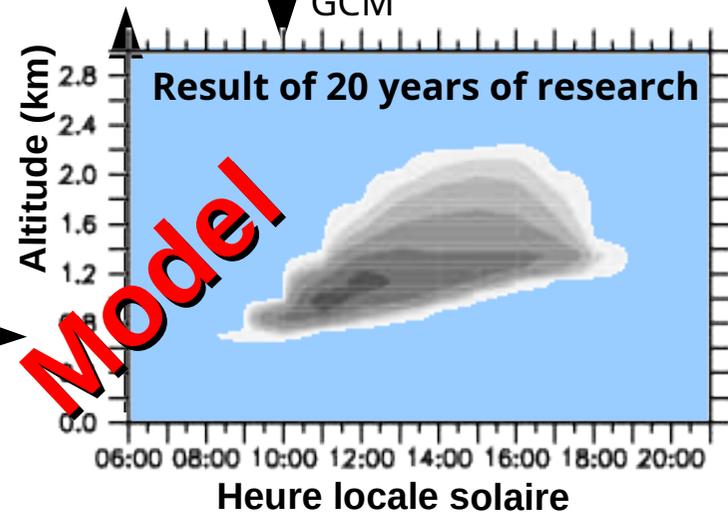
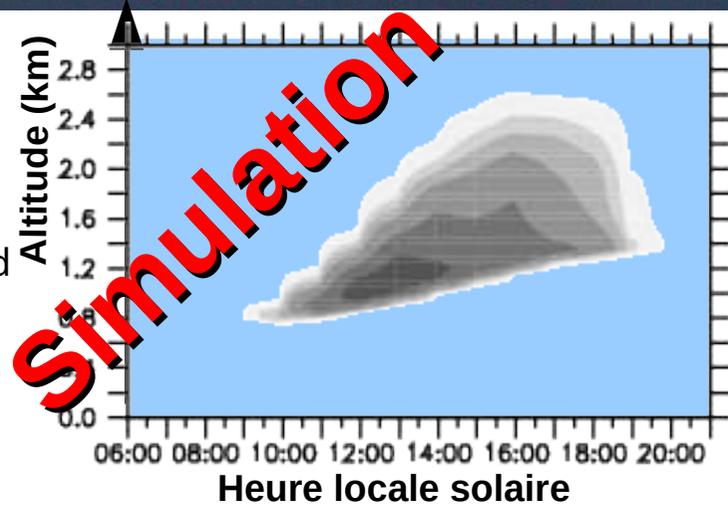


12km



Computing the cloud fraction for each grid cell in one column of the GCM

Computing at each altitude the fraction of the horizontal domain covered by clouds. Also called : **the cloud fraction**

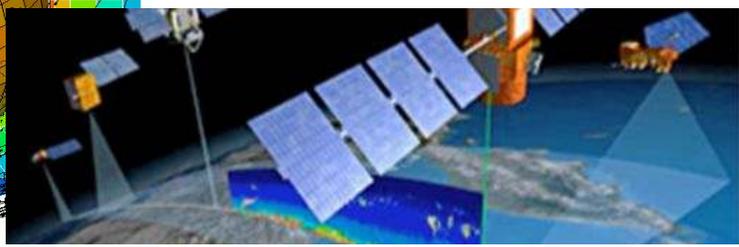
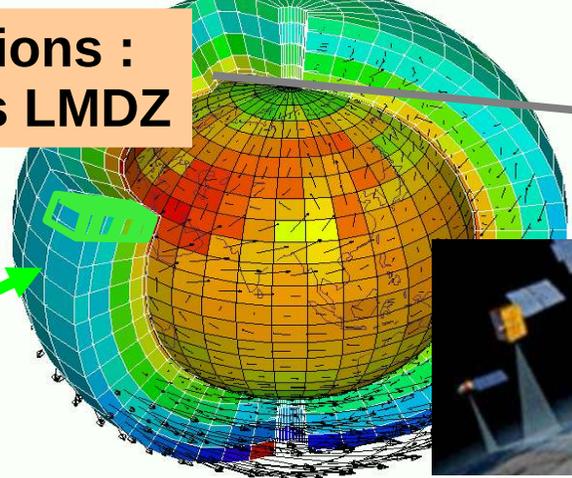
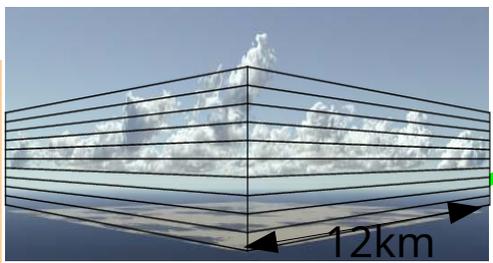


Evaluation

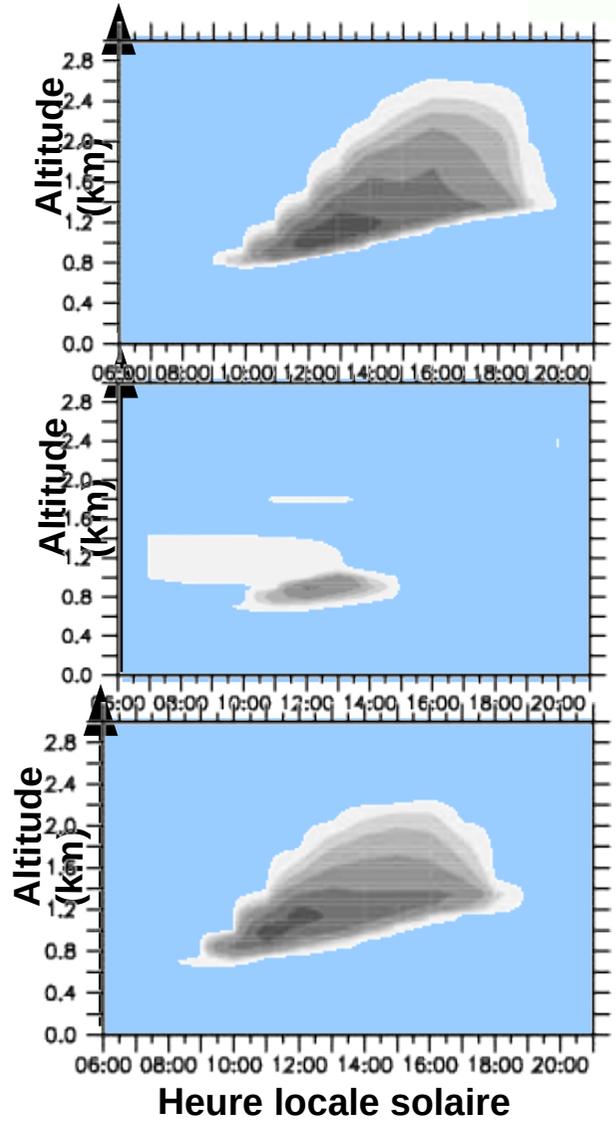
# Amélioration/évaluation des paramétrisations : apport du « modèle du thermique » dans LMDZ

2/ dans le modèle climatique 3D vs satellites

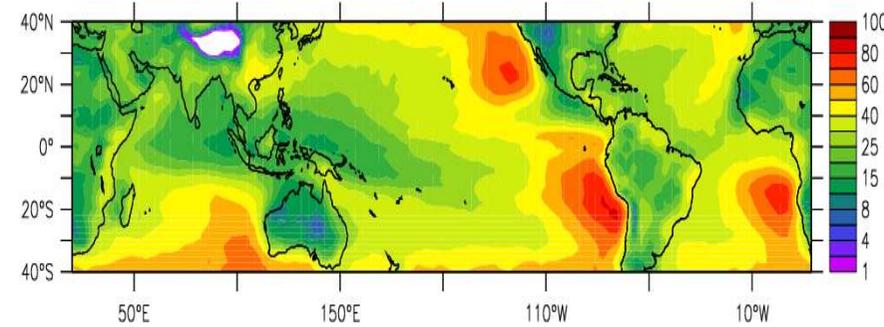
1/ en mode uni-colonne (SCM) vs simulations explicites (LES)



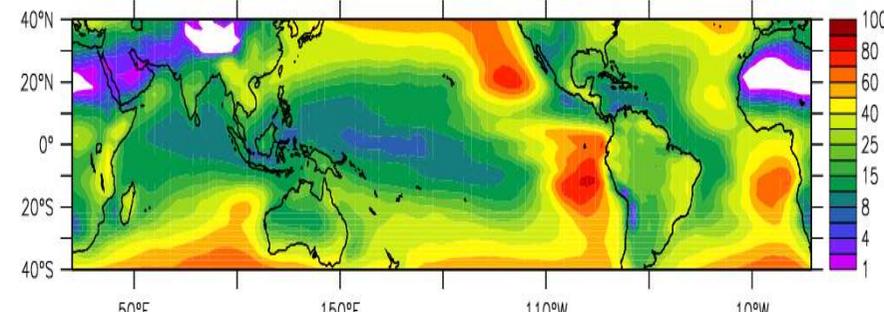
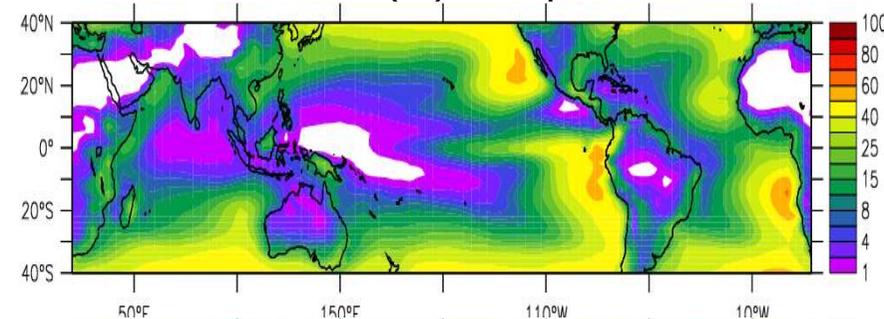
Cas ARM (Oklahoma) de cycle diurne de cumulus



Low clod cover (%), annual mean Calipso lidar



3D climate simulation (annual mean) Low clod cover (%), Calipso simulator



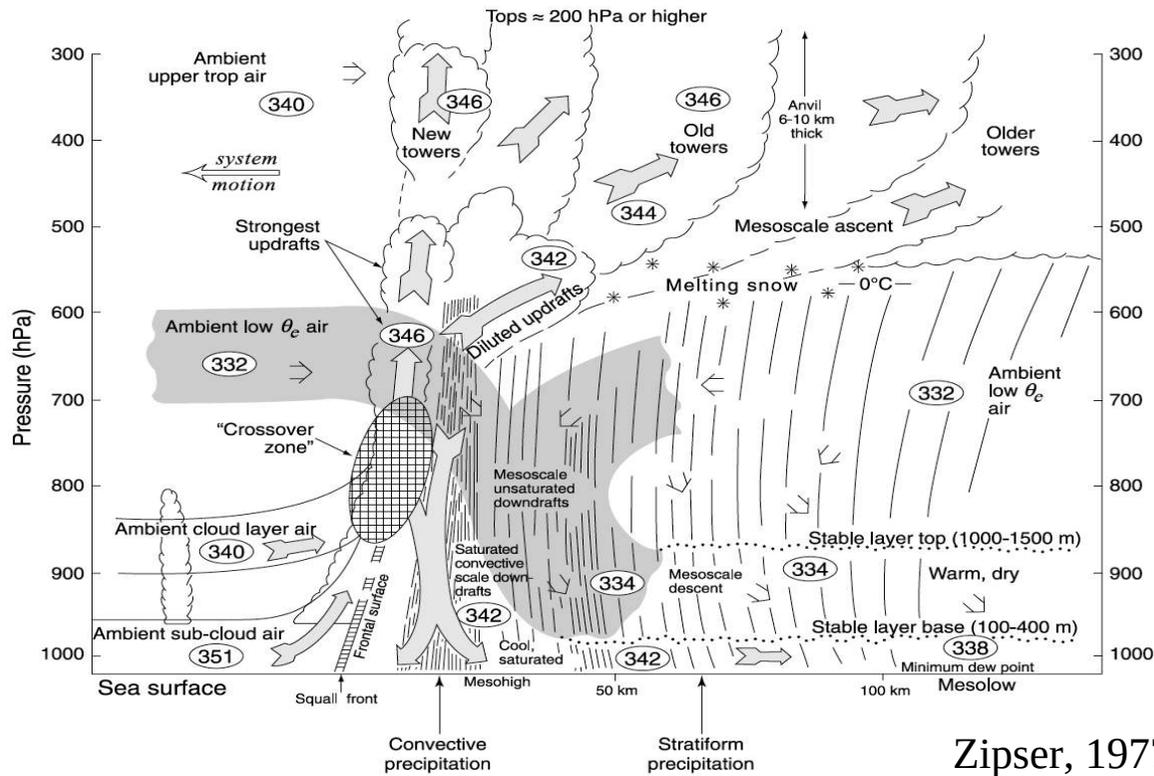
### 3. La convection profonde

#### Spécificités de la convection profonde

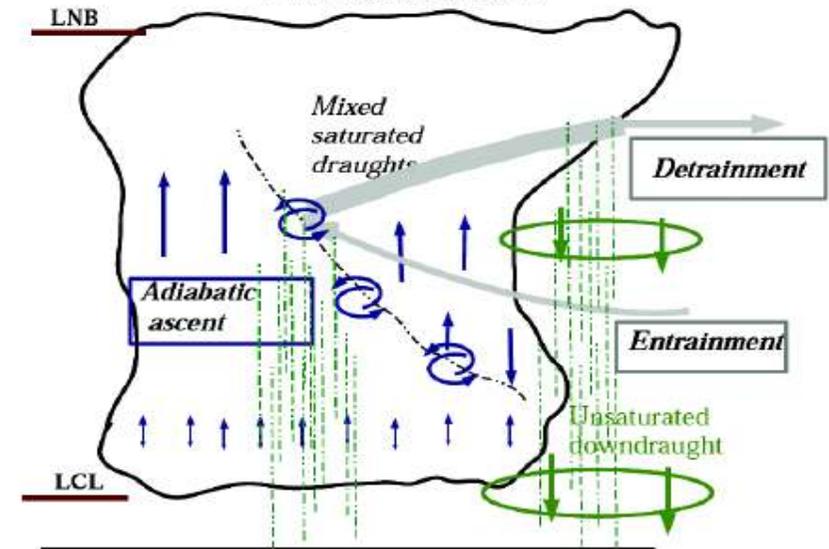
- Profonde (typiquement jusqu'à la tropopause)
- Instabilité conditionnelle → Processus de déclenchement (triggering)
- Importance de la microphysique. La pluie joue un rôle déterminant.
- Importance de l'organisation méso-échelle (formes variées)



#### Conceptual model of convection highlighted by field campaigns

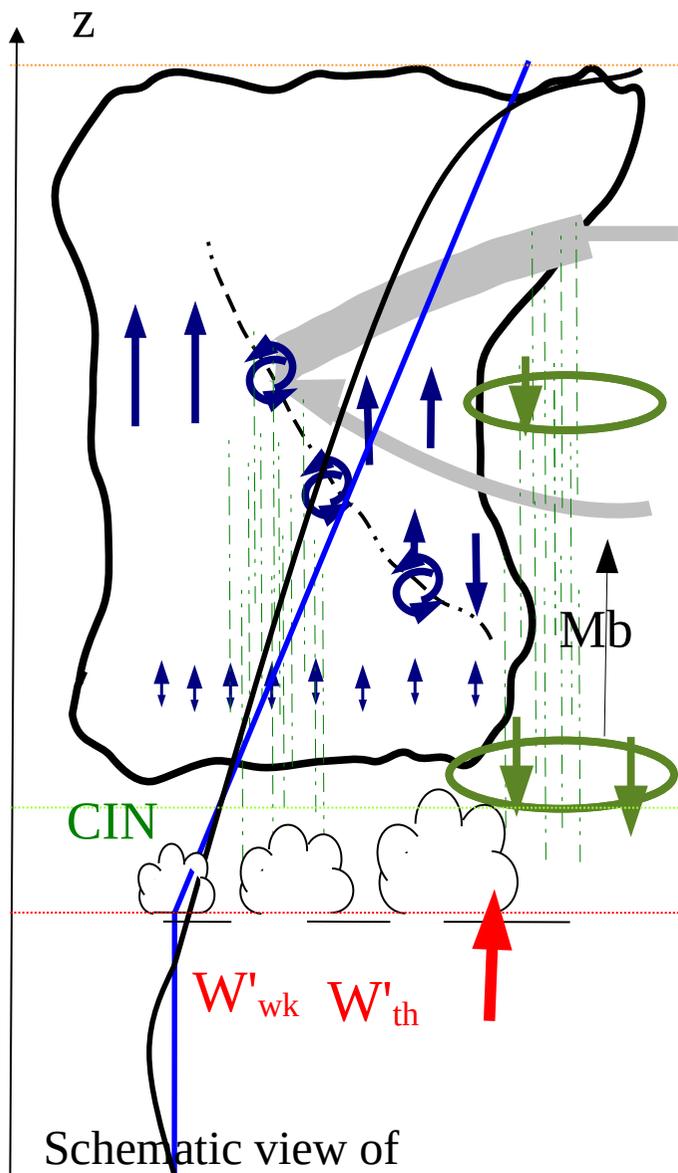


Zipser, 1977



Emanuel, 1991

### 3. La convection profonde « Nouvelle physique » : contrôle de la convection par les processus sous-nuageux



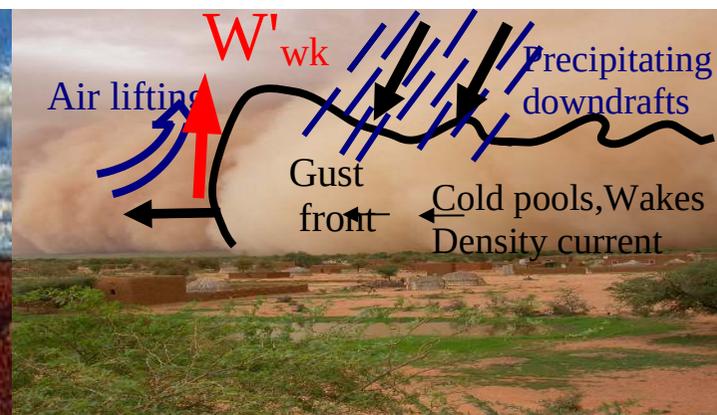
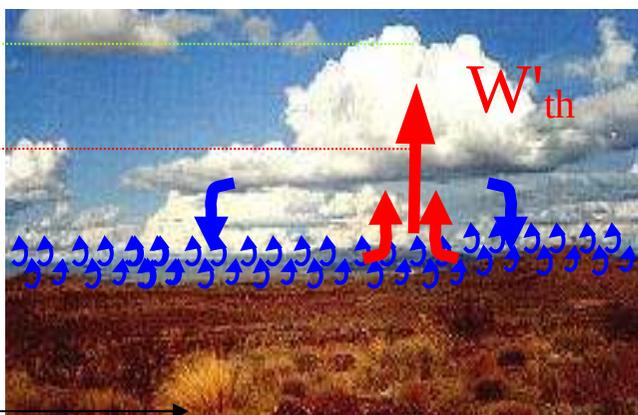
→ Paramétrisation des poches froides (Grandpeix and Lafore 2010)  
 → Fermeture basée sur les processus sous-nuageux  
 Dans LMDZ : on se base sur la vitesse verticale  $W'$  estimée par les paramétrisations des thermiques et des poches froides.  
 (thèse Catherine Rio)

**K: Energie de soulèvement disponible**  
**ALE en J/kg, proportionnel à  $w'^2$ .**

→ **Déclenchement :  $\max(ALE_{th}, ALE_{wk}) > |CIN|$**

**P: Puissance de soulèvement disponible**  
**ALP en  $W/m^2$ , proportionnel à  $w'^3$ .**

→ **Fermeture :  $MB=f(ALP_{th}+ALP_{wk})$**



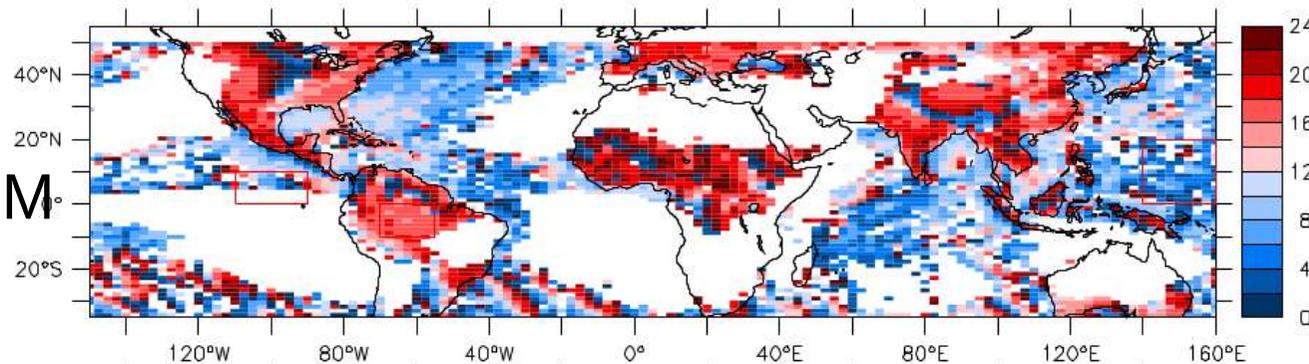
Schematic view of  
Emanuel (1993) scheme  
Deep convection

$\theta_v$

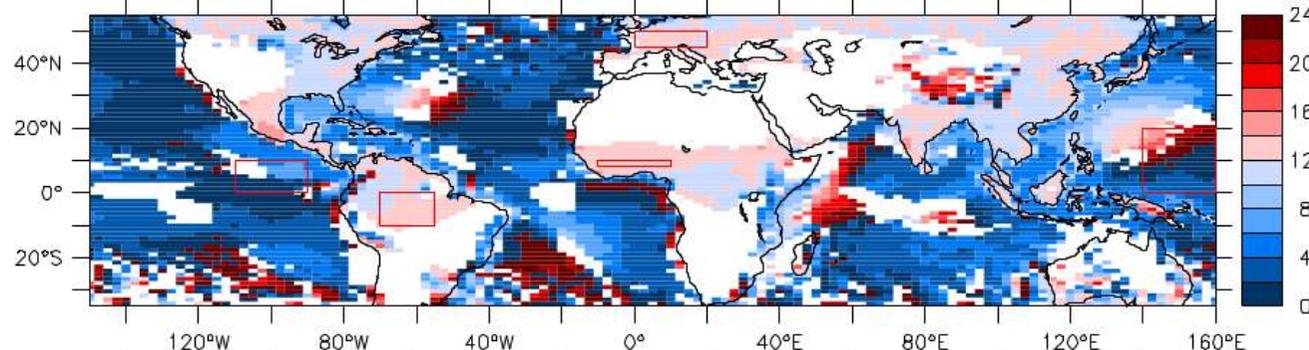
### 3. La convection profonde

Heure du maximum  
de précipitation  
(Juillet Août)

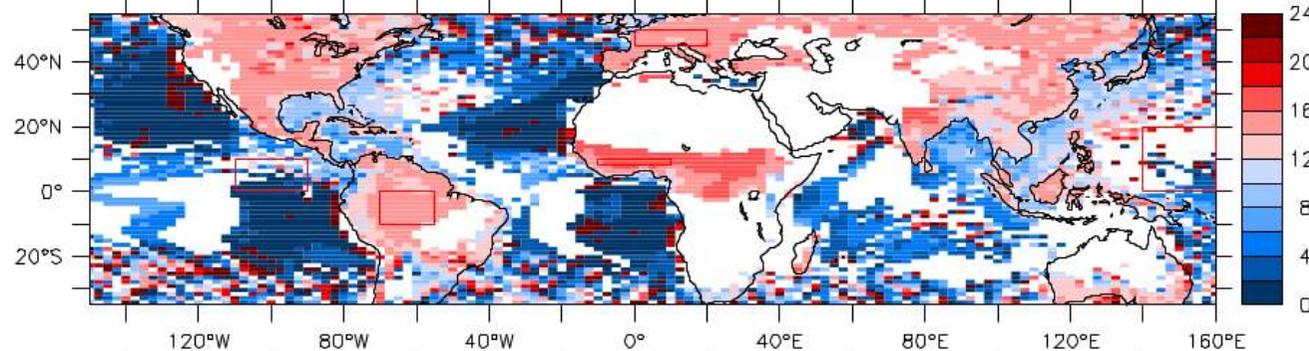
Obs. TRMM



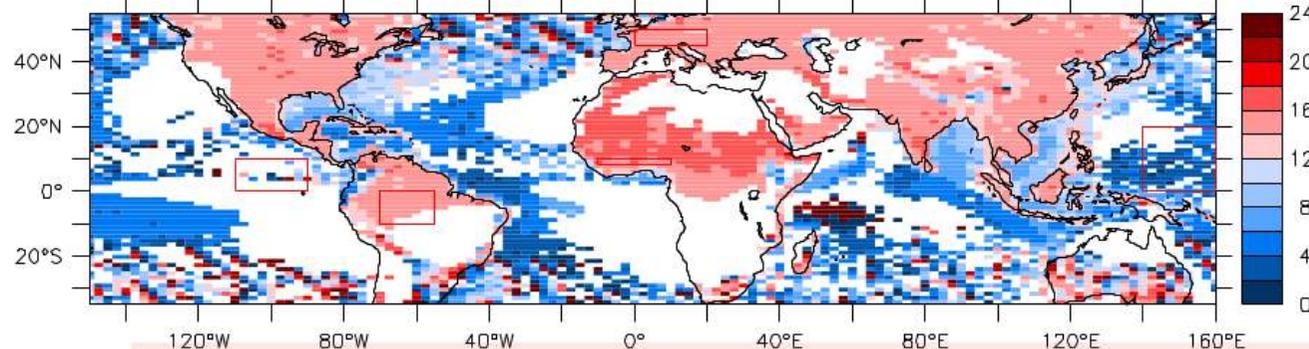
LMDZ5A



LMDZ5B

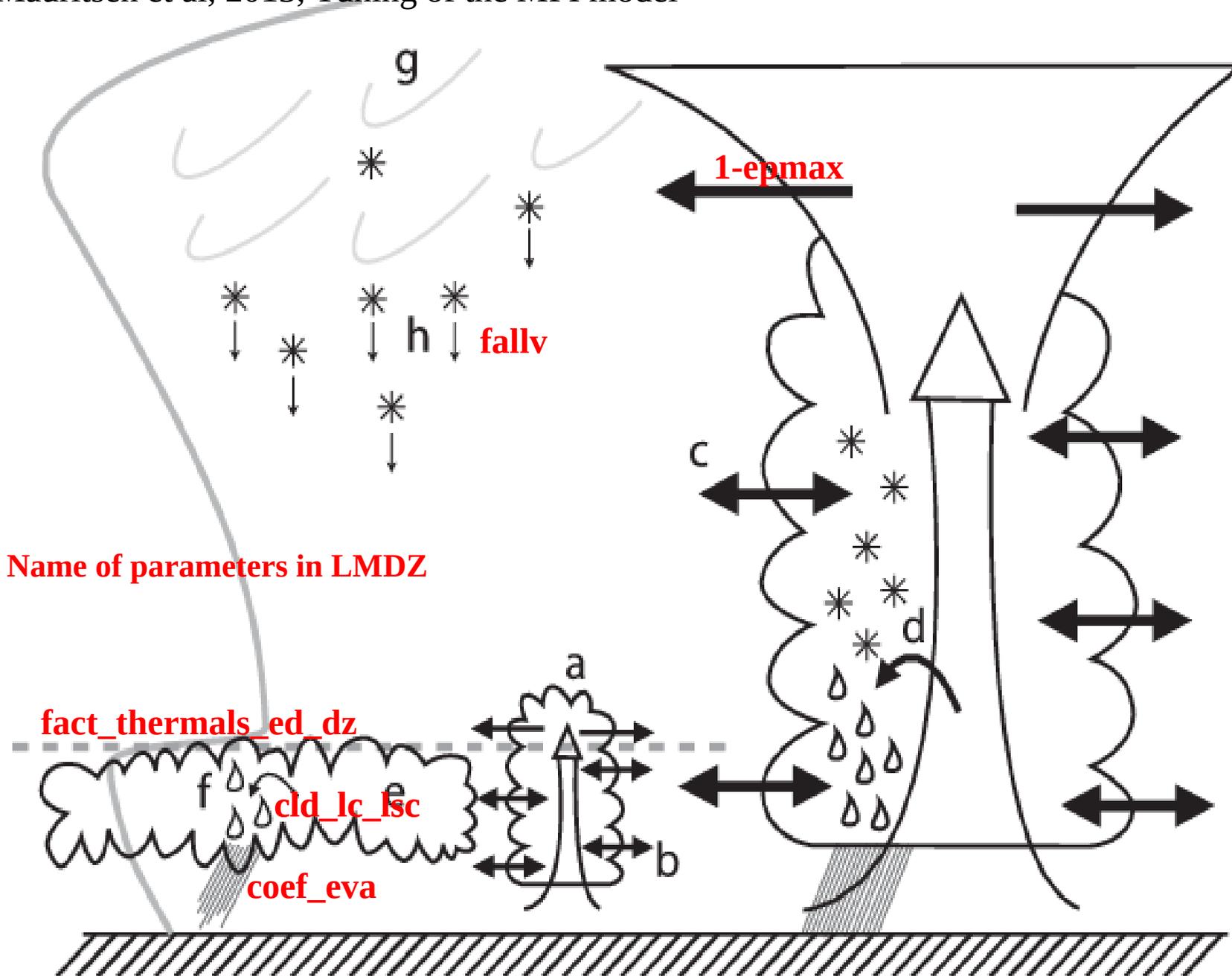


LMDZ6A



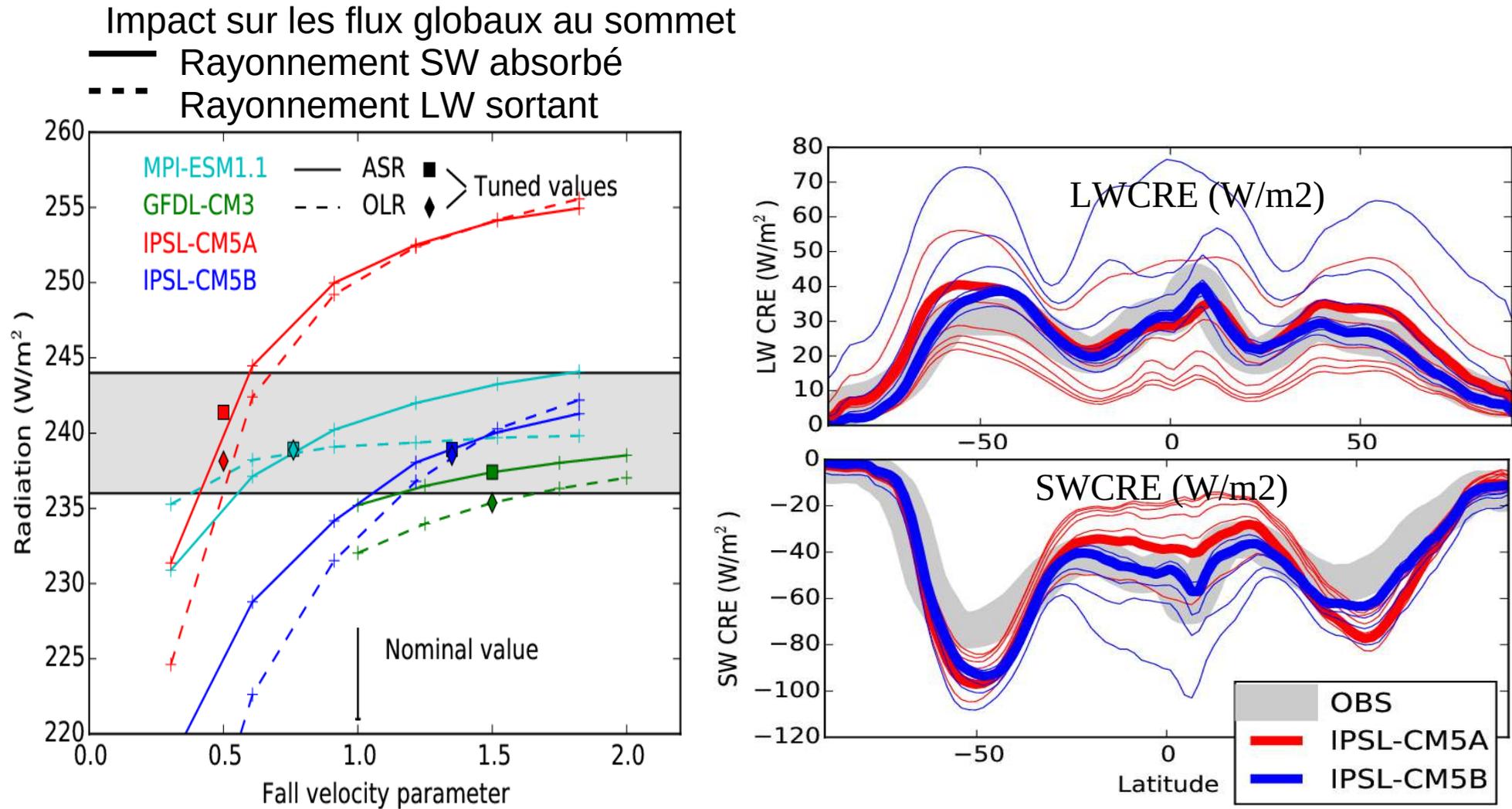
# Tuning of cloud parameters

Mauritsen et al, 2013, Tuning of the MPI model



# Use of a scaling factor on the fall velocity of cloud ice particles

## Impact on global radiative balance and latitudinal radiative forcing of the circulation

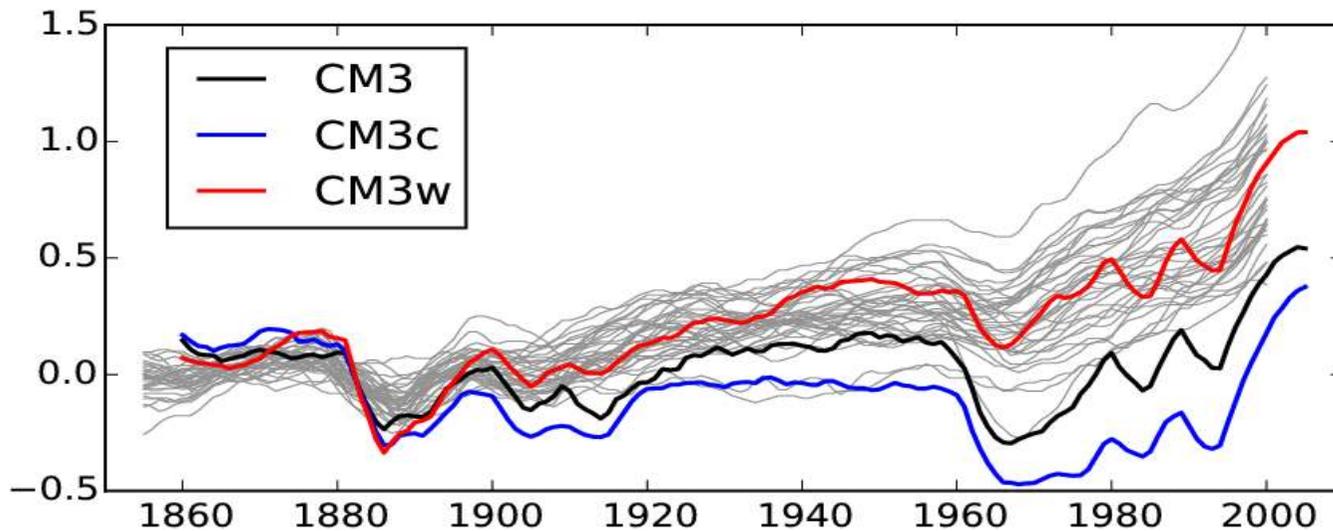
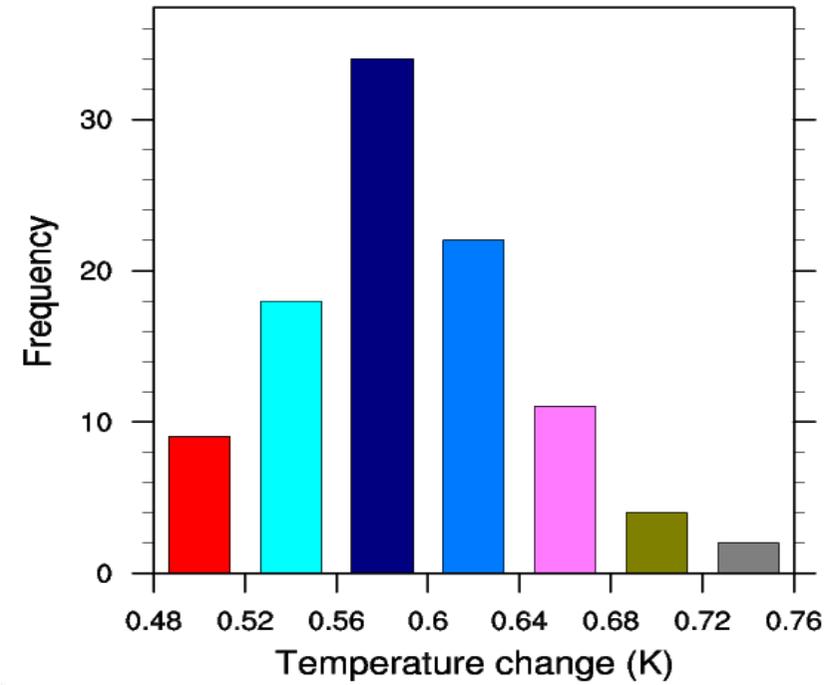
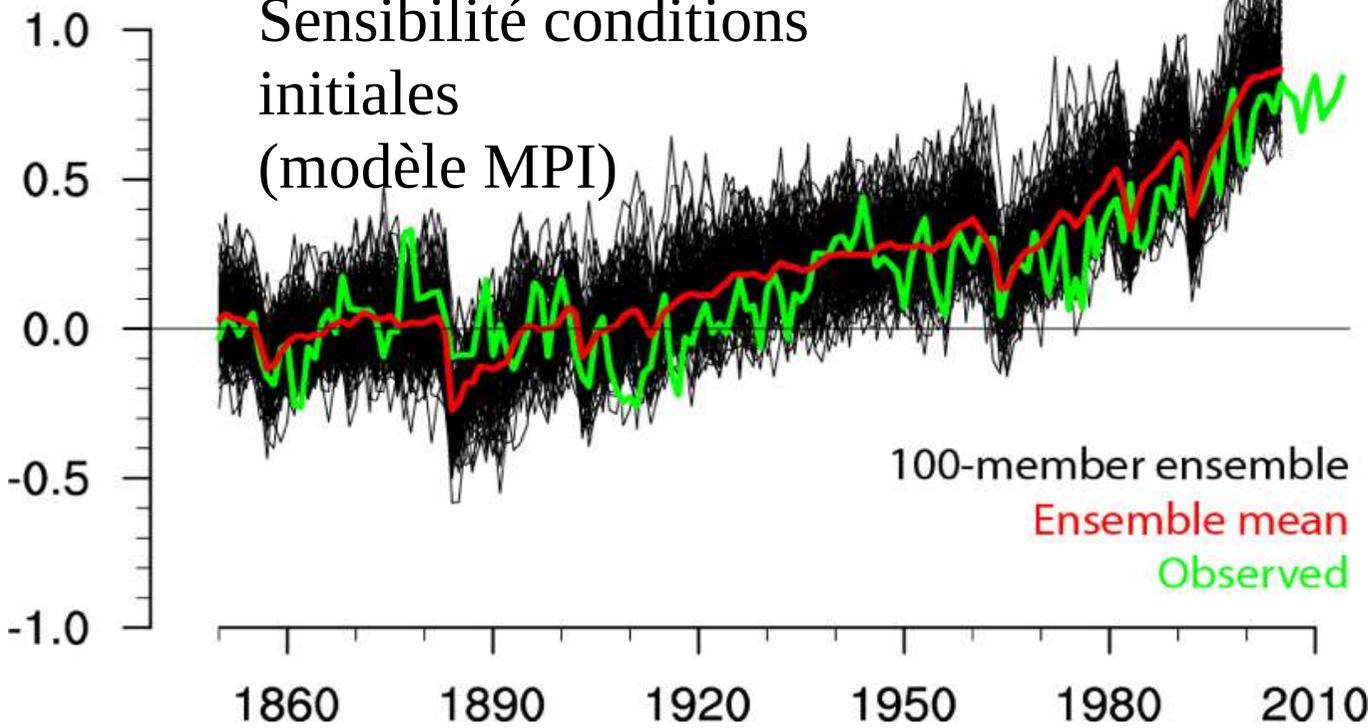


<https://www.lmd.jussieu.fr/~hourdin/PUBLIS/bams-d-15-00135.1.pdf>

The art and science of climate model tuning

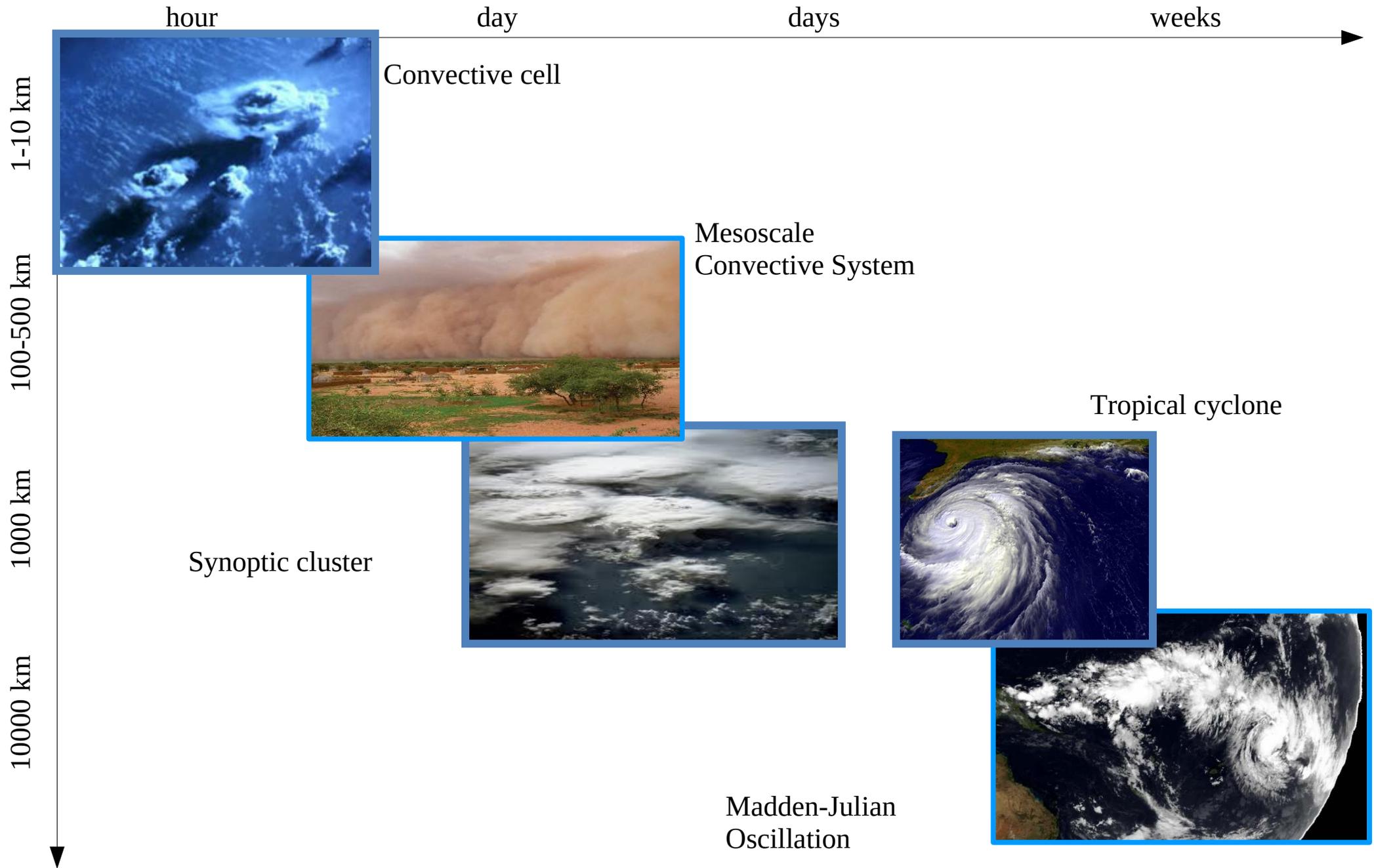
# Reconstructions du 20eme siècle

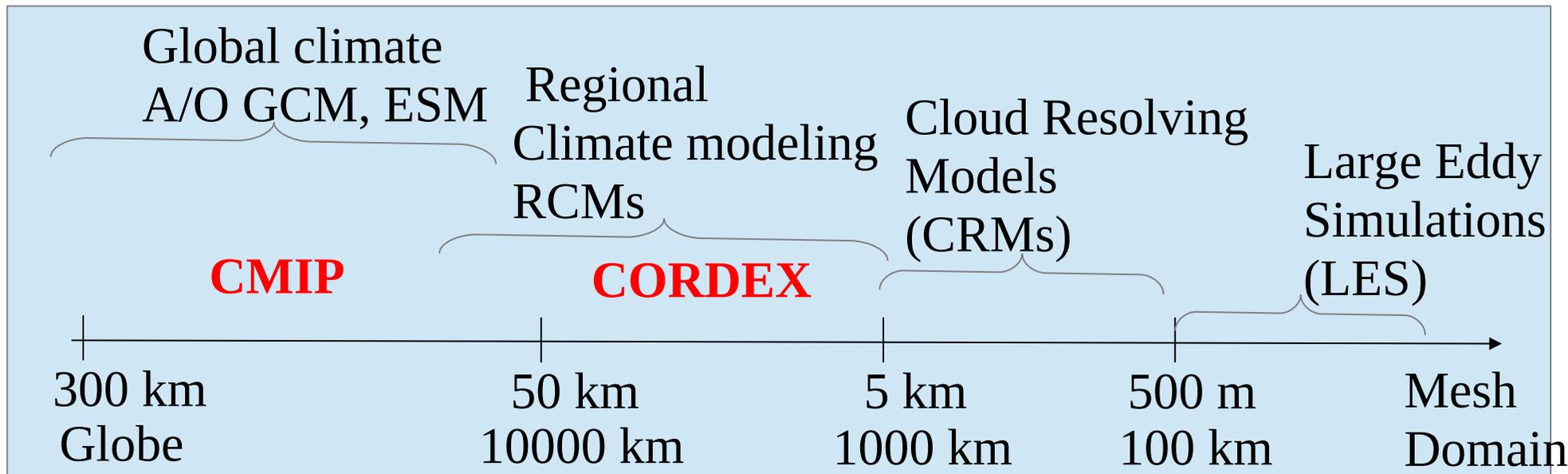
Sensibilité conditions  
initiales  
(modèle MPI)



Effet du tuning  
Modèle du GFDL

# Spatial and temporal scales of convection: a challenge for models





**Parameterized convection**  
 Subrid scale clouds, poor microphysics  
 Climate studies (CMIP)

**Explicit convection**  
 1/0 clouds, sophisticated microphysics  
 Process studies (GASS)



Grey zone for convection

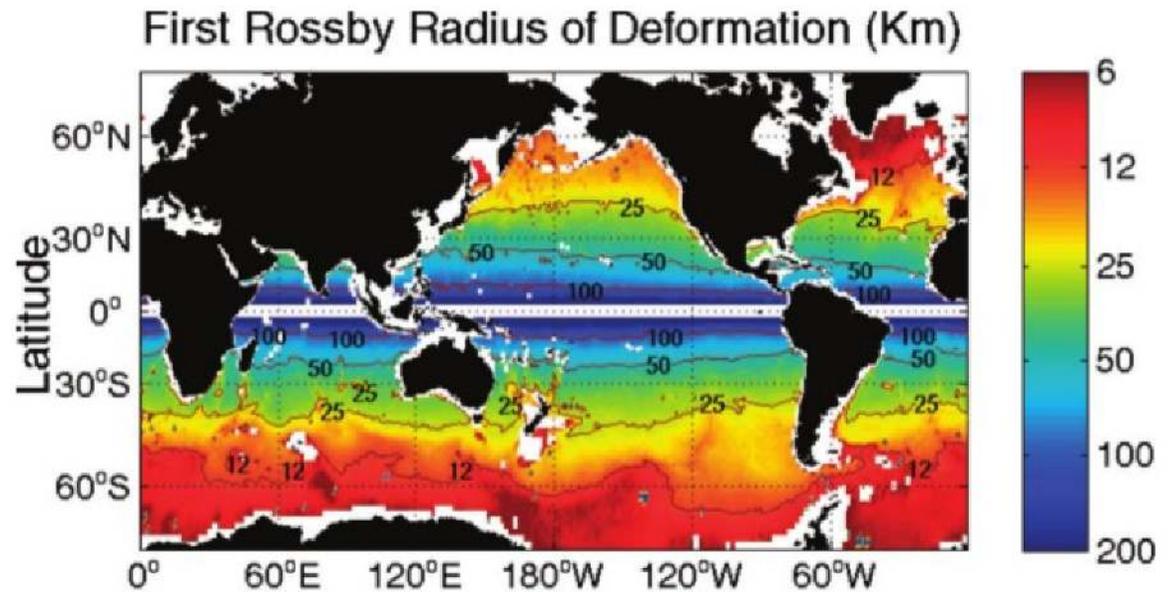
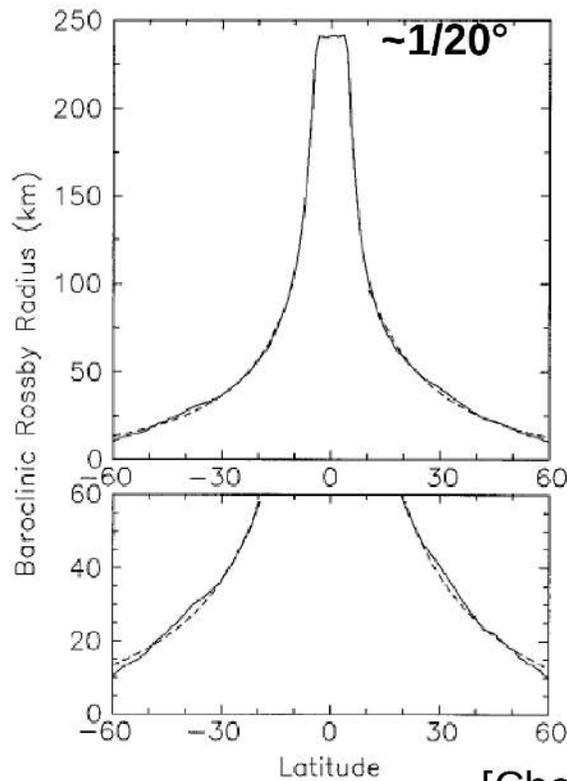
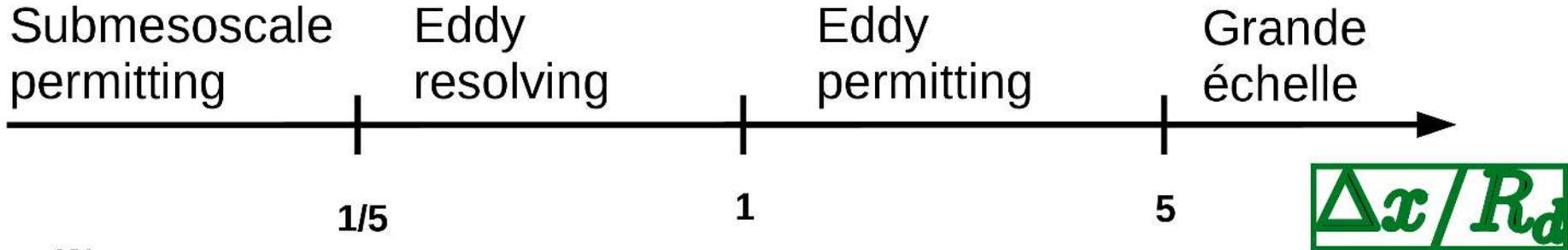


Grey zone for boundary layer<sub>33</sub>

# Ocean

## Régime « eddying »

$$R_d = \frac{1}{\pi} \int_{-H}^0 \frac{N(z)}{f} dz$$



### Parameterizations

- Parameterizations are keys in climate modeling, in particular that of subgrid scale processes, cloud, convection ...
- Parameterizations based on an idealization of the physical processes
- Turbulent and convective processes may start to be explicitly represented at fine resolution : < 10 km for deep convection, < 500 m for boundary layer convection.
- Various approaches exist on the way to decompose and approximate the system
- Use of explicit LES very efficient approach for parameterization development
- Non local organized turbulence very important for climate

### Model and model configurations

- The model or model configuration you are using should depend on the problem you want to address.
- All models are wrong and must be assessed specifically for the question you want to address.
- **A parameterization or a model : Grid configuration + set of equations + tuning**
- The tuning should be reconsidered if you change the model configuration
- Tuning should be considered as well for limited area model. It is most often not the case