

# Impact of the LMDZ atmospheric grid configuration on the climate and sensitivity of the IPSL-CM5A coupled model

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1 **Abstract** The IPSL-CM5A climate model was used to perform a large number  
2 of control, historical and climate change simulations in the frame of CMIP5. The  
3 refined horizontal and vertical grid of the atmospheric component, LMDZ, con-  
4 stitutes a major difference compared to the previous IPSL-CM4 version used for  
5 CMIP3. From imposed-SST (Sea Surface Temperature) and coupled numerical ex-  
6 periments, we systematically analyze the impact of the horizontal and vertical grid  
7 resolution on the simulated climate. The refinement of the horizontal grid results  
8 in a systematic reduction of major biases in the mean tropospheric structures and  
9 SST. The mid-latitude jets, located too close to the equator with the coarsest grids,  
10 move poleward. This robust feature is accompanied by a drying at mid-latitudes  
11 and a reduction of cold biases in mid-latitudes relative to the equator. The model  
12 was also extended to the stratosphere by increasing the number of layers on the ver-  
13 tical from 19 to 39 (15 in the stratosphere) and adding relevant parameterizations.  
14 The 39-layer version captures the dominant modes of the stratospheric variability  
15 and exhibits stratospheric sudden warmings. Changing either the vertical or hor-  
16 izontal resolution modifies the global energy balance in imposed-SST simulations  
17 by typically several  $\text{W/m}^2$  which translates in the coupled atmosphere-ocean sim-  
18 ulations into a different global-mean SST. The sensitivity is of about 1.2 K per  
19  $1 \text{ W/m}^2$  when varying the horizontal grid. A re-tuning of model parameters was

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thus required to restore this energy balance in the imposed-SST simulations and reduce the biases in the simulated mean surface temperature and, to some extent, latitudinal SST variations in the coupled experiments for the modern climate. The tuning hardly compensates, however, for robust biases of the coupled model. Despite the wide range of grid configurations explored and their significant impact on the present-day climate, the climate sensitivity remains essentially unchanged.

**Keywords** Climate modeling · grid resolution · climate change projections

## 1 Introduction

Numerical simulations with general circulation models are at the heart of climate change studies. They are used to quantify the impact of greenhouse gas increase on the evolution of the global climate, to unravel the physical mechanisms that control climate sensitivity, and to verify theoretical hypotheses or mechanisms while taking into account the complexity of the climate system. Those numerical models however still provide only an approximate representation of the real climate system, which constitutes a major source of uncertainty for assessing future climate changes. Improving the models should therefore be one of the main drivers of climate research.

Among the limitations often emphasized is the rather coarse spatial resolution of the models used for long-term climate change simulations, such as those coordinated by the Coupled Model Intercomparison Project (CMIP, Meehl et al, 2007; Taylor et al, 2012). It is partly because of this coarse resolution that key processes such as convection or clouds have to be parameterized. Systematic centennial global simulations with meshes of the order of 50 m, which would be required to explicitly represent boundary layer clouds, will not be reachable before at least a couple of decades. It is however expected that significant improvements can already be achieved by increasing the spatial resolution of current climate models from a few hundreds to a few tens of kilometers, both because it allows a better resolution of the dominant atmospheric large scale dynamics and because it offers a finer description of surface conditions (orography, land/sea distribution). Among the expected improvements are a reduction of systematic biases in temperature, precipitation and winds (Pope and Stratton, 2002; Roeckner et al, 2006; Hack et al, 2006), a better representation of the regional-scale climate (Williamson et al, 1995; Kobayashi and Sugi, 2004; Navarra, 2008; Byrkjedal et al, 2008), and a better representation of rainfall distributions (Kiehl and Williamson, 1991; Déqué et al, 1994). An important question in the frame of climate change simulations is to know whether the model limitations, and in particular the biases which come from the use of coarse grids, impact the climate sensitivity, both in a global sense and in modifications of the climate regimes.

Within the framework of the preparation of the 5th phase of CMIP (CMIP5, Taylor et al, 2012) at the Institut Pierre-Simon Laplace (IPSL), a systematic exploration of the impacts of changes in the atmospheric grid configuration of the LMDZ atmospheric general circulation model was conducted. The simulations were performed with the LMDZ4 version (Hourdin et al, 2006), the atmospheric component of the IPSL Coupled Model IPSL-CM4 (Braconnot et al, 2007; Marti et al, 2010) that took part in CMIP3 (Meehl et al, 2007). The results of this

65 systematic exploration were used to choose the final configuration LMDZ5A, the  
66 atmospheric component of the IPSL-CM5A model used for CMIP5. Since we in-  
67 tended to contribute to CMIP5 with a wide variety of configurations and ensembles  
68 of simulations (Dufresne et al., this issue), rather coarse resolutions were explored.

69 One major goal of this comparison of different grids was to understand how  
70 model biases evolve with increasing resolution. It appears that grid refinement  
71 affects the position of the jets, and in turn the mid-latitude cold bias which  
72 was one of the major deficiencies of IPSL-CM4. The cause of the impact of grid  
73 refinement on the jet latitude is found in large-scale atmospheric dynamics, and  
74 was studied by Guemas and Codron (2011). Here we show that these changes also  
75 affect significantly the biases of the coupled model, as well as the mean climate  
76 equilibrium temperature.

77 Research over the last decades have led to an increasing recognition of the  
78 role of the stratosphere in controlling some aspects of the tropospheric climate.  
79 This influence is related to radiative and chemical effects, but also to dynamical  
80 effects: some modes of stratospheric variability propagate downward, like the  
81 Quasi-Biennial Oscillation (QBO, Baldwin et al, 2001) in the tropics, and the Arctic  
82 Oscillation (AO, Baldwin and Dunkerton, 1999) in the mid latitudes. When  
83 the stratospheric anomalies reach the tropopause, they can potentially influence  
84 the surface climate, at least in the mid-latitudes (for the AO effect in the LMDZ  
85 mid-latitudes see for instance Lott et al, 2005; Nikulin and Lott, 2010). In order  
86 to take into account the impact of the stratospheric dynamics and chemistry in  
87 the coupled climate simulations, the LMDZ vertical grid was extended in the  
88 stratosphere, with a resolution close to a previous stratospheric version of LMDZ4  
89 described by Lott et al (2005). After these changes the model can be considered  
90 as a high-top climate model.

91 These results and discussions are mainly focused on the impact of the configura-  
92 tion changes on the model biases and climate sensitivity. It is shown in particular  
93 that despite a significant impact on some biases in the present-day climate, the  
94 climate sensitivity is weakly affected by the changes in grid configuration. Addi-  
95 tional results concerning the impact of changes in grid configuration are discussed  
96 in companion papers in the same issue: the impact of the refinement of the hori-  
97 zontal grid on the atmospheric variability in the north-Atlantic region is discussed  
98 by Cattiaux et al. and results on the ENSO variability are shown by Dufresne et  
99 al. in an overview paper of the IPSL-CM5 model.<sup>1</sup>

100 The paper is organized as follows. In section 2, the consequences of the model  
101 horizontal grid refinement on the mean climatology and on the latitudinal structure  
102 in the LMDZ4 simulations with imposed SSTs, and in the coupled atmosphere-  
103 ocean simulations with IPSL-CM4, are documented and analyzed. Section 3 is  
104 dedicated to the impact of the vertical extension of the model to the stratosphere.  
105 Finally, we compare in Section 4 the mean climate and the climate sensitivity to  
106 an increase in greenhouse gases of the configurations of the IPSL coupled model  
107 involved in the CMIP3 and CMIP5 exercises.

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<sup>1</sup> The drafts of the special issue papers can be found at  
<http://icmc.ipsl.fr/research/international-projects/cmip5/special-issue-cmip5>.

## 108 2 Refining the horizontal grid in LMDZ4 and IPSL-CM4 simulations

109 We analyze in this section a series of imposed-SST and coupled atmosphere-ocean  
110 simulations, all done with the same LMDZ4 atmospheric model, but with varying  
111 horizontal grids.

### 112 2.1 The LMDZ4 general circulation model

113 LMDZ is an atmospheric general circulation model developed at Laboratoire de  
114 Météorologie Dynamique. The dynamical part of the code is based on a finite-  
115 difference formulation of the primitive equations of meteorology (see e. g. Sadourny  
116 and Laval, 1984), discretized on a stretchable (Z of LMDZ standing for Zoom ca-  
117 pability) longitude-latitude Arakawa C-grid.

118 The physical parameterizations of the LMDZ4 versions are described by Hour-  
119 din et al (2006). The Morcrette (1991) scheme is used for radiative transfer. Drag  
120 and lifting effects associated with the subgrid-scale orography are accounted for  
121 according to Lott (1999). Turbulent transport in the planetary boundary layer is  
122 treated as a vertical diffusion with an eddy diffusivity  $K_z$  depending on the lo-  
123 cal Richardson number according to Laval et al (1981). Up-gradient transport of  
124 heat in the convective boundary layer is ensured by adding a prescribed counter-  
125 gradient term of 1 K/km to the vertical derivative of potential temperature (Dear-  
126 dorff, 1966). In the case of unstable profiles, a dry convective adjustment is applied.  
127 The surface boundary layer is treated according to Louis (1979). Deep convection is  
128 parameterized using the "episodic mixing and buoyancy sorting" Emanuel scheme  
129 (Emanuel, 1991) which assumes quasi-equilibrium between the opposite influences  
130 of the large-scale forcing of convection and of convective instability. A statistical  
131 cloud scheme is used to predict the clouds properties with a different treatment  
132 for convective clouds (Bony and Emanuel, 2001) and large-scale condensation as  
133 explained by Hourdin et al (2006).

134 The IPSL-CM4 simulations made for CMIP3 were performed with a configura-  
135 tion of LMDZ4 made of 96 points in longitude by 72 in latitude (about  $3.75^\circ \times 2.5^\circ$ )  
136 and 19 layers on the vertical (Marti et al, 2010).

### 137 2.2 Sensitivity experiments

138 Identical changes in horizontal resolution are explored here in both imposed-SST  
139 and coupled atmosphere-ocean simulations with exactly the same source code for  
140 the atmospheric component LMDZ4, using a 19-layer vertical grid (L19). The  
141 dynamical time-step and the time constants for the horizontal diffusion are the only  
142 — necessary — parameter changes between the different simulations, as described  
143 below. The other components of the system, i. e. the land surface scheme Orchidee  
144 and the oceanic circulation model Nemo, are also strictly identical (those versions  
145 are described by Marti et al, 2010).

146 In the imposed-SST simulations, seasonally varying SSTs are imposed as a  
147 boundary condition. In practice, a climatological average of the AMIP SSTs (Hur-  
148 rell et al, 2008) over the period 1970–2000 is used in order to minimize the num-

Horizontal grid ( $IM \times JM$ )	CMIP config. IPSL-	$d\lambda \times d\phi$ ( $^\circ \times ^\circ$ )	$\delta x \times \delta y$ (km $\times$ km) at $45^\circ$	$\delta t$ (s)	$\tau_1$ (hrs)	$\tau_2$ (hrs)	imposed SST	Control	historical	1%CO <sub>2</sub>
LMDZ4, IPSL-CM4, L19, CMIP3										
96 $\times$ 71	CM4	3.75 $\times$ 2.5	296 $\times$ 280	180	2.0	1.5	X	X		X
96 $\times$ 95		3.75 $\times$ 1.9	296 $\times$ 209	180	2.0	1.5	X	X		X
144 $\times$ 95		2.5 $\times$ 1.9	197 $\times$ 209	120	2.0	1.5	X	X		
144 $\times$ 142		2.5 $\times$ 1.25	197 $\times$ 140	120	1.5	1.0	X	X		X
192 $\times$ 142		1.88 $\times$ 1.25	148 $\times$ 140	90	1.5	1.0	X	X		
192 $\times$ 192		1.88 $\times$ 0.93	148 $\times$ 104	90	1.5	1.0	X			
280 $\times$ 192		1.29 $\times$ 0.93	101 $\times$ 104	60	1.5	1.0	X			
LMDZ5A, IPSL-CM5A, L39, CMIP5										
96 $\times$ 95	CM5A-LR	3.75 $\times$ 1.9	296 $\times$ 209	180	1.5	1.5	X	X	X	X
144 $\times$ 142	CM5A-MR	2.5 $\times$ 1.25	197 $\times$ 140	120	1.5	1.0	X	X	X	X

**Table 1** Characteristics of the model configurations used for this study. The IPSL-CM4 model used for CMIP3 was based on the 96  $\times$  71 horizontal grid configuration of the LMDZ4 atmospheric general circulation model with 19 layers on the vertical (L19). A series of sensitivity experiments to the horizontal grid was performed with the same model version. For CMIP5, the IPSL-CM5A model (LMDZ5A atmospheric component with 39 layers) was run both with a low resolution (LR, 96  $\times$  95) and mid resolution (MR, 144  $\times$  142) grid.  $\delta t$  is the time-step used for primitive equations integration. The physical package is called with a time-step of 30 minutes for all the model configurations. The radiative transfer is computed each two hour for the IPSL-CM4 simulations and every hour for IPSL-CM5A-LR and -MR.  $\tau_1$  and  $\tau_2$  are the time constants for horizontal dissipation. The last four columns indicate the simulations used in the present study. See text for further explanations.

149 ber of years of simulation required to smooth out the inter-annual variability. The  
150 forced simulations are run for 10 years.

151 For the coupled atmosphere-ocean simulations, we show results of control simu-  
152 lations in which the concentration of greenhouse gases, the Earth’s orbital pa-  
153 rameters and solar irradiance, and aerosols are kept constant, with same values  
154 as in the imposed-SST experiments. The model is run for 100 years. The control  
155 simulations are analyzed after a spin-up phase so that the global radiative balance  
156 is within 1 W/m<sup>2</sup> from zero in all the simulations. For the illustrations below  
157 the climatological mean seasonal cycle is computed from the last 10 years of the  
158 simulations.

159 LMDZ uses for the time integration a leapfrog scheme with a Matsuno (or  
160 forward/backward) step every five leapfrog time-steps. The time step  $\delta t$  is limited  
161 by a CFL criteria, which varies linearly with the size  $\delta x_{\min}$  of the smallest grid  
162 cell:  $\delta t < \delta x_{\min}/C$ , where the  $C$  constant is the external gravity waves phase speed  
163 in the model. In longitude-latitude grids, the longitudinal grid size goes to zero  
164 at the pole. In order to avoid the use of too small time-steps, a longitudinal filter  
165 is applied to the dynamical equations after latitude  $\phi_0=60^\circ$  in both hemispheres.  
166 For a regular longitude-latitude grid as used here, the minimum scale explicitly  
167 accounted for in  $x$  is  $\delta x_{\min} = \delta x_{\max} * \cos(\phi_0) = \delta x_{\max}/2$ , where  $\delta x_{\max} = 2\pi a/IM$   
168 is the mesh size in  $x$  at the equator,  $a = 6400$  km being the Earth radius and  
169  $IM$  the number of grid cells in the longitudinal direction. Poleward of the latitude  
170  $\phi_0$ , meteorological fields are filtered so as to retain only wave lengths longer than  
171  $\delta x_{\min}$ . The grid mesh size in latitude  $\delta y = \pi a/JM$  – where  $JM$  is the number of  
172 points in latitude – is a constant for a given grid. Finally, the time step is limited  
173 by  $\delta t < (\pi a/C) \min(1/IM, 1/JM)$ .

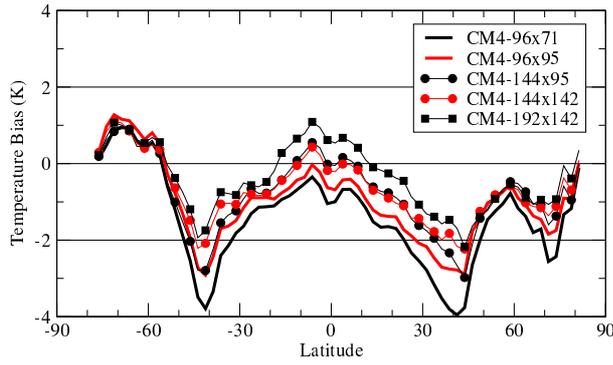
In a longitude-latitude grid, the isotropy of the horizontal grid ( $\delta y = \delta x$ ) cannot be insured everywhere. The original grid,  $(IM, JM) = (96, 72)$ , or  $(d\lambda, d\phi) = (3.75^\circ, 2.5^\circ)$ , has a ratio  $IM/JM = 4/3$  chosen so that the grid is isotropic at close to  $45^\circ$  latitude. This choice yields  $\delta x = 3\delta y/2$  at the equator; and the time step is limited by  $\delta x$  at  $\phi_0$ . Keeping both the same resolution in longitude and the same value of  $\phi_0 = 60^\circ$ , it is possible to refine further the resolution in latitude up to  $JM = IM$  without reducing the time step. The grid is then isotropic at  $60^\circ$  latitude, and  $\delta x/\delta y = 2$  at the equator.

The simulations presented here were performed with either  $JM = 3/4 \times IM$  or  $JM = IM$ . Resolutions from  $(d\lambda, d\phi) = (3.75^\circ, 2.5^\circ)$  to  $(1.875^\circ, 1.26^\circ)$  or  $(IM, JM) = (96, 71)$  to  $(192, 142)$  were explored with the coupled atmosphere-ocean model, by increasing successively either the latitudinal or longitudinal resolution. The same resolutions as well as finer grids were explored with the imposed-SST model. Characteristics of the simulations are given in Tab. 1.

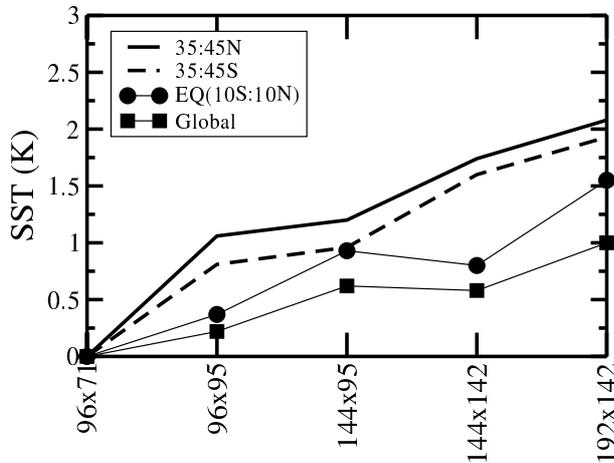
In addition to the choice of a small enough time step, the numerical stability of the model is ensured by the "horizontal dissipation" operators. Those operators account for the interaction between the explicit and sub-grid scales. They are also crucial for numerical stability. Without dissipation, the enstrophy cascade — well represented in LMDZ which favors numerical conservation of enstrophy (Sadourny, 1975) — would accumulate at the cut-off scale. The efficiency of those operators is controlled by two constants: the number of iterations  $\mathcal{N}$  and a time constant  $\tau$ . The larger the value of  $\mathcal{N}$ , the more scale-selective the operator is, the e-folding time of an oscillation of wavenumber  $k$  scaling with  $k^{2\mathcal{N}}$ . The time constant  $\tau$  is the e-folding time of the largest value of  $k$  encountered in the mesh ( $k_{\max} \sim 1/\delta x_{\min}$ ). In practice, a Laplacian operator is used for the lateral diffusion of potential temperature, while the vector Laplacian used for wind dissipation is divided into rotational and divergent components.  $\mathcal{N} = 2$  is used for the temperature and the wind rotational, with the same time constant  $\tau_1$ . For the wind divergence, a stronger dissipation is applied by using both  $\mathcal{N}=1$  and a shorter time constant  $\tau_2 < \tau_1$ . In practice, the time constants are slightly adjusted (reduced) empirically to insure numerical stability when refining the grid. Note however that the effective diffusivity at a given scale decreases drastically when refining the grid. The retained values are given in Tab. 1.

### 2.3 SST cold biases and dynamical structure

One of the major deficiencies of the IPSL-CM4 CMIP3 simulations was a strong cold bias in the mid-latitude SSTs, in both the Northern and Southern hemispheres (Swingedouw et al, 2007; Marti et al, 2010). The zonal-mean bias reaches 4 K around  $40^\circ$  of latitude for the IPSL-CM4  $96 \times 71$  simulation (Fig. 1). Refining the resolution in latitude significantly reduces this bias. With a refinement in longitude, the warming of the model is essentially located in the tropics, as illustrated further in Fig. 2. The equator-to-mid-latitude surface temperature contrast is generally 0.5-1 K smaller (and thus closer to observations) when the same number of points is used in longitude and latitude. The bias in the equator-to-mid-latitude contrast is of 4 K for the  $96 \times 71$  simulation, which has nearly zero SST-bias at the equator. It reduces down to 2 K in the  $144 \times 142$  case, but increases back to 3 K for the  $192 \times 142$  grid.



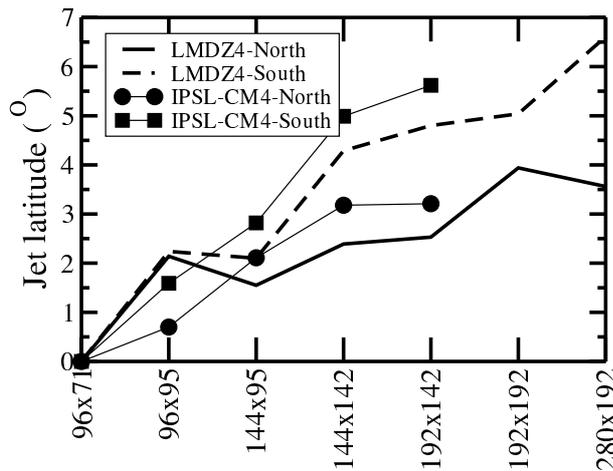
**Fig. 1** Biases in SST (K) for the various configurations of the IPSL-CM4 model. The biases are computed with respect to the Levitus climatology, and zonally averaged. We analyze the last 10 years of 100-year simulations starting from the same oceanic state. The red curves correspond to cases where  $IM = JM$ .



**Fig. 2** Evolution with the model horizontal resolution of the SST (K) for the global average (squares), for the southern (45-35S, dashed line) and northern (35-45N, full line) mid-latitudes and for the Equator (5S-5N, circles). The values from the coarsest grid (96×71) are subtracted.

220 The reduction of the cold bias of the mid-latitudes when refining the grid is  
 221 accompanied by a poleward shift of the mid-latitude jets (Fig. 3). This shift is  
 222 present both in the IPSL-CM4 coupled and LMDZ4 imposed-SST simulations. It  
 223 corresponds to a strong reduction of the biases in the representation of the mean  
 224 zonal wind with grid refinement, as illustrated in the left column of Fig. 4 for the  
 225 imposed-SST simulations. For the coarsest grids, the jets are shifted toward the  
 226 equator compared to ERA interim reanalyzes (as seen from the strong dipole in  
 227 the zonal wind bias, centered at the latitude of the jet maximum intensity).

228 This jet displacement was studied by Guemas and Codron (2011) in a set of  
 229 dynamical core experiments produced with the LMDZ atmospheric model using  
 230 the Held and Suarez (1994) setup. This setup consists in replacing all the detailed  
 231 physical parameterizations by a Newtonian relaxation of the temperature field



**Fig. 3** Latitude of the mid-latitude jets, computed at the 850hPa level, for the two hemispheres and for the imposed-SST (LMDZ4) and coupled atmosphere-ocean (IPSL-CM4) simulations. The latitude is counted positive from equator to pole in both hemispheres and the values from the coarsest ( $96 \times 71$ ) grid are subtracted.

232 toward a zonally-symmetric state, and a Rayleigh (linear) damping of the low-level  
 233 wind with an e-folding timescale of 1 day at the surface. In this configuration, it  
 234 was shown that the jet latitude moves poleward when refining the grid in latitude,  
 235 and is less affected when increasing the number of grid points in longitude. It was  
 236 checked also in this idealized framework that the changes in jet location when  
 237 refining the grid do not come from the use of a shorter time-step.

238 A similar behavior is found for the imposed-SST and coupled climate simulations  
 239 shown here (Fig. 3): a tendency of the jets to move toward the poles when  
 240 increasing the resolution, with a stronger impact when refining the grid in latitude.  
 241 The effect is not as systematic as in the idealized dynamical simulations of Guemas  
 242 and Codron (2011), which may reflect additional effects due to the complexity of  
 243 the climate system.

244 In order to understand how the grid refinement impacts the SSTs, i. e. both  
 245 the increase of the mean temperature and reduction of the latitudinal contrasts,  
 246 we start by analyzing the change in thermodynamical variables and energy budget  
 247 in the imposed-SST simulations.

#### 248 2.4 Thermodynamical variables in the imposed-SST simulations

249 The changes in zonal winds shown in Fig. 4 are accompanied by systematic changes  
 250 in the temperature and humidity fields.

251 The mid-latitude tropopause (close to 200 hPa) moistens when refining the  
 252 horizontal grid, and becomes too moist when compared to ERA-Interim for the  
 253 finest grids. The tropopause cold bias of the mid to high latitudes also increases.  
 254 These two trends are probably related to each other since the cooling to space,  
 255 a dominant term of the radiative balance at this level, is strongly affected by

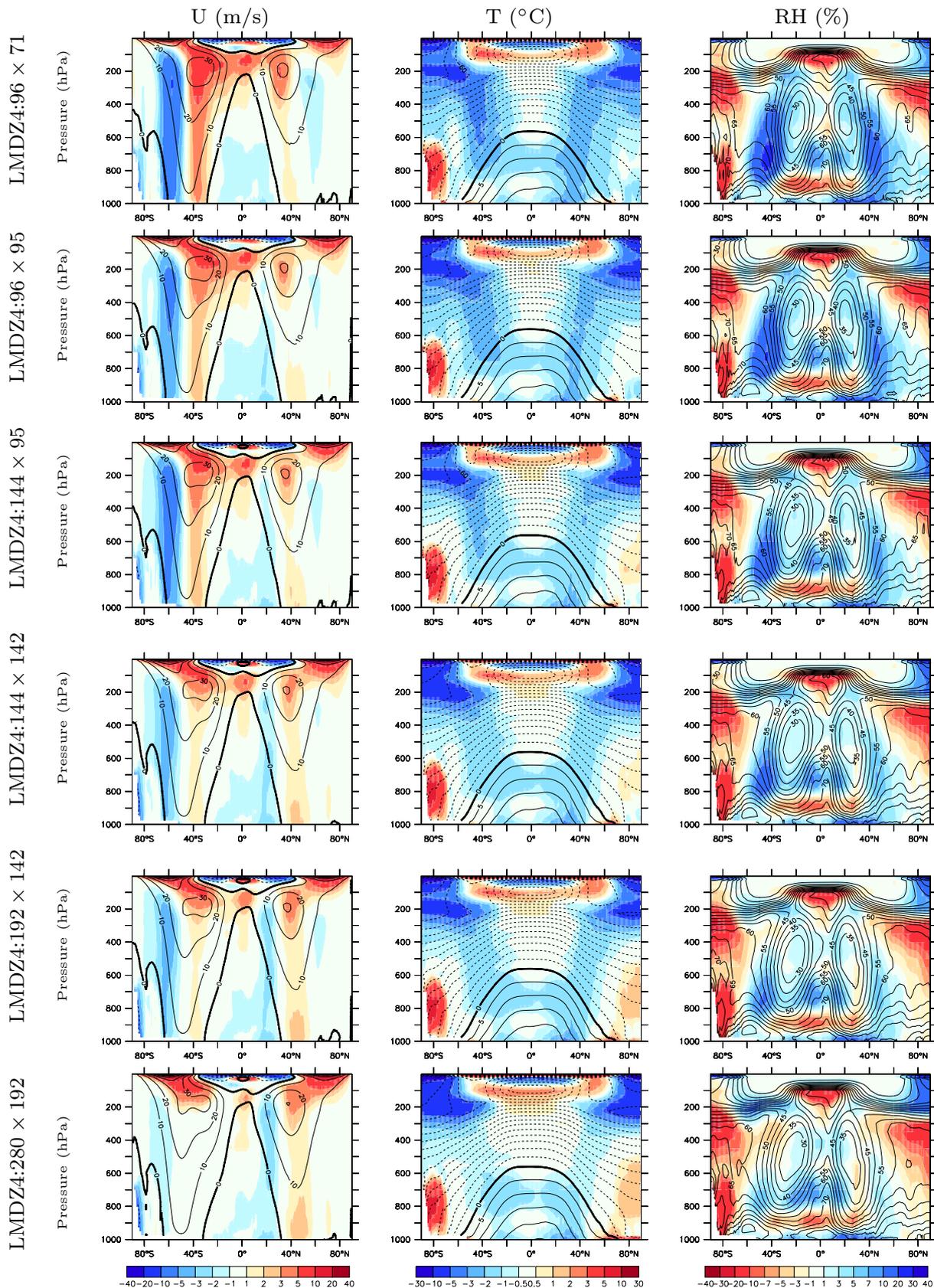


Fig. 4 Ten-year average of the mean meridional structure of the zonal wind (m/s, left), temperature (°C, middle) and relative humidity (% , right) for the various imposed-SST simulations with LMDZ4 (L19). The contours correspond to the simulations and the colors to the difference (bias) with ERAinterim re-analyses.

256 humidity as already discussed by Hourdin et al (2006). Overall, the mid-latitude  
 257 tropopause is thus too high for the finest grids explored.

258 The systematic dry bias of the tropical boundary layer top (900 hPa) is a  
 259 direct consequence of an underestimated moisture vertical transport by the eddy-  
 260 diffusion parameterization used in LMDZ4. It is therefore not affected by the  
 261 changes in horizontal resolution.

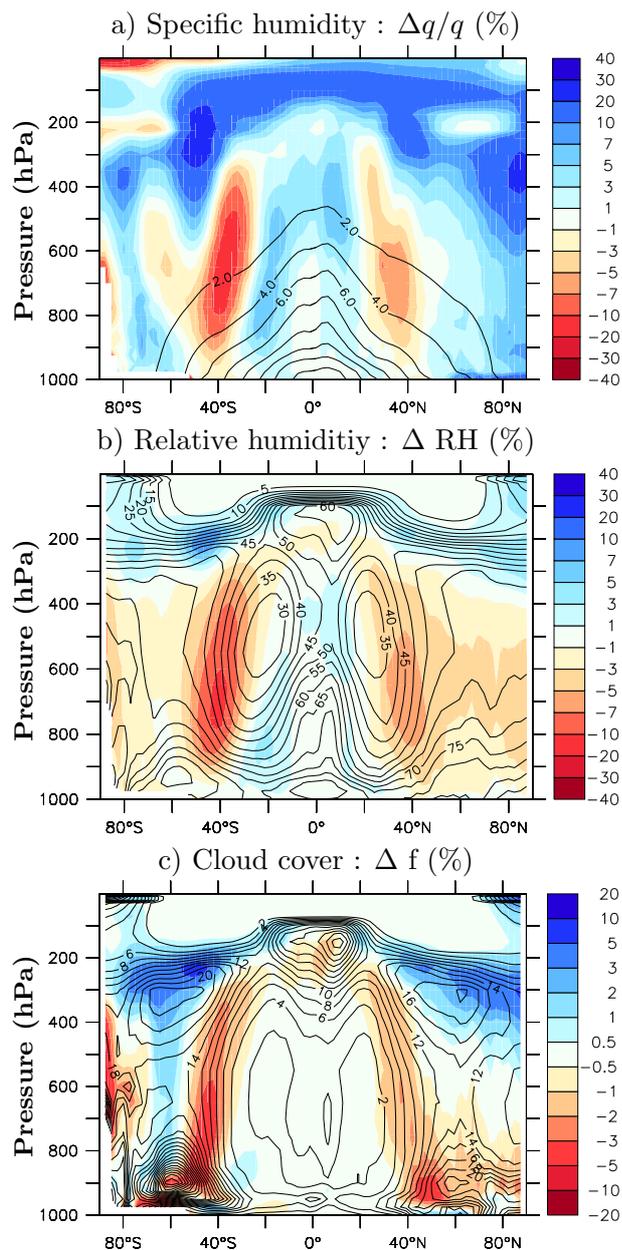
262 Grid refinement leads to a systematic decrease of the wet and cold bias of the  
 263 mid-latitude troposphere. This decrease of relative humidity is not just a conse-  
 264 quence of the warmer temperature since the specific humidity is reduced as well,  
 265 as illustrated in Fig. 5a and b that show differences between the  $96 \times 71$  and  
 266  $144 \times 142$  grids. These changes can be interpreted as a shift toward the poles of  
 267 the dry anticyclonic regions of the sub-tropics, as seen from the coincidence of the  
 268 location of the maximum drying with that of the maximum latitudinal gradient  
 269 of relative humidity (Fig. 5b).

270 The impact of the poleward displacement of the jet and of the Hadley-cell  
 271 boundary is also apparent in the water budget. The difference of integrated merid-  
 272 ional transport of moisture between the  $144 \times 142$  and  $96 \times 71$  resolutions is shown  
 273 on Fig. 6a (the transport of  $Lq$  is shown here where  $L$  is the specific latent heat  
 274 and  $q$  the specific humidity). The Hadley circulation transports water toward the  
 275 equator (more water being transported in the lower branch of the cell), while the  
 276 Ferrel Cell and mid-latitude eddies transport moisture toward the pole. A wider  
 277 Hadley cell will thus increase the equatorward transport near the latitudinal edge  
 278 of the cell, while the displacement of the mid-latitude eddies will increase poleward  
 279 transport in higher latitudes. The differential transport with increased resolution  
 280 is therefore systematically away from the mid-latitudes ( $40^\circ\text{N}$  and  $40^\circ\text{S}$ ) towards  
 281 the equator and poles. As a consequence, precipitation is reduced in the mid-  
 282 latitudes (Fig. 6b), even though the evaporation increases weakly because of the  
 283 drier atmosphere.

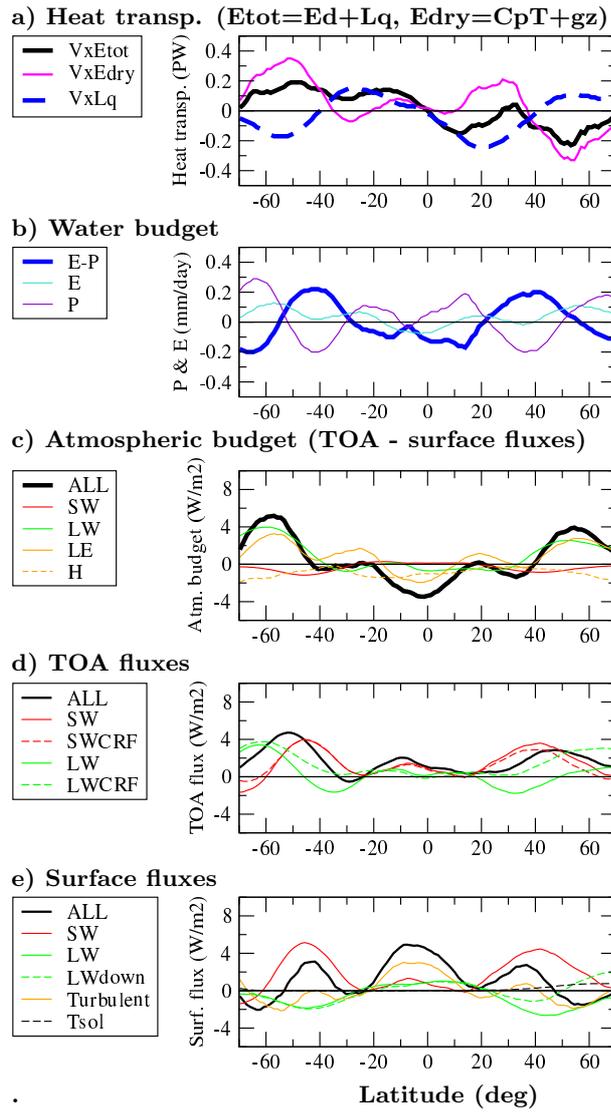
## 284 2.5 Energy budget in the imposed-SST simulations

285 The changes in relative humidity illustrated in Fig. 5b between resolutions  $96 \times 71$   
 286 and  $144 \times 142$  coincide with large changes in cloud fraction (Fig. 5c). Specifi-  
 287 cally, the cloud fraction exhibits a significant decrease near  $40^\circ$  latitude in both  
 288 hemispheres, and a systematic increase at the tropopause.

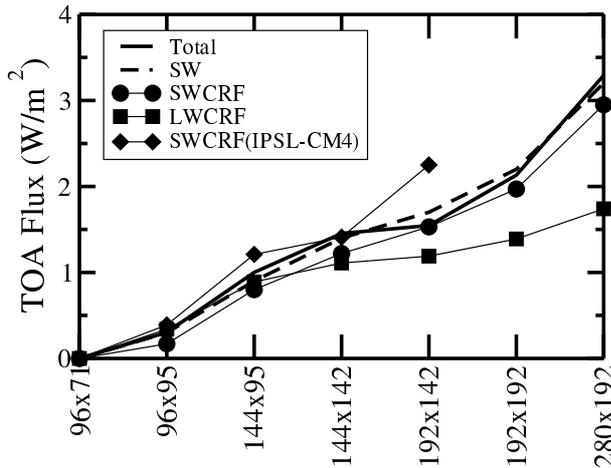
289 The changes in clouds are associated with pronounced changes in the Top-  
 290 of-Atmosphere (TOA) radiative budget (Fig. 6d). The short-wave (SW) Cloud-  
 291 Radiative-Forcing (CRF), defined as the difference of the TOA SW radiation be-  
 292 tween all-sky and clear-sky conditions, is strongly increased in the mid-latitudes,  
 293 as a consequence of the decrease of the fractional coverage of low and mid-level  
 294 clouds. For long-wave (LW) radiation, the effect of clouds and the modification  
 295 of clear-sky radiation partially cancel each other. The change in SW CRF does  
 296 not affect significantly the atmospheric budget (red curve in Fig. 6c), since the in-  
 297 crease of down-welling SW radiation at surface (red curve in Fig. 6e) is very close  
 298 to that at TOA. Conversely, the decrease in low-level cloud cover and near surface  
 299 humidity in the mid latitudes reduces the LW radiation of the atmosphere toward  
 300 the surface (green dashed curve in Fig. 6e). The change of net LW radiation (full  
 301 green curve) is almost identical to the change in downwelling LW radiation except



**Fig. 5** Zonal mean change of the latitude-pressure distribution of moisture and clouds in LMDZ4 imposed-SST simulations associated with grid refinement from  $96 \times 71$  to  $144 \times 142$ : **a)** relative difference in specific humidity (%), **b)** difference in relative humidity (%) and **c)** difference in cloud fraction (%). The differences are in color while the contours correspond to the mean value of the  $144 \times 142$  simulation (resp. in g/kg, % and %).



**Fig. 6** Change in atmospheric transport, water and energy budget between the  $96 \times 71$  LMDZ4 imposed SST simulation and the  $144 \times 142$  configuration : **a)** change in meridional energy transport (in PW), the moist static energy  $E_{tot}$  being decomposed into its dry component  $C_pT + gz$  and latent heat  $Lq$ ; **b)** change in evaporation ( $E$ ), precipitation ( $P$ ) and water budget ( $E - P$ ) ; **c)** change in atmospheric budget, difference between the TOA and surface (downward) fluxes, separating the contribution of SW and LW radiation, and the latent ( $LE$ ) and sensible ( $H$ ) heat flux at surface ; **d)** TOA fluxes, for LW and SW radiation together with the corresponding CRF ; **e)** surface downward fluxes. For the LW radiation, we show in green both the net radiation (full line) and down-welling radiation (dashed). The changes in turbulent flux  $-(H + LE)$  and mean surface temperature are also shown in **e)** (orange and dashed black curves respectively). In **c)**, **d)** and **e)**, ALL means the sum of the LW, SW and turbulent contributions. Note that a 20-degree area conserving running mean is applied in latitude to all the fields in order to remove the numerical noise that results from differences computed between two different grids.



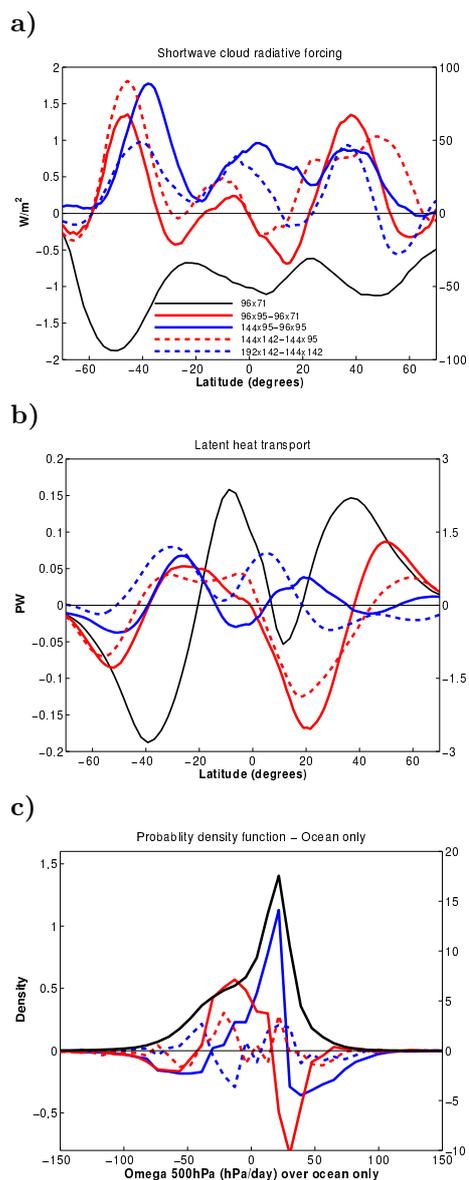
**Fig. 7** Impact of the grid resolution on the top-of-atmosphere (TOA) fluxes ( $\text{W/m}^2$ ) in the imposed-SST LMDZ4 simulations. The total (LW+SW) net radiation (full curve) together with the SW component (dashed), SW CRF (circles) and LW CRF (squares) are shown. Results from the  $96 \times 71$  simulation are subtracted. The SW CRF of the coupled IPSL-CM4 simulations (diamonds) is also shown for comparison. All the diagnostics correspond to 10-year means.

302 in the northern mid and high latitudes where continental surfaces respond to the  
 303 increased surface incoming SW radiation.

304 The sensible heat flux is reduced rather systematically by about  $1 \text{ W/m}^2$  due to  
 305 the warmer atmosphere. The latent heat is reinforced in the mid latitudes but with  
 306 a local minimum at 40 degrees latitude. All together, the atmosphere is heated by  
 307 diabatic processes in the mid-latitudes more than in the tropics, which induces a  
 308 reduction of the total latitudinal energy transport (black curve in Fig. 6a). This  
 309 decrease is however weak, with a partial compensation between the transport of  
 310  $Lq$  and that of the dry static energy  $C_p T + gz$ .

311 In the imposed-SST LMDZ4 simulations, the global value of the total longwave  
 312 plus shortwave (LW+SW) radiation at TOA (full curve in Fig. 7) systematically  
 313 increases with grid refinement. It changes by  $+3 \text{ W/m}^2$  (a gain for the climate  
 314 system) when going from the coarsest to the finest grid. For the global average,  
 315 this additional heat for the climate system can be entirely explained by the change  
 316 in SW CRF, associated with a reduction of the averaged low-level cloud cover from  
 317 almost 27% for the  $96 \times 71$  grid to less than 24% for the finest  $280 \times 192$  grid. The  
 318 LW CRF also increases with grid refinement but is compensated by a decrease of  
 319 the clear-sky Outgoing Longwave Radiation (OLR, not shown), so that the total  
 320 OLR is almost independent of grid resolution (as evidenced by the fact that the  
 321 total and SW radiation almost coincide in Fig. 7).

322 We detail below the specific modifications of the SW CRF that result from a  
 323 grid refinement in either longitude or latitude. Refining the grid in latitude induces  
 324 a maximum of SW CRF increase in the mid-latitudes (red curve in Fig. 8a) which  
 325 may be explained by the latitudinal shift of the jets: the jets being closer to the  
 326 pole in the finest grids, the region of strong (negative) SW CRF associated with the  
 327 storm-tracks is shifted towards latitudes where the insolation is weaker, resulting  
 328 in a weaker SW CRF and also in a weaker low-level cloud cover. because of the



**Fig. 8** Impact of grid refinement on: **a)** the latitudinal distribution of the SW CRF, **b)** the meridional transport of latent heat ( $Lq$ ), and **c)** the PDF (Probability Density Function) of the 500 hPa large-scale vertical velocity  $\omega_{500}$  in the Tropics ( $30^\circ\text{S}$ - $30^\circ\text{N}$ ). The red (respectively blue) curves show the difference between pairs of imposed-SST experiments with consecutive grid refinement in latitude (respectively in longitude). Scales are on the left vertical axis. For each graph, the black curve corresponds to the simulation with the  $96 \times 71$  grid. The corresponding values are on the right vertical axis.

329 wider meridional extent of the trades. Consistently, the grid refinement in latitude  
330 has a clear effect on the meridional water transport with a systematically increased  
331 transport away from the mid-latitudes, towards both the poles and the equator  
332 (red curves in Fig. 8b).

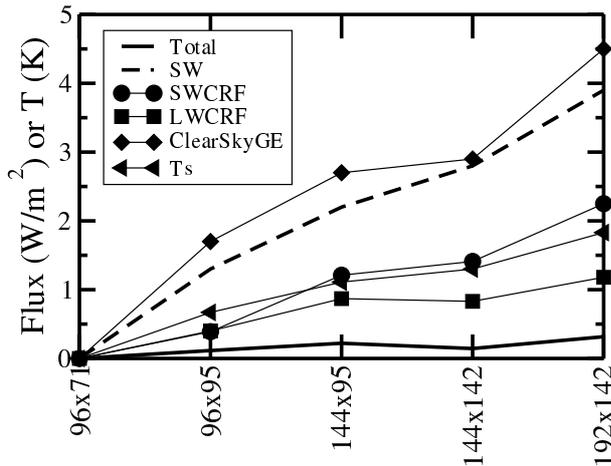
333 When increasing the resolution in longitude, the effect on the SW CRF is  
334 stronger in the tropics (blue curves in Fig. 8a), and is less clearly related to a  
335 change in the meridional moisture transport. This can be related to changes in the  
336 PDF (Probability Density Function) of the 500 hPa large-scale vertical velocity,  
337  $\omega_{500}$ , that characterizes the large-scale tropical circulation (Bony et al, 2004). The  
338 change in tropical dynamics is particularly clear when increasing the resolution in  
339 longitude from  $96 \times 95$  to  $144 \times 95$  (full blue curve in Fig. 8c). The deep convective  
340 regimes ( $\omega_{500} < -40$  hPa/day) associated with the ITCZ and the strong subsiding  
341 regimes ( $\omega_{500} > 30$  hPa/day) associated with strato-cumulus regions both have a  
342 strongly reduced occurrence, with a compensating increase in the weakly subsiding  
343 regimes. These two extreme regimes correspond to maximum cloud coverage and  
344 SW CRF, so their diminution could partly explain the reduction of the SW CRF  
345 in the tropics, which was particularly large for this change of resolution. When  
346 increasing the resolution in latitude from  $96 \times 71$  to  $96 \times 95$  (red curve in Fig. 8c)  
347 the change of the PDF is dominated by a transfer from the weakly subsiding to the  
348 weakly ascending regimes so that the ascending motions are globally reinforced on  
349 the domain retained for analysis (the ocean in the  $30^\circ\text{S}$ - $30^\circ\text{N}$  latitude band). This  
350 increased ascent is compensated by subsidence in the extra-tropics. These changes  
351 are accompanied in this particular case by a slight decrease of the SW CRF in the  
352 tropics (Fig. 8). The effects of resolution changes are weaker when exploring finer  
353 resolutions, in terms of both PDF and SW CRF changes.

## 354 2.6 Impact on SST in the coupled experiments

355 The changes with resolution of the global SW CRF are quite similar in the coupled  
356 (Fig. 9) and imposed-SST simulations (the SW CRF of the coupled simulations is  
357 duplicated in Fig. 7 for comparison). The latitudinal distribution of these changes  
358 of SW CRF are also quite similar, as can be seen for resolutions  $96 \times 71$  and  
359  $144 \times 142$  by comparing the dashed red curves in Fig. 6d and Fig. 10d.

360 In the coupled IPSL-CM4 simulations, the imbalance of the TOA radiative  
361 budget associated with grid refinement, coming from the change in SW CRF, acts  
362 as an initial forcing and induces a warming of the global surface temperature until  
363 a new equilibrium is reached. After 90 years, the total net flux in the simulations  
364 shown here is close to zero, as expected for an equilibrated coupled simulation. The  
365 total flux is of  $-0.4 \text{ W/m}^2$  for the  $96 \times 71$  grid. The other resolutions have a total  
366 balance less negative by a fraction of a  $\text{W/m}^2$  (full curve in Fig. 9), indicating that  
367 the various simulations are not too far from radiative equilibrium. Note that there  
368 is an energy leakage of the order of  $0.2 \text{ W/m}^2$  in all the coupled atmosphere-ocean  
369 simulations presented here (see Dufresne et al., this issue).

370 The direct forcing induced by the SW CRF change on the temperature is then  
371 amplified by classical climate feedbacks resulting from the surface temperature  
372 increase. This can be illustrated from the comparison of the  $96 \times 71$  and  $192 \times 142$   
373 simulations, i. e. focusing on the values associated with grid  $192 \times 142$  on the  
374 x-axis in Fig. 7 and 9. Simulations with imposed SST show that the initial SW



**Fig. 9** Impact of the grid resolution on the TOA fluxes ( $\text{W}/\text{m}^2$ ) and global-mean surface temperature  $T_s$  (triangles, in K) in the coupled atmosphere-ocean IPSL-CM4 simulations. Results from the  $96 \times 71$  simulation are subtracted. The total (LW+SW) net radiation (full curve) together with the SW component (dashed), SW CRF (circles), LW CRF (squares), clear sky greenhouse term (diamonds) are shown. All the diagnostics correspond to 10-year means.

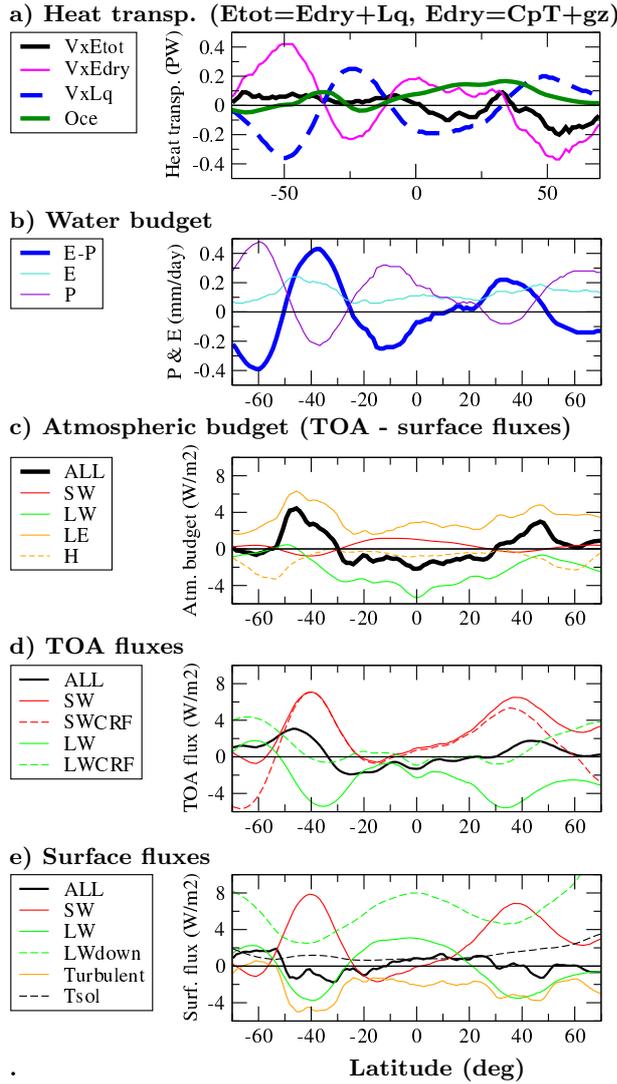
375 CRF between the two resolution is  $1.5 \text{ W}/\text{m}^2$  (circles in Fig. 7). The SW-CRF  
 376 is reinforced by about  $0.7 \text{ W}/\text{m}^2$  in the coupled experiments (positive feedback,  
 377 as seen by comparing circles and diamonds in Fig. 7). The difference between  
 378 the absorbed solar radiation (SW) and the SW CRF in Fig. 9 reflects a positive  
 379 feedback from the surface albedo (of about  $1.7 \text{ W}/\text{m}^2$ ), resulting from a decrease  
 380 in snow and ice cover. Between the forced and coupled simulation the role of clouds  
 381 on longwave radiation remains comparable (squares in Fig. 7 and 9). The change  
 382 in TOA SW radiation is around  $4 \text{ W}/\text{m}^2$  and so is the change in OLR in the  
 383 coupled simulations.

384 The change in LW emission by the surface,  $\sigma T_s^4$ , can be formally decomposed  
 385 as the sum of the change in OLR and in Greenhouse Effect (GE) term (Raval and  
 386 Ramanathan, 1989)

$$GE = \sigma T_s^4 - OLR \quad (1)$$

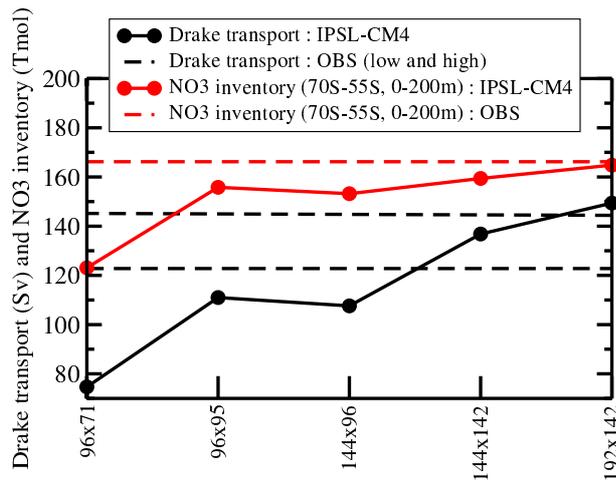
387 with a strong contribution of the clear-sky GE (diamonds in Fig. 9) associated with  
 388 water vapor and lapse rate feedbacks. Finally, a temperature increase of 1.8 K  
 389 is obtained for an initial SW CRF of 1.5 K in the imposed-SST simulations. The  
 390 sensitivity close to  $1.2 \text{ K per W}/\text{m}^2$ , obtained here from a change in horizontal  
 391 grid, is comparable to that obtained in climate change simulations with the IPSL-  
 392 CM4 model.

393 In terms of modification of the latitudinal structures, the results also follow  
 394 what was observed in imposed-SSTs simulations, but for the above mentioned  
 395 feedbacks. As was the case in the imposed-SSTs simulations, the atmospheric  
 396 transport tends to dry the mid latitudes (Fig. 10a and b). The TOA SW CRF  
 397 shows, similarly, a maximum increase in the mid latitudes. The surface albedo  
 398 feedback is seen by the fact that the change in total TOA SW radiation (full red  
 399 curve in Fig. 10d) in the high latitudes is somewhat larger than the CRF (dashed)



**Fig. 10** Same as Fig. 6 but for the coupled IPSL-CM4 simulations. The change in oceanic heat transport is added on panel a) (thick green curve).

400 while the two curves were almost superimposed in the imposed SST simulations  
 401 (Fig. 6d). The coupled atmosphere-ocean system tends to re-adjust to this SW  
 402 forcing, so that, at the end, the increased OLR in the mid latitudes almost com-  
 403 pensates for the increased SW radiation (green and red full curves of Fig. 10d).  
 404 The surface temperature increase (black dashed curve in Fig. 10e) is somewhat  
 405 larger in the mid- and high-latitudes than at the equator so that the turbulent  
 406 fluxes (latent + sensible) tend to increase specifically at those latitudes (an heat-  
 407 ing for the atmosphere). The new equilibrium in the coupled model also results in  
 408 a reduction of the atmospheric equator to pole heat transport (Fig. 10a). However



**Fig. 11** Volume transport through Drake Passage (between the southern tip of America and Antarctica), in black, and nitrate inventories in the Southern Ocean (90S-55S, 0m-200m), in red. Circles are for the IPSL-CM4 simulations with various horizontal grids. Data (dashed lines) correspond to Cunningham et al (2003) for the Drake transport and Conkright et al (2002) for nitrate inventories. Note that the PISCES biogeochemical model has been run offline for the results shown here, with the same ocean grid configuration for all the atmospheric grids.

409 the magnitude is smaller than in the atmosphere alone simulations, mainly because  
 410 the warmer SSTs lead to enhanced evaporation with resolution that counterbal-  
 411 ances the surface net radiation, which smoothes the changes in the atmospheric  
 412 equator to pole energy budget compared to the imposed-SST simulations.

### 413 2.7 Oceanic transport

414 The poleward shift of the jets has a positive impact on the ocean gyre circulation  
 415 in the north Atlantic (illustrations not shown). The warm and saltier water from  
 416 the tropics are advected further north in the Atlantic, which reinforces deep water  
 417 formation and the northward heat transport by the ocean circulation by up to  
 418 0.15 PW at 30°N between the coarsest (96 × 71) and finest (144 × 142) grid.  
 419 The processes involved are similar to the one discussed by Marti et al (2010).  
 420 The role of the Atlantic in the northern hemisphere is directly reflected on the  
 421 changes in the global heat transport by the ocean circulation (thick green curve in  
 422 Fig. 10a). These changes in the ocean circulation partially counteract the reduction  
 423 of the heat transport by the atmospheric circulation discussed above. However the  
 424 changes in the atmosphere of about 0.2 PW between the coarsest and finest grid  
 425 considered here are larger than those of the ocean so that the sum of the heat  
 426 transport by the ocean and the atmosphere reduces with resolution, reflecting the  
 427 dominant role of the readjustments of temperature, humidity and clouds in the  
 428 atmospheric column on the new equilibrium.

429 The southward shift and intensification of the westerlies associated with the  
 430 poleward shift of the atmospheric mid-latitude jets with resolution increase the  
 431 mass flux of the Antarctic Circumpolar Current, as shown by the volume trans-

port through Drake Passage (Fig. 11). These changes have a positive impact on the representation of the nutrient fields in the ocean. It can be inferred from simulations performed with the PISCES biogeochemical model (Aumont and Bopp, 2006) forced by the CM4 ocean circulation. We focus here on the first 200 m nitrate inventories in the Southern Ocean (south of 55°S). These inventories are key in setting the Southern Ocean biological productivity, and also in determining the nutrient concentrations of the tropical oceans (Sarmiento et al, 2004). The suite of CM4 simulations clearly shows that changes in ocean transport and mixing due to the strengthening and poleward shift of the westerlies impact NO<sub>3</sub> inventories (red curves in Fig. 11). The first 200 m NO<sub>3</sub> inventory increases from 123.1 Tmol to 164.8 Tmol for an increase in atmospheric latitudinal resolution from 96 points (1.9°) to 142 points (1.3°), in better agreement with observations. These results illustrate both the importance of atmospheric dynamics representation for the other components of the "Earth System" and the potential new constraint that new components can provide for model evaluation.

## 2.8 Impact on precipitation

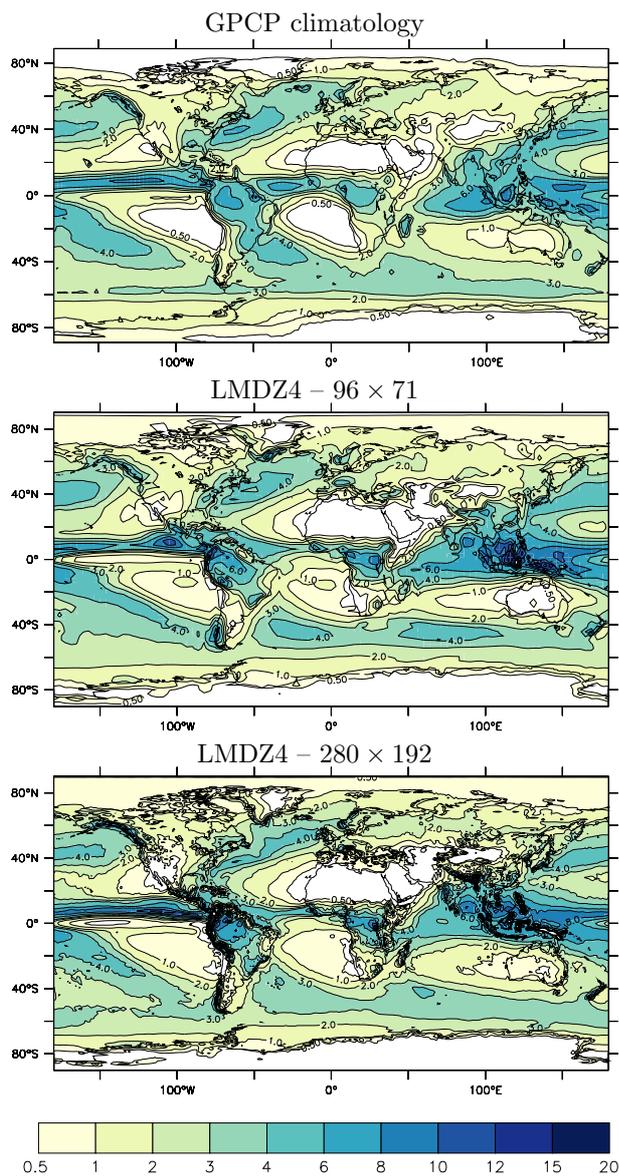
One motivation to increase the horizontal resolution of the atmospheric models is the better representation of rainfall distribution, a key variable for impact studies. We show in Fig. 12 a comparison of the annual-mean rainfall obtained for the coarsest (96 × 71) and finest (280 × 192) grids for the imposed-SST simulations. Despite a reduction by a factor 8 of the grid cells area, the differences are relatively weak. northward extension of the West Africa monsoon rainfall at the southern edge of the Sahara desert, is for instance almost the same in the two versions (not far enough to the north for both). The tendency of the model to predict a double ITCZ structure in the East Pacific, with a too strong secondary zone of precipitation south of the equator, is also present in the two versions. The main differences come from a finer description of local rainfall patterns driven by orography, as over the Alps or the western Ghats (India).

## 3 Extending the model to the stratosphere

### 3.1 The L39 vertical discretization

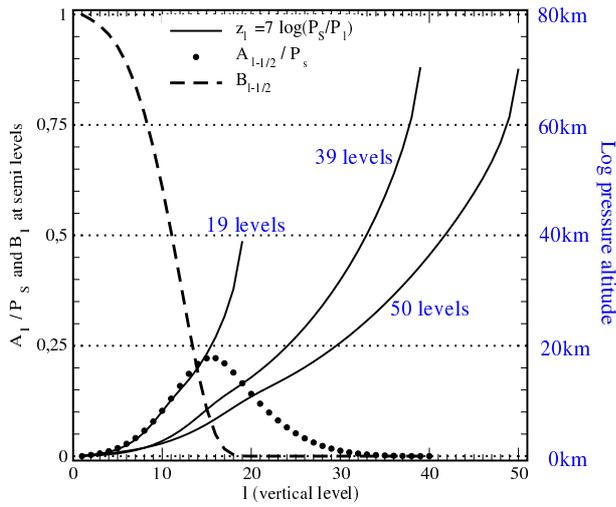
During the preparation of the CMIP5 exercise, the vertical grid of LMDZ4, formerly based on a L19 (19 layers) discretization, was extended in the stratosphere using a L39 discretization as explained below. The model uses a classical hybrid  $\sigma - P$  coordinate : the pressure  $P_l$  in layer  $l$  is defined as a function of surface pressure  $P_s$  by  $P_l = A_l P_s + B_l$ . The values of the  $A_l$  and  $B_l$  coefficients are chosen in such a way that the  $A_l P_s$  part dominates near the surface (where  $A_l$  reaches 1), so that the coordinate follows the surface topography (like the  $\sigma$  coordinates), and  $B_l$  dominates above several km of altitude, making the coordinate equivalent to a pressure coordinate there.

The  $A_l$  and  $B_l$  coefficients retained for the former L19 and the new L39 configurations are as shown in Fig. 13. The L39 discretization goes up to about the same altitude of 70 km as the stratospheric L50 version used in Lott et al (2005),



**Fig. 12** Annual mean rainfall (mm/day) in the GPCP (Global Precipitation Climatology Project, Huffman et al, 2001) observations and for the two extreme configurations explored with LMDZ4.

474 and much higher than the L19 version. With 15 levels above 20km, the resolution  
 475 of the L39 configuration is sufficient to resolve the propagation of the mid-latitude  
 476 waves into the stratosphere and their interaction with the zonal-mean flow as il-  
 477 lustrated below. Sudden-stratospheric warmings are thus simulated, but not the  
 478 Quasi-Biennial Oscillation in the tropics. Since the L39 version goes to the same



**Fig. 13** Coefficients  $A_l$  and  $B_l$  defining the L39 vertical grid (dot and dashes line respectively). The thick lines are for the log pressure altitude in km:  $z = 7 \cdot \log(P_s/P_l)$ . The values given correspond to the 2 versions used in this paper: the L19 vertical grid of LMDZ4 and the L39 grid of LMDZ5A. Also shown for comparison are the variation of  $z$  with model level used in the standard L50 stratospheric version of LMDZ presented in Lott et al (2005).

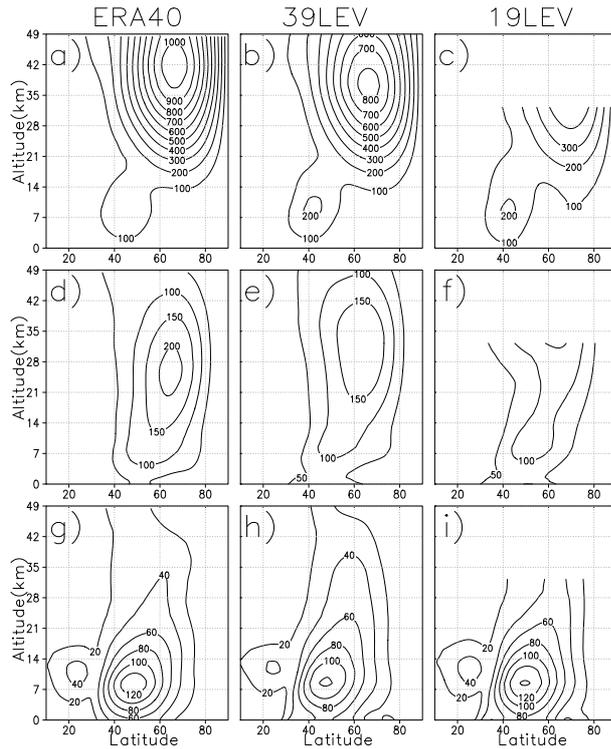
479 height as the L50 version described in Lott et al (2005), we use the same param-  
 480 eters for the orographic and non-orographic gravity waves.

### 481 3.2 Representation of the stratospheric variability

482 The L39 vertical resolution retained here is in practice sufficient to capture the  
 483 planetary waves that control the polar vortex dynamics in the stratosphere (Char-  
 484 ney and Drazin, 1961). This is illustrated in Fig. 14 which shows, for coupled  
 485 atmosphere-ocean simulations with the  $96 \times 95$  atmospheric configuration, the  
 486 amplitude of the first 3 stationary planetary waves that modulate the northern  
 487 stratospheric polar vortex in January. These amplitudes are computed by expand-  
 488 ing the geopotential altitude  $Z$  in Fourier series,

$$Z(\lambda, \phi, z, t) = \sum_s Z_s(s, \phi, z, t) e^{is\lambda} \quad (2)$$

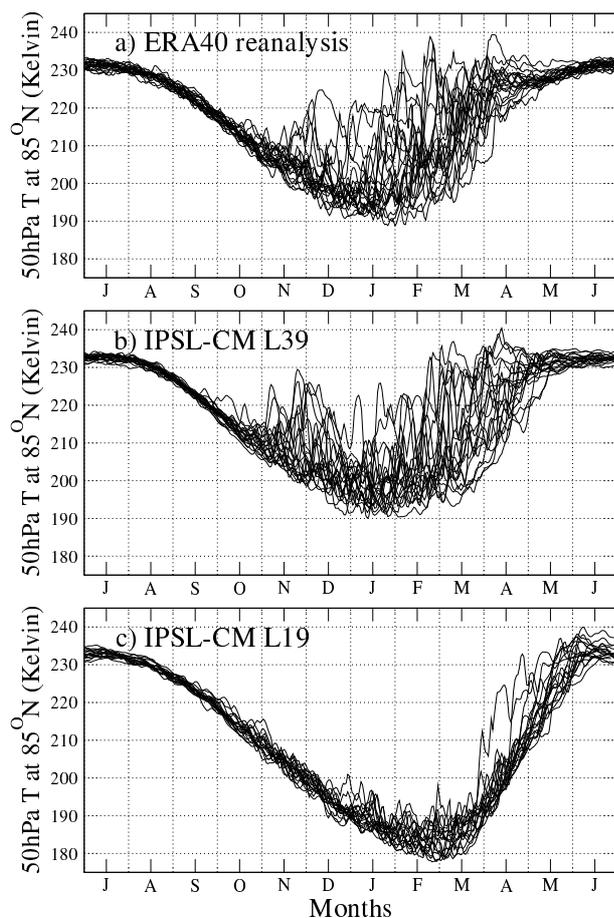
489 where  $\lambda$ ,  $\phi$ , and  $z$  are the longitude, latitude and the log-pressure altitude respec-  
 490 tively, and by averaging the complex Fourier coefficients  $Z_s$  over the days belonging  
 491 to the 30 januaries 1976-1995, yielding the temporal average  $\langle Z_s \rangle$ . The ampli-  
 492 tudes  $\| \langle Z_s \rangle \| = \sqrt{\langle Z_s \rangle \langle Z_s \rangle^*}$  of the first three planetary waves (Fig. 14)  
 493 are comparable in the L39 version and in the reanalysis data. The level of realism  
 494 is comparable with that of the L50 stratospheric version of LMDZ (see the Fig. 2  
 495 in Jourdain et al, 2008). The planetary waves in the L19 version are quite realistic  
 496 in the troposphere, but are clearly underestimated in the lower stratosphere below  
 497  $z=35$  km. This shows that a well-resolved stratosphere does not affect directly the  
 498 planetary-scale waves in the troposphere, and that our L19 tropospheric model is



**Fig. 14** Climatological amplitude of the first three dominant January planetary waves in the Northern Hemisphere. a), b), c): wave  $s = 1$  from ERA40 and from L39 and L19 coupled simulations with the  $96 \times 95$  horizontal grid; d), e), f): wave  $s = 2$  from ERA40, and from L39 and L19 simulations; g), h), i): wave  $s = 3$  from ERA40, and from L39 and L19 simulations

499 damping adequately the waves near its top. Note that these results on the mean  
 500 planetary waves remain essentially valid when looking at other months but also at  
 501 the variability associated with each wave (as for instance also shown in the Fig. 2  
 502 in Jourdain et al, 2008).

503 To see whether the planetary waves are able to force stratospheric sudden  
 504 warmings, we compare in Fig. 15 time-series of the zonal-mean temperature at  
 505 50 hPa and  $85^\circ\text{N}$ . We choose this altitude, which is significantly lower than the  
 506 more conventional 10 hPa level often used to diagnose the stratospheric warmings,  
 507 because 10 hPa is very close to the L19 model top (around 32 km, see Fig. 13).  
 508 Despite of this caveat, we see that the L19 version fails to simulate the right  
 509 amount of polar temperature variability, whereas the L39 version is reasonably  
 510 close to observations. This suggests that a realistic representation of the planetary  
 511 waves in the upper stratosphere is necessary to represent sudden stratospheric  
 512 warmings. In the L19 version, the polar temperatures also present a cold bias of  
 513 10–20 K during the entire winter, and the average downward control related to  
 514 the planetary waves breaking is not well represented, despite the fact that the  
 515 planetary waves are quite realistic up to the L19 model top.



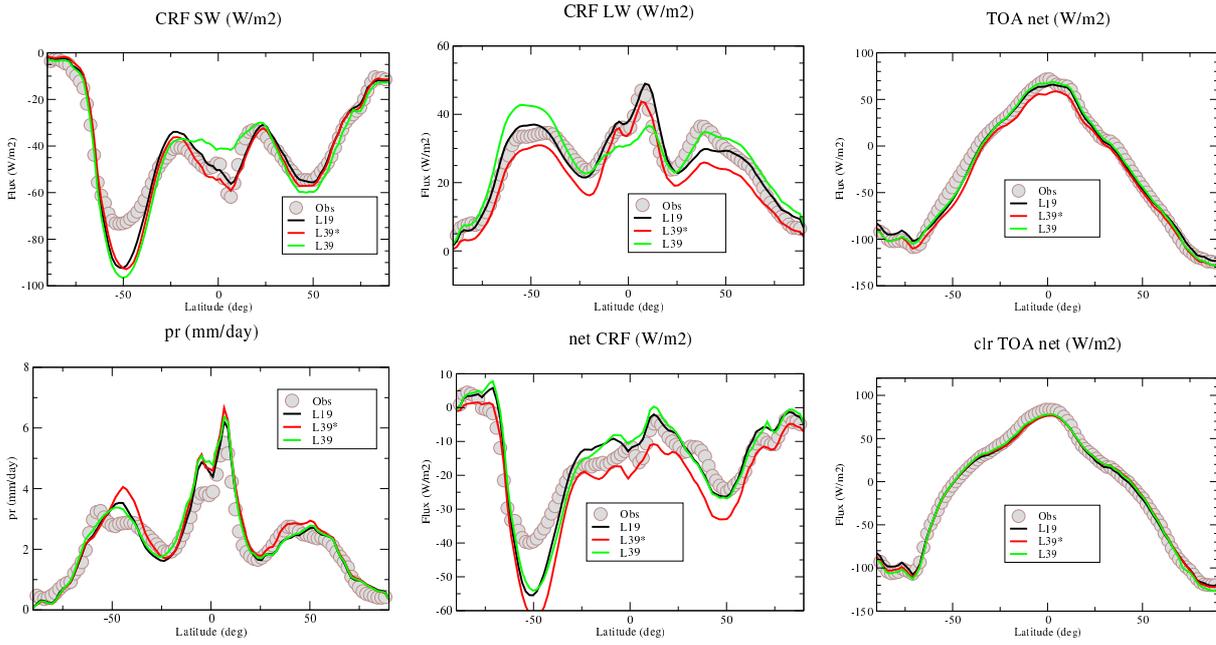
**Fig. 15** Polar temperatures at 50hPa for 20yrs (1976-1995). a) ERA40 reanalysis, b) L39 and b) L19 IPSL-CM simulations

Simulation	SW CRF	LW CRF	Tot CRF	Total TOA
96 × 95-L19	-47.3	30.1	-17.2	-1.4
96 × 95-L39*	-49.4	25.4	-24.0	-7.6
96 × 95-L39	-47.4	30.3	-17.1	-0.2

**Table 2** Global values (in  $\text{W}/\text{m}^2$ ) at TOA of the SW and LW CRF as well as of the total net radiation for imposed-SST simulations with LMDZ4-96 × 95 for the L19 discretization and for the L39 discretization before (L39\*) and after retuning of clouds parameters.

### 516 3.3 Need for tuning

517 Increasing the vertical resolution has a major impact on the TOA radiation budget  
 518 in imposed-SST simulations, as shown in Tab. 2 and Fig. 16 that compare the  
 519 L19 simulation with the simulation L39\*, in which only the vertical resolution  
 520 was increased without any specific tuning of the model. The global net absorbed  
 521 atmospheric radiation decreases by about  $7 \text{ W}/\text{m}^2$ , with  $1 \text{ W}/\text{m}^2$  coming from an

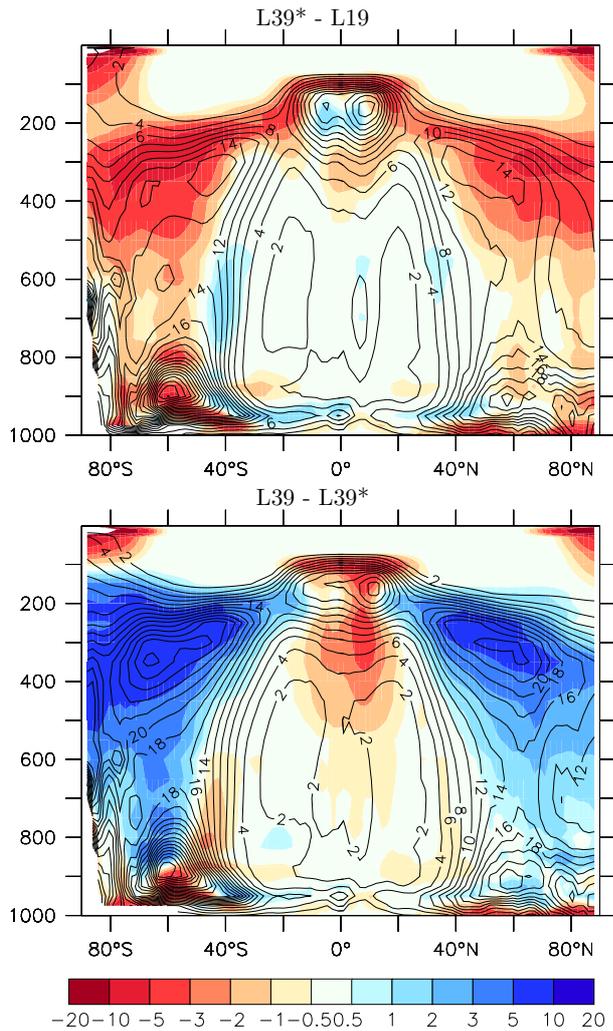


**Fig. 16** Ten-year mean zonally averaged SW and LW CRF at TOA, net radiation, precipitation, net CRF and clear sky net radiation for imposed-SST simulations with the LMDZ4-96  $\times$  95 configuration. Precipitation is in mm/day and fluxes in  $\text{W}/\text{m}^2$ . For radiative fluxes, observations correspond to the CERES Energy Balanced and Filled (EBAF) dataset, developed to remove the inconsistency between average global net TOA flux and heat storage in the Earth-atmosphere system (Loeb et al, 2009). We use GPCP (Huffman et al, 2001) for rainfall observations.

522 increase of the (negative) SW-CRF and  $6 \text{ W}/\text{m}^2$  coming from a decrease of the  
 523 (positive) LW-CRF. The clear-sky radiation is not strongly affected by the change  
 524 of vertical resolution. The changes in CRF come from a decrease of the cloud cover  
 525 in the upper troposphere and increase of boundary layer clouds as seen in Fig. 17.

526 A phase of tuning was thus required to re-equilibrate the TOA budget. The  
 527 requirements on the accuracy of the TOA energy balance are much more strin-  
 528 gent than the typical biases and approximations of climate models, in particular  
 529 regarding cloud coverage and radiative properties. A modification of  $1 \text{ W}/\text{m}^2$   
 530 of the TOA balance typically results in a change of 1 K of the global-mean surface  
 531 temperature in a coupled model.

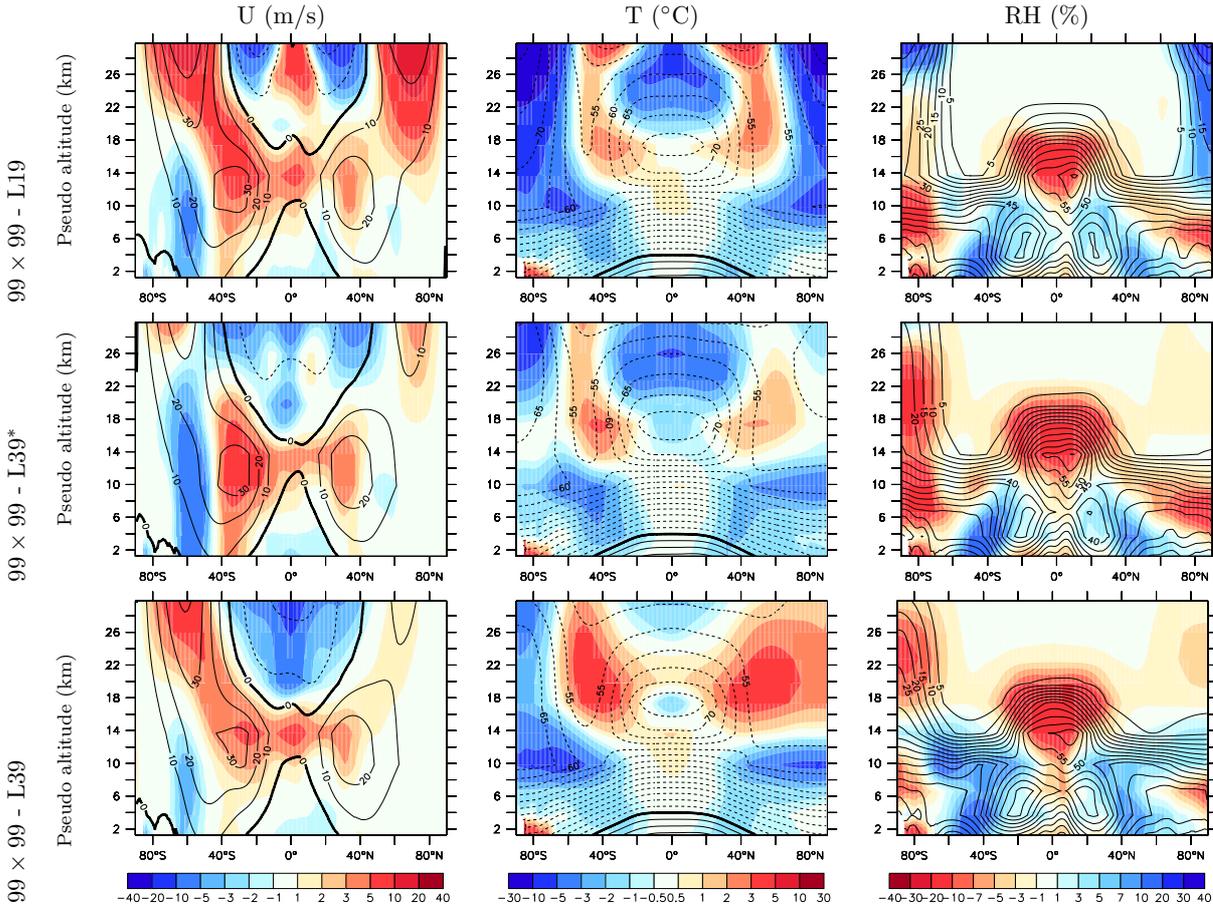
532 The tuning was done by considering a sub-set of the free parameters of the  
 533 cloud parameterizations. Two parameters governing the upper-level clouds  
 534 were modified. The maximum precipitation efficiency  $\epsilon_{\text{pr,max}}$  of the Emanuel deep  
 535 convection scheme, a critical and not well constrained parameter was changed  
 536 from 0.99 to 0.999. The fall velocity of the ice particles was divided by two by  
 537 changing from 0.5 to 0.25 the value of a scaling factor  $\gamma_{iw}$  introduced on purpose  
 538 for model tuning in the formulation of the free fall velocity:  $w_{iw} = \gamma_{iw} \times w_0$ ,  
 539  $w_0 = 3.29 (\rho q_{iw})^{0.16}$  being a characteristic free fall velocity (in m/s) of ice crystals  
 540 given by Heymsfield and Donner (1990) where  $\rho$  is the air density ( $\text{kg}/\text{m}^3$ ) and  
 541  $q_{iw}$  the ice mixing ratio. The two changes compensate each other to some extent:



**Fig. 17** Difference in cloud cover (annual and zonal mean) between (upper panel) the L19 and L39\* simulation (with no tuning) and (lower panel) between the L39\* and re-tuned L39 version. The LMDZ4-96  $\times$  95 configuration is used.

542 a larger  $\epsilon_{pr,max}$  results in a smaller detrainment of condensed water in the upper  
 543 atmosphere while a smaller fall velocity reduces the main sink for total water.  
 544 However, the first one mainly acts in the tropics, where the deep convection scheme  
 545 is mostly active, while the second one has an impact at all latitudes. The specific  
 546 choice made here results in a large increase of cloud cover (lower panel of Fig. 17)  
 547 and humidity (not shown) in high latitudes, close to the tropopause level. This  
 548 increase of high cloud cover has a clear signature in the LW CRF (green curve in  
 549 the mid-upper panel of Fig. 16) in the imposed-SST simulations.

550 The last tuning parameter governs the conversion of cloud water to rainfall in  
 551 the large-scale cloud scheme. Following Sundqvist (1978), the cloud liquid water



**Fig. 18** Mean meridional structure of the Zonal wind (m/s, left), temperature (°C, middle) and relative humidity for the L19, L39\* and L39\* forced simulations with the LR horizontal grid. The vertical axis is a pseudo-altitude ( $-H \ln(p/p_s)$ ), with  $H=7$  km).

552 (of mixing ratio  $q_{lw}$ ) starts to precipitate in LMDZ5A above a critical value  $clw$   
 553 for condensed water, with a time constant for auto-conversion  $\tau_{\text{convers}} = 1800$  s so  
 554 that

$$\frac{dq_{lw}}{dt} = -\frac{q_{lw}}{\tau_{\text{convers}}} [1 - e^{-(q_{lw}/clw)^2}] \quad (3)$$

555 The critical value  $clw$  was changed from 0.26 g/kg to 0.416 g/kg, within the  
 556 typical range expected for cumulus and strato-cumulus clouds.

557 With the new tuning, L39 is close to the previous L19 version regarding the  
 558 TOA goba fluxes and CRF (Tab. 2) and the latitudinal distribution of the Net  
 559 CRF (Fig. 16). However, this similarity of the net forcing is obtained in the tropics  
 560 thanks to an error compensation between SW and LW CRFs which are both  
 561 underestimated. The tuning of the original L19 version was probably better in  
 562 that respect.

563 The retuning of the model has also a significant effect on the mean meridional  
564 structure of the meteorological fields. We show in Fig. 18, in a latitude log-pressure  
565 framework, the mean biases in zonal wind, temperature and relative humidity  
566 in the L19, L39\* (before retuning) and L39 (after retuning) versions. There is a  
567 significant improvement in the zonal wind structure in the stratosphere when going  
568 from L19 to L39\* , which is partly reversed by the tuning. Also, the temperature  
569 biases around the tropopause in the mid-latitudes are a little bit stronger in L39  
570 than in L39\* . The retuning improves the location of the tropospheric jets, which is  
571 critical for the biases of the coupled model. This improvement is similar to the one  
572 obtained when using the  $144 \times 142$  horizontal grid in the LMDZ4-L19 configuration  
573 (Fig. 4).

574 The retuning thus results in a compromise. On the one hand, the TOA fluxes  
575 and the stratospheric structure are degraded. On the other hand, the tropospheric  
576 circulation is improved, allowing the use of a coarser model resolution. The L39  
577 vertical resolution, with the tuning described here, was retained for the LMDZ5A  
578 version of LMDZ used in IPSL-CM5A for the CMIP5 simulations.

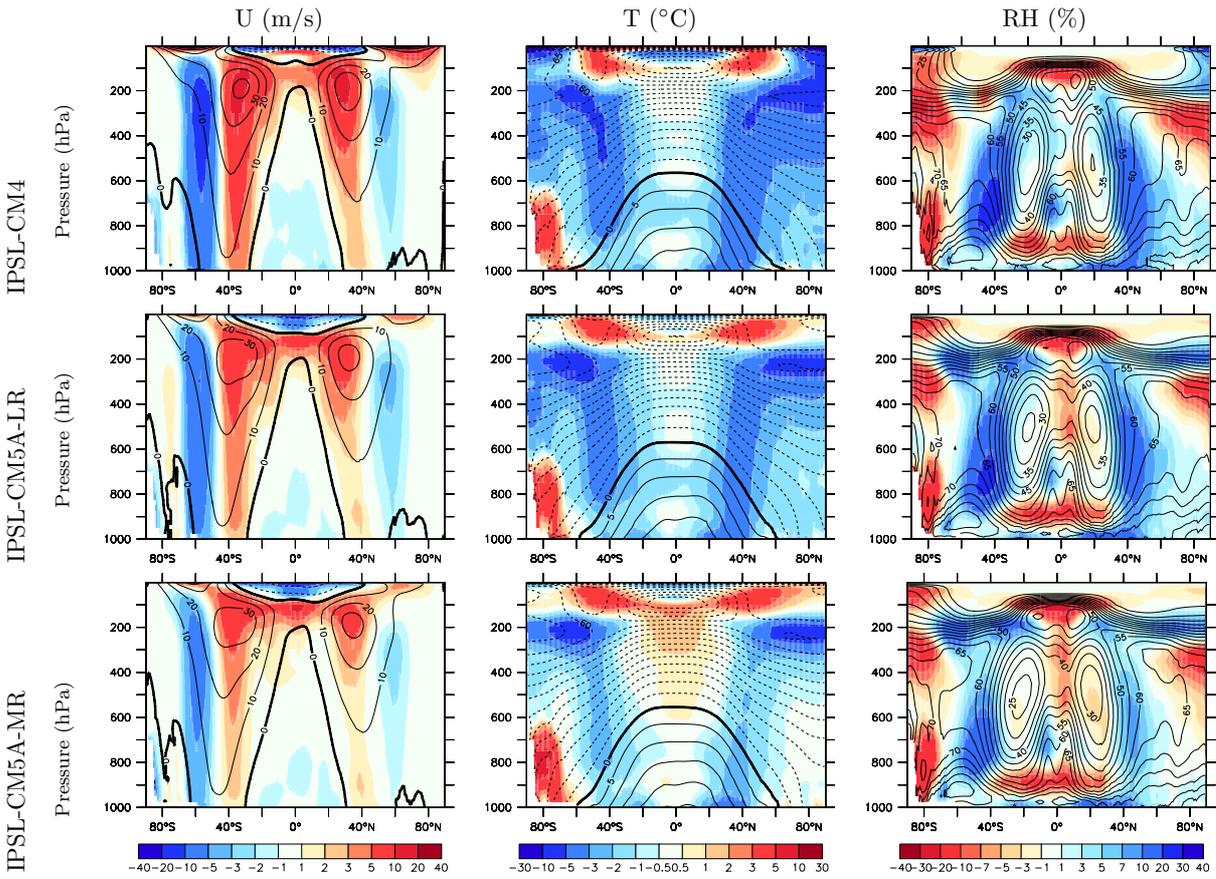
#### 579 4 IPSL-CM5A versus IPSL-CM4

580 The LMDZ4 configuration which was used in IPSL-CM4 for the CMIP3 simula-  
581 tions had the coarsest grid explored in the previous sections ( $96 \times 71$ -L19). Apart  
582 from some minor bug fixes and optimization for parallel computing, the main  
583 differences between LMDZ versions used in the previous IPSL-CM4 version and  
584 the IPSL-CM5A one used for CMIP5 concern the grid configuration. The L39 dis-  
585 cretization described above is retained in LMDZ5A with two horizontal grid con-  
586 figurations : a low resolution (LR) with  $96 \times 95$  points ( $3,75^\circ \times 1,9^\circ$ ) and a medium  
587 resolution (MR) with  $144 \times 142$  points ( $2,5^\circ \times 1,25^\circ$ ).

588 The Nemo ocean circulation model was also upgraded from OPA8 to OPA9 con-  
589 figuration, with in particular an improved vertical mixing scheme and an improved  
590 representation of solar absorption, as well as the Orchidee surface-vegetation-  
591 atmosphere transfer and dynamic vegetation model (de Rosnay et al, 2002; Krinner  
592 et al, 2005), with modified root profiles and an interactive Leaf Area Index compu-  
593 tation. Those changes are detailed by Dufresne et al. (this issue). In this section,  
594 we document and discuss the effect of these configuration changes on the atmo-  
595 spheric component on the simulated coupled atmosphere-ocean climate and on its  
596 sensitivity to greenhouse gases concentration.

#### 597 4.1 Mean climate

598 We compare here the control simulation with the IPSL-CM4  $96 \times 71$  standard con-  
599 figuration (run with present-day forcing for greenhouse gases, solar constant, and  
600 aerosols) with the IPSL-CM5A-LR and MR "historical" simulations for 1990-1999.  
601 Those simulations start from the pre-industrial control simulation. The evolution  
602 of the forcing from 1850 to 2000 is imposed as explained by Dufresne et al. (this  
603 issue). Those simulations are designed to be as close as possible to the observed  
604 climate. The LR configuration was considered too cold and thus a somewhat mod-

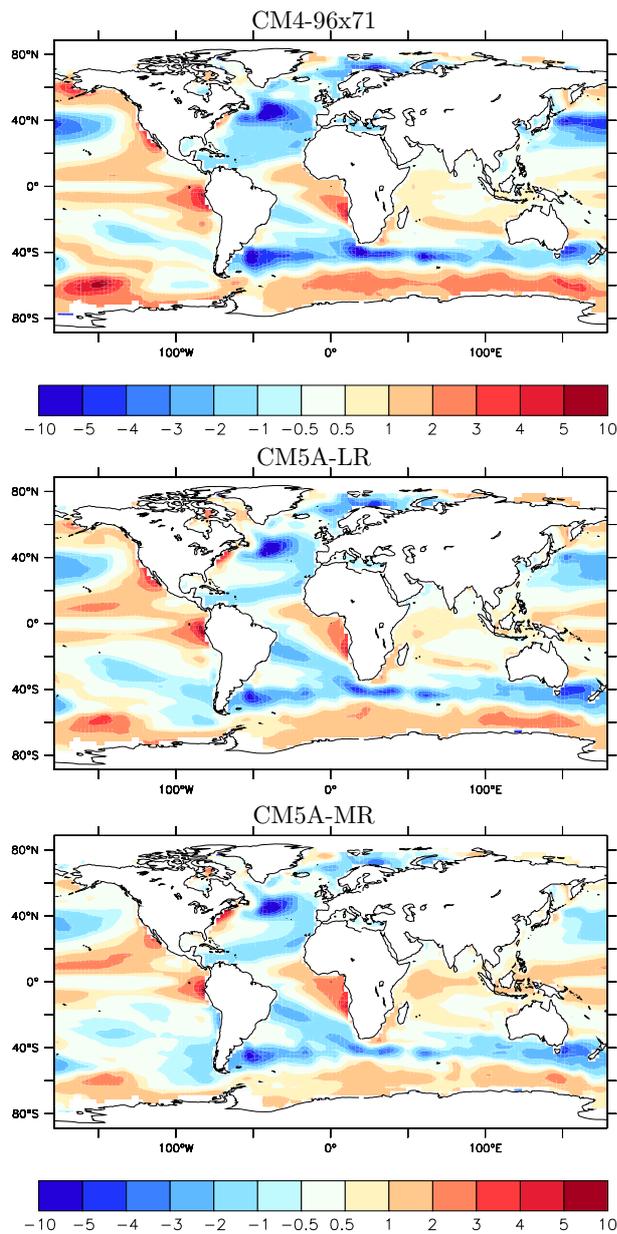


**Fig. 19** Mean meridional structure of the Zonal wind (m/s, left), temperature ( $^{\circ}\text{C}$ , middle) and relative humidity (%) for the IPSL-CM4, IPSL-CM5A-LR and -MR simulations. The IPSL-CM4 simulation corresponds to a control run in present conditions while we show for IPSL-CM5A-LR and -MR the 1990-1999 decade of historical runs.

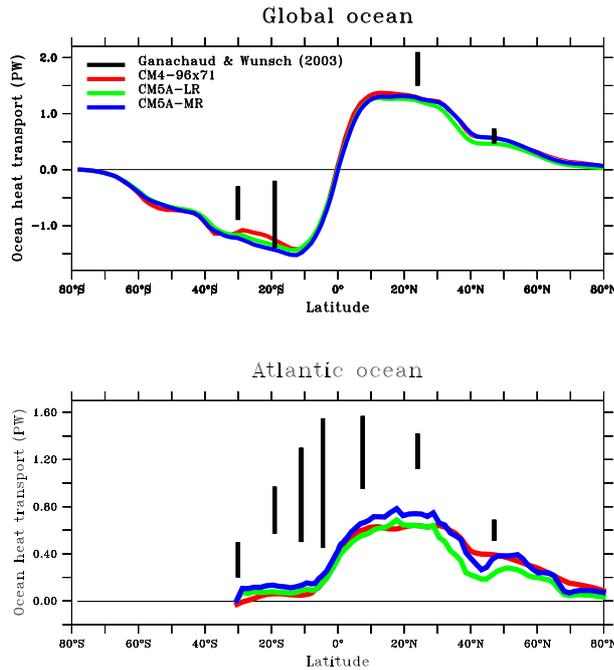
605 ified tuning was retained for the MR. This was done by subtracting a constant  
 606 value of 1% from the ocean albedo.

607 The changes in the coupled simulations (Fig. 19) reflect for a large part the  
 608 ones observed in the imposed-SST simulations (Fig. 18). There is a reduction of  
 609 the biases in the location of the mid-latitude jets when going from IPSL-CM4  
 610 to CM5A-LR and CM5A-MR. The mid-latitude moist bias is also reduced when  
 611 refining the horizontal grid. For the temperature, the reduction of the global cold  
 612 bias when going from CM5A-LR to -MR comes mainly from the modified tuning.  
 613 However, increasing the horizontal resolution also contributes to the reduction of  
 614 the mid-latitude cold bias. The stratospheric biases are also significantly reduced  
 615 when going from IPSL-CM4 to -CM5, as was the case in the imposed-SST simu-  
 616 lations.

617 The spatial structures of the mean SST biases are shown in Fig. 20. The global  
 618 mean, which reflects the different tuning of the three model versions, is subtracted.  
 619 The mean bias is of -1.6 K for IPSL-CM4, -0.95 K for IPSL-CM5A-LR and -0.49 K



**Fig. 20** Mean SST bias in the IPSL-CM4 and CM5A-L/MR model. The CM4 simulation is a control run while we consider the historical simulations for CM5. The mean bias is subtracted to concentrate on the structures. This mean bias is of -1.6 K for IPSL-CM4, -0.95 K for IPSL-CM5A-LR and -0.49 K for IPSL-CM5A-MR.

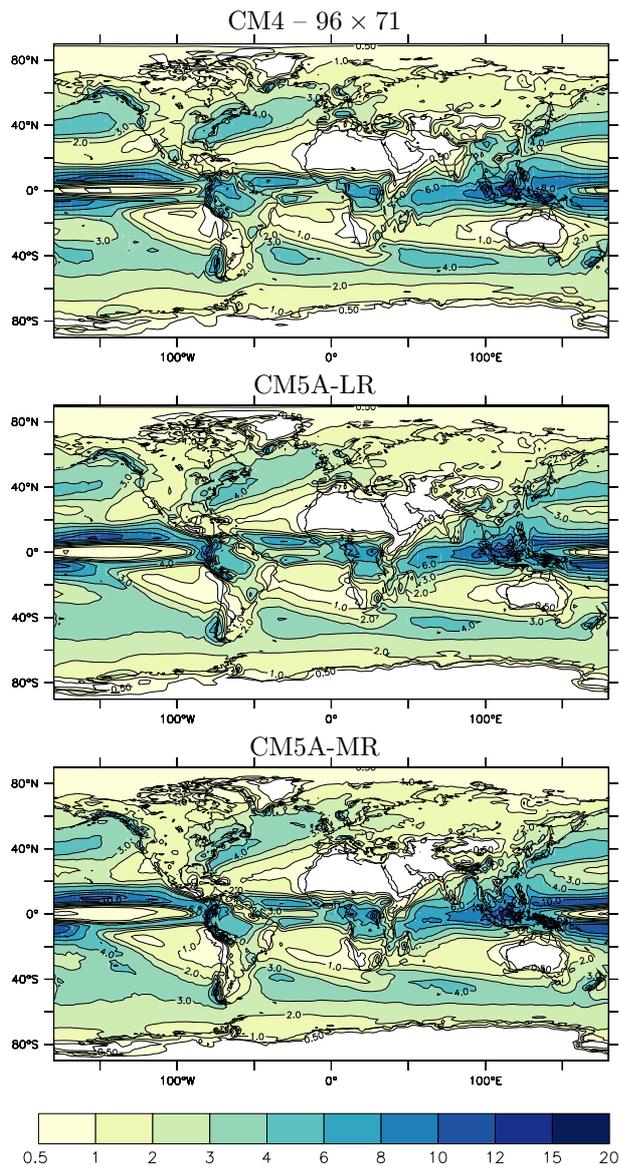


**Fig. 21** Global (top) and Atlantic (bottom) total meridional energy transport by the ocean (in PW) in the IPSL-CM4, IPSL-CM5A-LR and IPSL-CM5A-MR simulations. Heat transport estimates (Ganachaud and Wunsch, 2003) are obtained by inversion of hydrographic data from the World ocean circulation experiment.

620 for IPSL-CM5A-MR. The specific cold bias in the mid-latitudes reduces when  
 621 refining the grid. The three configurations show however similar regional patterns:  
 622 a cold bias in the mid-latitudes, a warm bias on the eastern side of the tropical  
 623 oceans, and a particularly strong cold bias in the North Atlantic.

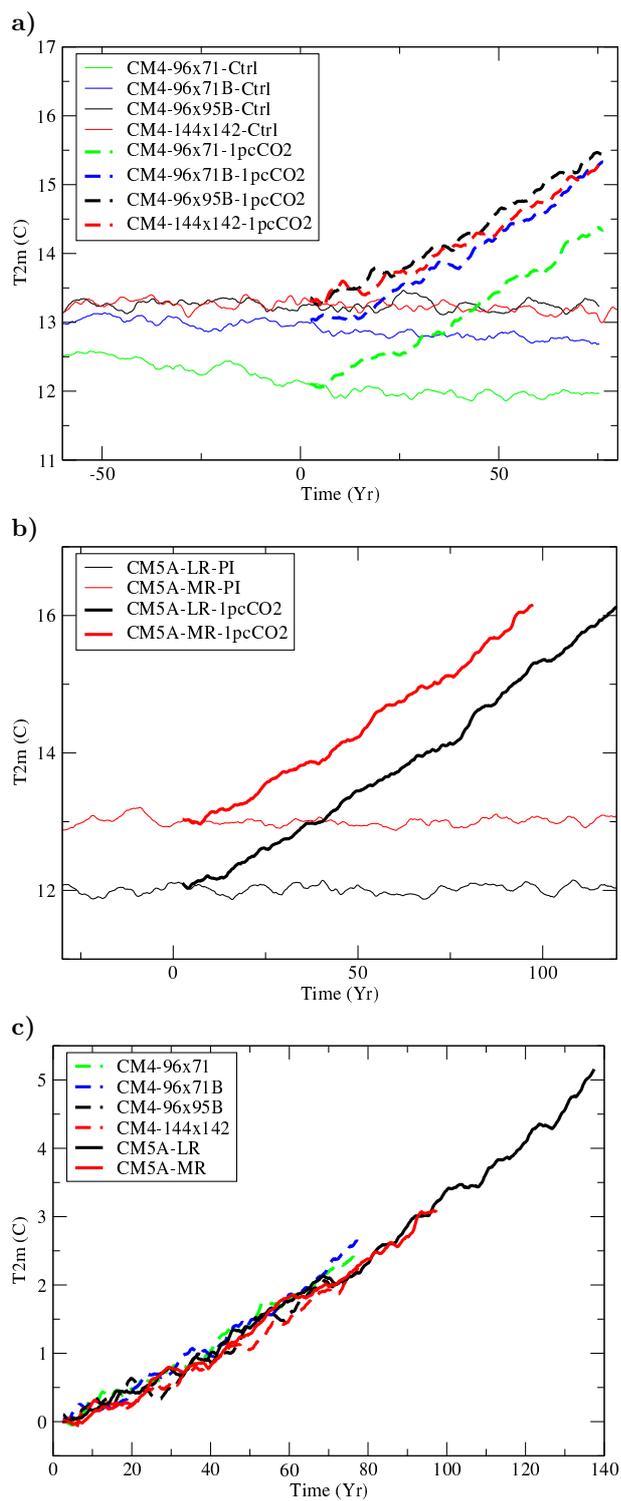
624 The bias in the North Atlantic SST is associated with a strong underestimation  
 625 of the Atlantic meridional overturning circulation. This underestimation is visible  
 626 both in the Atlantic and global ocean meridional energy transport (Fig. 21). The  
 627 transport is only slightly increased when refining the grid for IPSL-CM5A but the  
 628 improvement is not systematic when comparing IPSL-CM4 and IPSL-CM5A. This  
 629 underestimated circulation is a robust bias of our model for which no satisfactory  
 630 solution has been found so far.

631 For the rainfall (Fig. 22), an important deficiency of the IPSL-CM4 version  
 632 was the presence of a second zone of convergence south of the equator, both in  
 633 the Pacific and Atlantic ocean. This double ITCZ is a classical bias of coupled  
 634 models (see e. g. Dai, 2006). It is still present in the new version, both in the  
 635 LR and MR configurations. The monsoon rainfall over West Africa and the Indian  
 636 sub-continent did not extend sufficiently to the north in IPSL-CM4. This point is  
 637 slightly improved in the new version. This could be due in part to the reduction  
 638 of the latitudinal biases in SST and in part to the modifications in the surface  
 639 scheme. Since this question of the latitudinal extension of monsoons is rather



**Fig. 22** Annual mean rainfall (mm/day) in the control simulation with IPSL-CM4 and in the "historical simulation" for the end of the 20th century with the CM5A-LR and CM5A-MR configurations.

640 important, this could deserve further investigations. Apart from this point, the  
641 rainfall is similar in the various configurations.



**Fig. 23** Evolution of the global mean of the 2m air temperature in control and 1% $CO_2$  experiments with the IPSL-CM4 and IPSL-CM5A model with various grid configurations. Panels a and b show the temperature itself (in  $^{\circ}C$ ) while panel c shows the difference between the 1% $CO_2$  experiment and the corresponding control simulation.

## 642 4.2 Climate sensitivity

643 We present in Fig. 23 the evolution of the mean 2-meter air temperature ( $T_{2m}$ )  
644 in a series of control (constant forcing) and 1%CO<sub>2</sub> experiments. In the latter,  
645 everything is kept constant in the model except the atmospheric concentration of  
646 carbon dioxide, which increases by 1% each year. The 1%CO<sub>2</sub> simulations start  
647 from a 1st of January on one particular year of the control experiment taken as year  
648 0 for the graphics. Two IPSL-CM4 simulations, using the coarsest horizontal grids  
649 ( $96 \times 71$  and  $96 \times 95$ ), were tuned by lowering the surface albedo (by subtracting  
650 1% and 0.9% respectively), so as to obtain an averaged global mean temperature  
651 close to that of the  $144 \times 142$  configuration for the control simulations run with  
652 present-day greenhouse gases concentrations. Those simulations are called  $96 \times 71B$   
653 and  $96 \times 95B$ . The  $96 \times 71B$  simulation is still 0.5 K colder than  $96 \times 95B$  and  
654  $144 \times 142$  (Fig. 23a). Control simulations and climate sensitivity experiments were  
655 done with both  $96 \times 71$  and  $96 \times 71B$  to check whether the tuning affects the climate  
656 sensitivity. For the IPSL-CM5A model, the tuned (lowered) ocean albedo also  
657 explains a large part of the difference between the LR and MR configurations  
658 (Fig. 23b).

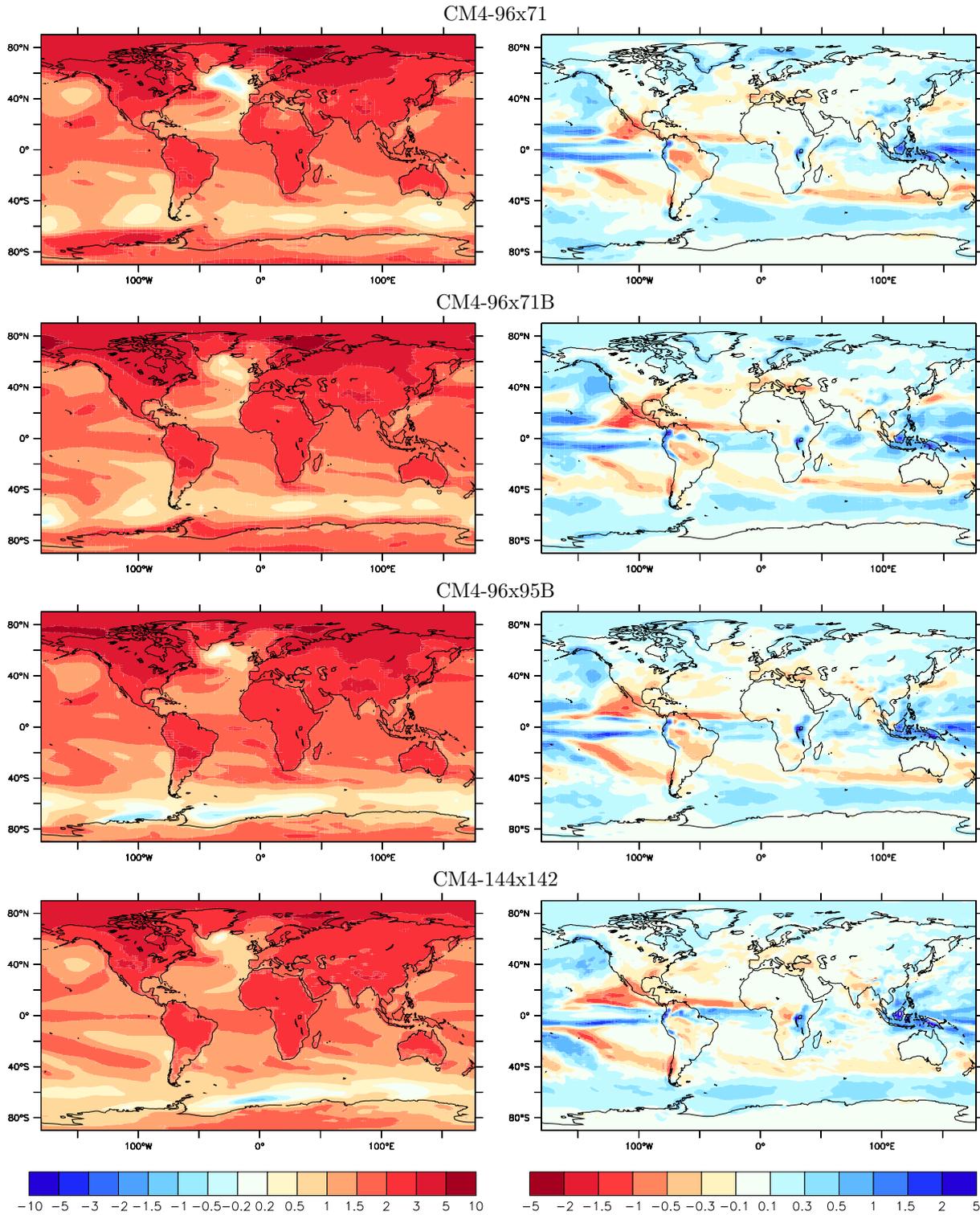
659 Despite those changes in grid configuration, in tuning or in the mean temper-  
660 ature biases, the transient climate response (defined as the difference between the  
661 1%CO<sub>2</sub> and control experiments at time of CO<sub>2</sub> doubling, i. e. around year 70)  
662 is almost the same for all the model configurations as illustrated in the lower  
663 panel of Fig. 23. The ocean uptake is also comparable for all the simulations (not  
664 shown). A rigorous climate sensitivity analysis based on both 1%CO<sub>2</sub> and abrupt  
665  $4 \times$ CO<sub>2</sub> experiments shows that the climate sensitivity and feedback parameters  
666 differ by less than 10% between the IPSL-CM4, IPSL-CM5A-LR and -MR models  
667 (see Dufresne et al., this issue).

668 The regional distribution of global warming (left part of Fig. 24 for IPSL-CM4  
669 and of Fig. 25 for -CM5A) also shows quite consistent results between the differ-  
670 ent versions, and reflects the usual robust aspects of climate change simulations: a  
671 stronger warming over the continents (where evaporative cooling is limited) than  
672 over oceans, a stronger warming in the (more continental) northern hemisphere  
673 than in the southern one, and in high than in low latitudes in the northern hemi-  
674 sphere. The simulations also show, in a rather consistent way, a weak warming in  
675 the Southern Ocean and in the North Atlantic.

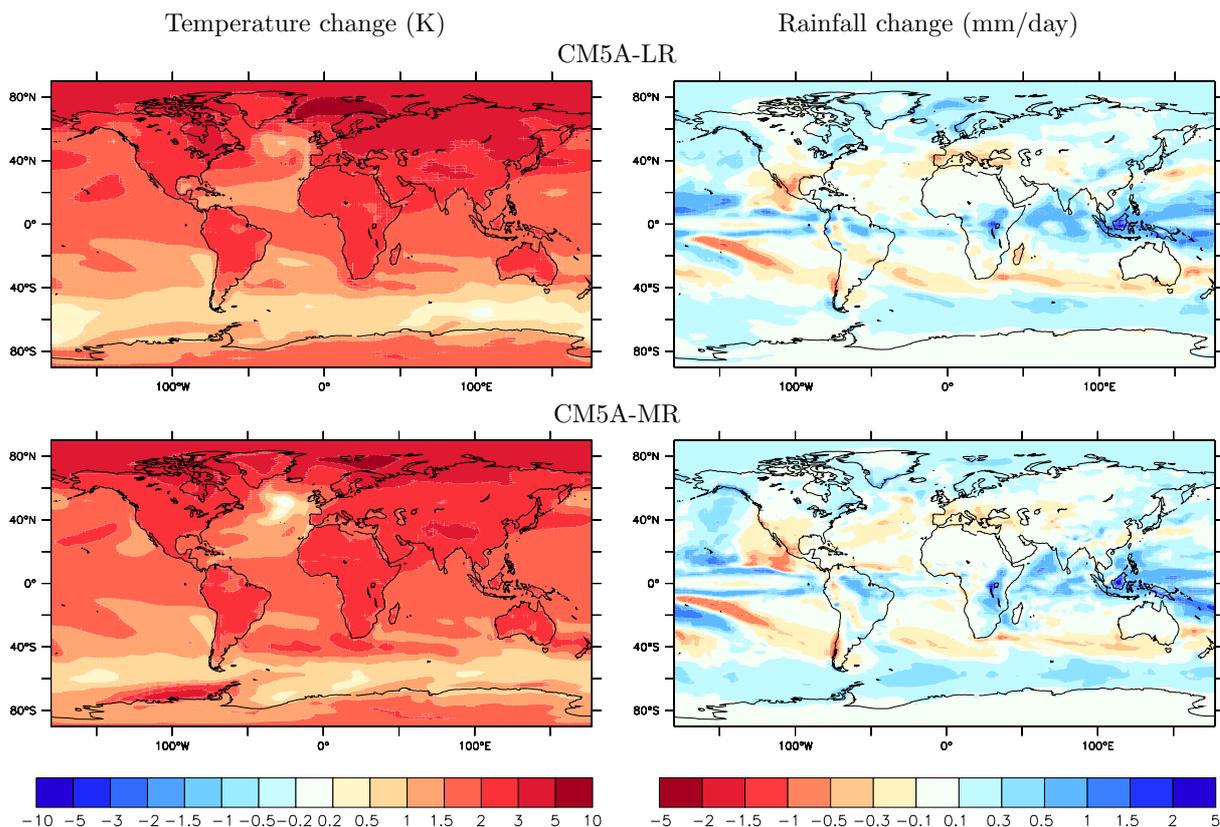
676 The situation is a bit different for changes in the mean rainfall. Some aspects  
677 appear to be quite robust, such as the global increase of rainfall in the ITCZ/SPCZ  
678 region, and a relative drying at around 30-40 degrees latitude in both hemisphere,  
679 also a rather robust feature of CMIP3 projections (Held and Soden, 2006). How-  
680 ever, when looking at regional changes over the continents, results differ quite  
681 significantly between IPSL-CM4 and CM5A. Generally speaking, the CM4 con-  
682 figuration tends to predict a stronger drying (in particular over Amazonia, cen-  
683 tral Africa, India) than CM5A does, while, for each model, the results are much  
684 more consistent when varying the horizontal resolution. The differences between  
685 the CM4 and CM5A results are probably due to some significant changes in the  
686 Orchidee land-surface model between the two versions: a bug fix which had a  
687 particularly strong impact in semi-arid regions, a soil reservoir twice as deep in  
688 CM5A and the activation of the CO<sub>2</sub> cycle which influences the Leaf Area Index

Temperature change (K)

Rainfall change (mm/day)



**Fig. 24** 2m air temperature (K, left column) and precipitation (mm/day, right column) changes in 1%CO<sub>2</sub> experiments with various configurations of IPSL-CM4, showing the difference between the average variable for the last 30 years (year 51 to 80) of the 1%CO<sub>2</sub> simulation minus the value of the control simulations for the same period.



**Fig. 25** 2m air temperature (K, left column) and precipitation (mm/day, right column) changes in 1%CO<sub>2</sub> experiments with various IPSL-CM5A-LR and -MR, showing the difference between the average variable for the last 30 years (year 51 to 80) of the 1%CO<sub>2</sub> simulation minus the value of the control simulations for the same period.

689 (Dufresne et al., this issue). Whether one of those changes is responsible for the  
 690 changes observed is a question which could deserve further investigations.

## 691 5 Conclusions

692 We have explored the impact of the horizontal and vertical grid configuration of  
 693 an atmospheric general circulation model on the results of both imposed-SST and  
 694 coupled simulations, focusing on the representation of the mean climate and on  
 695 the climate sensitivity to greenhouse gases concentration.

696 The refinement of the horizontal grid has a significant and systematic impact  
 697 on the model biases, in particular on the latitude of the jets and on the humidity  
 698 and temperature in the mid latitudes. Refining the grid in latitude rather than  
 699 in longitude has a stronger impact on the latitude of the mid-latitude jets in the  
 700 dynamical core experiments (Guemas and Codron, 2011) and in the imposed-SST  
 701 climate simulations, and a stronger impact on the reduction of the cold mid-  
 702 latitude SST bias with respect to Equator in the coupled experiments.

703 The changes of atmospheric dynamics when refining the grid are associated  
704 with significant changes in the meridional transport of heat and moisture. In mid-  
705 latitudes, grid refinement (in particular in latitude) reduces systematically a strong  
706 moist bias of the coarsest configurations, which results in less low-level clouds.  
707 In imposed-SST simulations, this decrease in cloudiness weakens the vertically  
708 integrated tropospheric radiative cooling and thus reduces the cold atmospheric  
709 bias in mid-latitudes. In coupled atmosphere-ocean simulations, the reduced cloud  
710 cover enhances the short-wave radiation at the surface in mid latitudes, and thus  
711 contributes to reduce the cold SST bias in that region. Changes in the tropical  
712 circulation are also observed when increasing the resolution in longitude that also  
713 contribute to reduce the low-level cloud cover.

714 Starting the explanation from the change of dynamics is somewhat arbitrary  
715 since it is possible that the changes of atmospheric transport at work in the full  
716 climate model are influenced by other processes, coming for instance from a di-  
717 rect sensitivity of the physical parameterizations to the grid size. However, the  
718 fact that the jet displacement mimics that observed in the idealized simulations  
719 with newtonian cooling, and that we are able to derive a complete and consistent  
720 explanation of the changes observed apart from this initial change, suggests that  
721 it could explain at least a large part of the modifications observed. This point  
722 would deserve however additional investigations, including for instance the use of  
723 idealized water-like tracers in idealized simulations with newtonian cooling.

724 It is shown also that the extension of the vertical grid to higher levels improves  
725 the representation of the stratospheric mean flow and of stratospheric sudden  
726 warmings.

727 Changing the grid configuration also has an impact on the global energy bal-  
728 ance. Refinement of the horizontal grid results in a warmer climate in the IPSL-CM  
729 model as a consequence of the above mentioned decrease in low-level cloud cover  
730 which induces weaker (less negative) SW-CRF. The impact of refining the vertical  
731 grid is even stronger and is mainly related to changes in high-level cloudiness. The  
732 modifications are as large as  $3 \text{ W/m}^2$  when refining the horizontal grid from  $96 \times 71$   
733 to  $280 \times 192$ , or about  $-6 \text{ W/m}^2$  when changing the vertical discretization from  
734 L19 to L39. In the coupled model, the model global radiation balance is restored  
735 through an increase of the global-mean near-surface temperature, by about 1.2 K  
736 per  $\text{W/m}^2$ .

737 After such configuration changes, the model must thus be tuned to compensate  
738 for the changes in energy balance. Tuning of free parameters, often unmentioned  
739 in publications, is central to climate modeling. Tuning was used here to restore the  
740 energy balance in the imposed-SST simulations and so to reduce the biases in the  
741 simulated mean surface temperature compared to present-day observations in the  
742 coupled model (the IPSL-CM5A-MR tuning is more satisfactory for that point).  
743 The tuning of the IPSL-CM5A-LR also helped to partially compensate the bias in  
744 the location of the mid-latitude jets that results from the rather coarse horizontal  
745 resolution. Generally however, tuning did not help reduce several major robust  
746 biases of the model such as the warm SST biases at the eastern side of tropical  
747 oceans, the double ITCZ structure or the underestimated meridional ocean heat  
748 transport. Tuning with respect to present-day observations does not guarantee  
749 either a better representation of the climate sensitivity to greenhouse gases.

750 Despite significant changes in configuration which result in a significantly modi-  
751 fied representation of the present-day climate, the various IPSL-CM configurations

presented here show a very similar sensitivity to the concentration of greenhouse gases. This is an important result for climate change studies: if grid refinement allows to improve the realism of climate models in their representation of the present-day climate, it seems of secondary importance for many aspects of climate projections.

The above result must be contrasted with the strong reduction of climate sensitivity obtained in the CM5B version of the IPSL coupled model, when changing the parameterizations of clouds and convection (Hourdin et al, 2012). The simple conclusion could thus be that grid refinement, both in the vertical and horizontal affects the climate sensitivity to a lesser extent than changes in clouds parameterizations. It must be kept in mind, however, that a careful retuning of the model was done when changing the vertical grid, in such a way that the final net CRF in LMDZ5A is very close to that of the previous LMDZ4 L19 version (lower mid panel in Fig. 16). Some recent results suggest that a different tuning of the same set of cloud parameterizations could modify as well the sensitivity to greenhouse gases (Brient and Bony, 2012).

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