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Deep convection triggering by boundary layer thermals. Part 2: Stochastic triggering parametrization for the LMDZ GCM

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Abstract:	<p>This papers presents a stochastic triggering parametrization for deep convection and its implementation in the latest standard version of the LMD's GCM: LMDZ5b. The derivation of the formulation of this parametrization and the justification, based on LES results, for the main hypothesis was proposed in Part I of this study. Here the complete implementation and its link to the existing "Bulk" thermal plume model of Rio and Hourdin (2008) parametrization is presented. The parametrization is tested over various cases in a single column model (SCM) framework. An extensive sensitivity study to each introduced parameter is carried on. Eventually, the impact of the new triggering is then evaluated through the four precedent cases and in a 3D framework.</p> <p>It is found that the stochastic triggering (i) delays deep convection triggering over land, (ii) suppresses it over trade wind cumulus zones, and (iii) increases the day-to-day convective variability. The scale-aware nature of this parametrization is also discussed.</p>
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1 **Deep convection triggering by boundary layer thermals**

2 **Part II : Stochastic triggering parametrization for the LMDZ**

3 **GCM**

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ABSTRACT

9 This paper presents a stochastic triggering parametrization for deep convection and its im-
10 plementation in the latest standard version of the LMD's GCM: LMDZ5b. The derivation
11 of the formulation of this parametrization and the justification, based on LES results, for
12 the main hypothesis was proposed in Part I of this study. Here the complete implementa-
13 tion and its link to the existing "Bulk" thermal plume model of Rio and Hourdin (2008)
14 parametrization is presented. The parametrization is tested over various cases in a single
15 column model (SCM) framework. An extensive sensitivity study to each introduced param-
16 eter is carried on. Eventually, the impact of the new triggering is then evaluated through
17 the four precedent cases and in a 3D framework.

18 It is found that the stochastic triggering (i) delays deep convection triggering over land,
19 (ii) suppresses it over trade wind cumulus zones, and (iii) increases the day-to-day convective
20 variability. The scale-aware nature of this parametrization is also discussed.

21 1. Introduction

22 In the first paper of this series (Rochetin et al., 2012, hereafter Part I) a stochastic
23 parametrization of deep convection triggering has been formally presented. It is based on a
24 statistical analysis of cloudy thermal plumes in a Large Eddy Simulation (LES) of a moist
25 convection case observed in Niamey (Niger) on July the 10th of 2006 during the AMMA
26 (African Monsoon Multidisciplinary Analysis) campaign. First the PDFs (probability distri-
27 bution functions) of the vertical velocities and of the plume cross-sections at cloud base were
28 determined. Then, assuming that deep convection is due to plumes with sizes and maximum
29 vertical velocities exceeding some thresholds, a probability of triggering could be determined.
30 The triggering process could then be parametrized by using random numbers with uniform
31 distribution between 0 and 1 and by triggering convection whenever the random number is
32 smaller than the probability of triggering.

33 The present paper is devoted to an actual implementation of this parametrization in the
34 AGCM (Atmospheric General Circulation Model) LMDZ5B and to the assessment of its
35 performance in some case studies and in a global simulation.

36 In GCMs, such as LMDZ5B, where shallow and deep convection are represented by sep-
37 arate parametrizations, the triggering scheme is the part of the model that decides whether
38 moist convection should be treated as shallow or deep. It acts at every time step, so that
39 the triggering scheme decides when deep convection begins and when it ends. Over land it
40 is thus an important driving process of the diurnal cycle of convection and of the frequency
41 of occurrence of deep convection.

42 This frequency is generally overestimated in GCMs (Bechtold et al. (2004)). In LMDZ5B
43 simulations, for instance, convection triggers every day over Niamey during the monsoon
44 season, in contradiction with observations where lapses of 2 or 3 days without rain are
45 frequent. In addition, most of the current GCMs tend to predict a diurnal precipitation
46 maximum around noon while satellite observations shows a precipitation maximum during

47 mid-afternoon or evening over tropical land (Bechtold et al. (2004), Yang and Slingo (2001)).
48 This shift of the simulated diurnal cycle is partly due to the triggering of deep convection
49 occurring too early. Guichard et al. (2004) argue that this is partly correlated with the
50 fact that convective parametrization do not represent the "*Transient regimes*" (Guichard
51 et al. (2004)) between shallow and deep stages, in which convective boudary layer gradually
52 deepens and produces significant clouds.

53 In the LMDZ5B general circulation model, deep convection occurrence and intensity are
54 related to the lifting effect of sub-grid sub-cloud processes. This is done by introducing two
55 quantities: the available lifting energy (ALE) and available lifting power (ALP) provided at
56 the base of deep convective towers by sub-cloud processes (Grandpeix and Lafore (2010),
57 Grandpeix et al. (2010)). This ALE/ALP system made it possible to simulate a reasonably
58 good diurnal cycle of precipitation in a moist convection case study over land (Rio et al.
59 (2009)). However the deep convection triggering still occured one hour too early.

60 The aim of this paper is to present the implementation in LMDZ5B of the triggering
61 parametrization described in Part I and to verify that it does improve the behaviour of
62 the model over land with respect to the two aforementioned deficiencies: (i) representing
63 the transition phase from shallow to deep convection; (ii) simulating the variability of rain
64 occurence in semi-arid regions such as Niamey (Niger). In LMDZ5B, the boundary layer
65 thermals are represented by the thermal plume model of Rio and Hourdin (2008). However,
66 the thermal plume scheme only provides informations about the plume height, total cloud
67 cover and average velocity. Therefore the first step is to determine, thanks to the links
68 established in Part I between cloud height and cloud-base cross-section, the variable describ-
69 ing the thermal plume field, i.e. average vertical velocity, average cloud-base cross-section
70 and number of thermals in the grid cell. Once this is done, the triggering parametrization
71 described in Part I may readily be used.

72 The paper is organized in 5 parts. The first part presents the model and the different
73 cases investigated. The second part presents the parametrization design for the stochastic

74 deep convection triggering. In the third part the parametrization is evaluated through the
75 AMMA case study. In the fourth part a sensitivity study to the parameters is made. And
76 finally the impact on the diurnal cycle of the new triggering parametrization is discussed.

77 **2. Data and Methodology**

78 *a. The LMDZ Single Column Model*

79 We rely on the Single Column Model (SCM) part of the General Circulation Model
80 (GCM) LMDZ5b (Hourdin et al. (2012)) designed to perform climate change simulations
81 for the 5th IPCC assessment report. The model has 39 levels in the vertical, with the grid
82 stretched near-to-surface (first grid point at 35 m and 8 grid-points in the first kilometer)
83 and a mean resolution of 800 m between 1-20 km, with 8 grid-points over 20 km (last point
84 at 40 km). The Emanuel’s cumulus parameterization (Emanuel (1991)) is used for moist
85 convection. Its statistical parametrization of entrainment has been modified by Grandpeix
86 et al. (2004) in order to improve the sensitivity of the simulated deep convection to tropo-
87 spheric relative humidity. The Emanuel scheme computes also a total cloud water content
88 coupled to a statistical cloud representation, which is based on the computation of a cloud
89 fraction using a log-normal probability density function, as suggested by Bony and Emanuel
90 (2001). No ice parameterization is present in that case.

91 Since the version used for the last IPCC report (2007), the SCM has been updated. The
92 main improvement concerns the boundary layer and the deep convection parametrizations.
93 Regarding the boundary layer, a new mass flux scheme (Rio and Hourdin (2008)) repre-
94 senting boundary layer thermals was introduced and combined with the diffusive-scheme
95 proposed by Mellor and Yamada (1974). This scheme uses ”bulk” plume approach and com-
96 putes the following variables: the cumulus cloud top and base altitudes, the thermal plume
97 vertical velocity profile, and the plume fractional coverage vertical profile. Regarding deep
98 convection, a new formulation of deep convection triggering and closure has been recently

99 implemented (see Hourdin et al. (2012) for details). The convection scheme is coupled to a
 100 parameterization of shallow moist convection induced by thermal plumes (Rio and Hourdin
 101 (2008)), and to a parameterization of wakes (cold pools) fed by unsaturated downdrafts
 102 (Grandpeix and Lafore (2010) and Grandpeix et al. (2010)). Each one of these parameteri-
 103 zations provides the deep convection scheme with an Available Lifting Energy (ALE) related
 104 to the convection triggering computation, and an Available Lifting Power (ALP) (see sec-
 105 tion 1) related to the convection closure computation (see Grandpeix and Lafore (2010) and
 106 Grandpeix et al. (2010)).

107 In LMDZ, the triggering energy is deterministic, and supposed equal to the maximum ki-
 108 netic energy delivered by the sub-cloud layer processes such as the density currents (ALE_{WK})
 109 and the boundary layer thermals (ALE_{BL}): $ALE = \text{Max}(ALE_{WK}, ALE_{BL})$. This kinetic en-
 110 ergy is then compared with the CIN, such that the triggering criterion is $ALE > |CIN|$. Rio
 111 et al. (2009) showed that those modifications improved the diurnal cycle of precipitation
 112 over mid-latitude land.

113 The closure hypothesis suggested by Grandpeix and Lafore (2010) relates the cloud base
 114 mass-flux to the power resulting from subcloud processes:

115

$$M_b = \frac{ALP_{BL} + ALP_{WK}}{|CIN| + 2w_b^2} \quad (1)$$

116 In which, (i) w_b is the vertical velocity at LFC, (ii) and $ALP = ALP_{WK} + ALP_{BL}$ is the
 117 lifting power resulting from the cold pool mechanism (index $_{WK}$, as wakes) and boundary
 118 layer processes (index $_{BL}$). The thermal plumes contribution (ALP_{BL}) is proportional to the
 119 3rd order mean of the vertical velocity $\overline{w'^3}$ (see Rio et al. (2009) for more details), and the
 120 cold pool contribution (ALP_{WK}) is proportional to the third order of the spreading velocity
 121 C^{*3} . A recent study conducted by Rio *et al.* 2012 stressed the importance of (w_b) in this
 122 particular coupling.

123 *b. Triggering: the role of thermals and cold pools in LMDZ*

124 1) THERMALS

125 In the current LMDZ trigger parametrization, the deterministic plume model imposes a
126 mean (Bulk) thermal inside the domain, whose maximum kinetic energy is taken from the
127 maximum velocity along the plume:

128

$$\text{ALE}_{\text{BL,det}} = \frac{1}{2} \left(\max_z \left\{ w'_u(z) \right\} \right)^2 \quad (2)$$

129 2) COLD POOLS

130 Another subcloud process coupled to the deep convection is the cold pool mechanism.
131 The cold pools are created by the rain re-evaporation in the clear air, their height closely
132 corresponds to the cloud base. They ensure the deep convection maintenance along the
133 afternoon through their available lifting energy ALE_{WK} . Their lifting energy depends on
134 their kinetic energy, (given their spreading velocity C^*) which is supposed equal to their
135 potential energy (WAPE, Wake Potential Energy) $\text{WAPE} = -g \int_0^{h_w} \frac{\delta\theta_v}{\bar{\theta}_v} dz$. Where h_w is
136 the wake height, $\delta\theta_v = \theta_{v,wake} - \theta_{v,ext}$ is the positive virtual potential temperature difference
137 between the wake and its environment and (iv) $\bar{\theta}_v$ is the grid-scale averaged virtual potential
138 temperature. Meaning that C^* is related to the square root of the potential energy stored
139 by the cold pools $C^* = 2\sqrt{\text{WAPE}}$.

140 In the model, especially over land surfaces, once deep convection has triggered, the cold
141 pool mechanism largely dominates the boundary layer lifting processes both in terms of
142 triggering ($\text{ALE} \approx \text{ALE}_{\text{WK}}$) and closure ($\text{ALP} \approx \text{ALP}_{\text{WK}}$) (not shown).

143 *c. The 4 cases investigated*

144 Four distinct cases studies are investigated through the SCM.

145 2 CASES OF AFTERNOON DEEP-CONVECTION TRIGGERING

- 146 • The AMMA (African Monsoon Multidisciplinary Analysis) case corresponds to a deep
147 convection triggering case of an isolated thunderstorm over a semi-arid surface at mid-
148 afternoon (around 15:40 LT), the 10th of July 2006 over Niamey (Niger). The atmo-
149 spheric column of the SCM is forced by surface fluxes (latent and sensible) and by
150 large scale convergence in accordance with the observations reported that day. The
151 reader is referred to Lothon et al. (2011) and Couvreux et al. (2012) for more details.
- 152 • The EUROCS-DEEP case (EUROpean Cloud System) corresponds to an early-afternoon
153 deep convection triggering case (around 13:00 LT) over the great plains of the Okla-
154 homa state (USA), the 27th of June 1997. The SCM atmospheric column is forced by
155 fluxes and large-scale advection.

156 2 CASES OF SHALLOW CONVECTION WITH NO TRIGGER

- 157 • The EUROCS-SHALLOW case is case of diurnal cycle of non precipitating cumulus
158 clouds over the Oklahoma great plains, the 21th of June 1997. The SCM atmospheric
159 column is forced by fluxes and large-scale advection.
- 160 • The BOMEX case (Barbados Oceanographic and Meteorological Experiment) is a
161 trade-wind cumulus case in a quasi-steady regime over a tropical ocean, the 24th
162 of June 1969. The SCM is forced by SST (Sea Surface Temperature), by large scale
163 advection, and radiative tendencies are also prescribed.

3. The deep convection stochastic triggering parametrization

a. *Reminder of Part I*

Data from the LES (semi-arid) case AMMA were analyzed for the study of geometrical and dynamical properties of the cloudy thermal plumes at the LCL during the transition from shallow to deep convection. The plume cross-section spectrum is composed of two exponential distributions. The type-1 plumes concerns the smallest clouds that are not able to trigger deep convection. The type-2 plumes includes the largest structures that may turn into congestus or cumulonimbus. Only type-2 plumes are considered relevant for the coupling of the boundary layer with deep convection.

For this type of clouds, we propose in the first part a triggering formulation organized in three steps.

A preliminary condition is that the boundary layer must be cloudy to allow the deep convection triggering.

The first criterion governs the dynamical transition from a regime in which cumulus clouds cannot reach their level of free convection (LFC) (i.e stays under the inhibition layer (CIN)) to a transient regime where at least some cumulus overshoot the CIN but do not reach the high troposphere. The transition is dynamic; it takes place when the statistical maximum kinetic energy produced by the boundary layer thermal plumes at cloud base verifies:

$$ALE_{BL,stat} > |CIN| \quad (3)$$

Where $ALE_{BL,stat}$ is:

$$\text{ALE}_{\text{BL,stat}} = \frac{1}{2} \mathcal{W}'_{\text{max}}{}^2 \quad (4)$$

187 In which $\mathcal{W}'_{\text{max}}$ is the statistical maximum vertical velocity inside the largest plume of
188 the domain:

189

$$\mathcal{W}'_{\text{max}} = \overline{w}'_{\text{p}} \left[1 + \sqrt{\ln \left(\frac{(S_2 \ln(N_2))^2}{\check{s}} \right) - \ln \left(\ln \left(\frac{(S_2 \ln(N_2))^2}{2\pi} \right) \right)} \right] \quad (5)$$

190 Where (i) \overline{w}'_{p} is the domain averaged vertical velocity of the plumes at the cloud base,
191 (ii) S_2 is the domain averaged cloud base cross-section corresponding to type-2 plumes, (iii)
192 N_2 is the corresponding thermal plume population in the domain (area S_{d}), and (iv) \check{s} is
193 an arbitrary draft cross-section of reference (here it is 400 m²). This dynamical criterion
194 is based on a PDF approach in which type-2 plumes are supposed to follow an exponen-
195 tial distribution from which an estimated maximum cloud base cross-section \mathcal{S}_{max} , and a
196 corresponding maximum velocity $\mathcal{W}'_{\text{max}}{}^2$ are extracted.

197 **The second criterion** governs the transition from the transient regime to the deep
198 convection regime, in which, at least one of the overshooting cumulus of the domain reaches
199 the high troposphere, becoming a congestus or a cumulonimbus cloud. Every timestep Δt ,
200 the no-trigger probability is:

201

$$\widehat{P}_{\Delta t} = \left[\left(1 - \exp\left(\frac{-S_{\text{trig}}}{S_2}\right) \right)^{N_2} \right]^{\frac{\Delta t}{\tau}} \quad (6)$$

202 Where, Δt is the timestep, S_{trig} is an arbitrary threshold cross-section and τ is an arbi-
203 trary decorrelation interval between two consecutive, independant cloud scenes (e.g 10 min).
204 The probability that a random realisation S_{max} exceeds S_{trig} is equal to the probability that
205 a random number $0 < \mathcal{R} < 1$ exceeds the no-trigger probability $\widehat{P}_{\Delta t}$. Hence, in a time period
206 Δt , the stochastic triggering happens if:

207

$$\mathcal{R} > \widehat{P}_{\Delta t} \quad (7)$$

208 In order to merge those two thresholds, one may define the effective lifting energy
209 $\text{ALE}_{\text{BL,eff}}$ as follows:

210

$$\text{If } \mathcal{R} > \widehat{P}_{\Delta t} \text{ then } \text{ALE}_{\text{BL,eff}} = \text{ALE}_{\text{BL,stat}}, \text{ALE}_{\text{BL,eff}} = 0 \text{ otherwise} \quad (8)$$

211 As a result, the deep convection triggering criterion is:

212

$$\text{ALE} > |\text{CIN}| \text{ with } \text{ALE} = \text{Max}(\text{ALE}_{\text{BL,eff}}, \text{ALE}_{\text{WK}}) \quad (9)$$

213 Since the triggering criterion determines whether convection is active or not, it must
214 be checked at every timestep, In the case that deep convection has already triggered, the
215 procedure is the same, but with a decorrelation time $\tau' = 2\tau$ (supposing that the deep
216 convective updraft's timescale is 2 times longer than the thermal plume updraft's one).

217 *b. Thermal plume spectrum parametrization*

218 In this subsection, the type-2 plume distribution parametrization is presented. This
219 consists in retrieving from the actual LMDZ "bulk" thermal plume model (Rio and Hourdin
220 (2008)) the plume spectrum characteristics (i.e N_2 and S_2). This parametrization considers
221 an equivalence between the plume ensemble and a single thermal whose properties are equal
222 to the domain averaged properties of the plume population. It provides an updraft velocity
223 w'_u profile, a fractional coverage α_{tot} profile, a cumulus base altitude z_{cl} , and a cumulus top
224 altitude z_{top} . Some arbitrary hypothesis and some results from the LES analysis made in
225 the Part I of this study are used for that.

226 1. The first hypothesis is that the unique deterministic thermal plume and the plume
227 spectrum both cover the same surface S_{tot} in a given domain S_d :

$$N_1 S_1 + N_2 S_2 = S_{\text{tot}} = \alpha_{\text{tot}} S_d \quad (10)$$

229 2. Second, since the ratio between the fractional coverage of the cloud population 1 and
 230 the whole cloud population varies from 20 to 30% all along the simulation, it is supposed
 231 constant for simplicity:

232

$$\frac{N_1 S_1}{\alpha_{\text{tot}} S_d} = \epsilon \quad (11)$$

233 3. The third hypothesis has already been discussed in Part I: it supposes that population
 234 2 exhibits a linear relationship between the mean typical size of the cloud base $\sqrt{S_2}$, the
 235 mean cloud depth $\langle z_{\text{top}} \rangle - \langle z_{\text{icl}} \rangle$ and the mean altitude of the Lifting Condensation Level
 236 $\langle z_{\text{icl}} \rangle$ over the plume population, giving the following quadratic formulation for S_2 :

237

$$S_2 = [a(\langle z_{\text{top}} \rangle - \langle z_{\text{icl}} \rangle) + b \langle z_{\text{icl}} \rangle]^2 \quad (12)$$

238 Once S_2 is determined, the combination of Eq 10 and Eq 11 gives:

239

$$N_2 = \frac{(1 - \epsilon) \alpha_{\text{tot}} S_d}{S_2} \quad (13)$$

240 Therefore, Eq 12 and Eq 13 give a complete description of the plume population 2, with
 241 the 3 parameters $\{a : b : \epsilon\}$. In Part I, it has been shown that parameters $\{a = 1 : b = 0.3\}$
 242 were consistent with the LES, for the AMMA case.

243 4. The last assumption is to identify the domain average plume velocity $\overline{w'_p}$ with the
 244 single plume velocity w'_u , and to identify the arithmetic average of the LCL altitude $\langle z_{\text{icl}} \rangle$
 245 with the single plume LCL altitude z_{icl} , that is respectively:

246

$$\overline{w'_p} = w'_u \quad (14)$$

$$\langle z_{\text{icl}} \rangle = z_{\text{icl}} \quad (15)$$

247 Where (i) w'_u is the deterministic plume updraft velocity and (ii) z_{cl} is the deterministic
 248 plume condensation level.

249 Concerning the average cloud top, since the thermal plume model cloud top z_{top} cor-
 250 responds to the top of the highest thermal of the field, we cannot directly relate it to the
 251 arithmetic average $\langle z_{\text{top}} \rangle$. Hence we have chosen to consider a coefficient α , such that:

252

$$\langle z_{\text{top}} \rangle = z_{\text{cl}} + \alpha(z_{\text{top}} - z_{\text{cl}}) \quad (16)$$

253 Where $\alpha = 0.33$ reveals a good accordance between LES and SCM (not shown).

254 *c. Sum up*

255 1) ALGORITHM

256 At this stage, the deep convection triggering algorithmn is entirely determined. At every
 257 timestep Δt :

- 258 i. A preliminary condition is to have cloudy plumes inside the domain.
- 259 ii. If the preliminary condition is verified, Eq 12, Eq 13 and parameters $\{a : b : \epsilon : \alpha\}$ give
 260 the type-2 plume spectrum characteristics, that is the pair $[N_2 : S_2]$
- 261 iii. Eq 14 combined with Eq 5 and introduced in Eq 4 gives the maximum kinetic energy
 262 $\text{ALE}_{\text{BL,stat}}$ yielded by the type-2 thermal at LCL. The first criterion (Eq 3) is tested.
- 263 iv. If the first criterion is verified, Eq 6 is computed and the resulting no-trigger probability
 264 $\widehat{P}_{\Delta t}$ is compared with a random sampe \mathcal{R} . The second criterion (Eq 7) is tested and Eq
 265 8 gives the $\text{ALE}_{\text{BL,eff}}$ (the $\text{ALE}_{\text{BL,stat}}$ accounting for the deep convection triggering).
- 266 v. If the final test 9 is verified, then deep convection triggers and the decorrelation time
 267 becomes $\tau' = 2\tau$

268 2) PARAMETERS

269 The parametrization comprises six parameters divided in two groups:

- 270 i. we name "plume parameters" the set of parameters relative to the parametrization of
271 the plume spectrum, that is $\{a : b : \epsilon : \alpha\}$. These parameters are critical for computing
272 S_2 and N_2 , and are estimated here through the AMMA Case's LES data.
- 273 ii. we name "triggering parameters" the set of parameters relative to the triggering
274 parametrization, that is $\{S_{\text{trig}} : \tau\}$ (the threshold cross-section S_{trig} and the decorrela-
275 tion time τ). These parameters form a part of the no-trigger probability computation
276 (Eq 6) and cannot *a priori* be estimated by any mean. Then, their estimation needs a
277 sensitivity study carried out over various cases in order to draft a reasonable range of
278 values.

279 3) SENSITIVITY TO THE DOMAIN AREA S_d

280 The domain area S_d considered influences N_2 through Eq 13. In a 3D model framework,
281 S_d means the grid-cell area, but in a single column framework S_d has to be specified. Conse-
282 quently, for each one of the case studies defined below, we must define an arbitrary reference
283 area S_d .

284 For the AMMA case, the size of the domain is supposed equal to the LES performed
285 by Couvreux et al. (2012) and similar to the field campaign area as well (see Lothon et al.
286 (2011)): that is $S_{d,\text{Amma}} = 10^4 \text{ km}^2$ (100 x 100 km). For the EUROCS DEEP and EUROCS
287 SHALLOW cases, the arbitrary domain size is $S_{d,\text{Eu}} = 6,55.10^4 \text{ km}^2$, consistently with the
288 LES performed by Guichard et al. (2004) (which is in 2D with a domain length of 250 km).
289 And for the BOMEX case, the domain size is supposed to be close to the field campaign
290 area, which is $S_{d,\text{Bo}} = 2,5.10^5 \text{ km}^2$ (500 x 500 km) according to Holland (1970). In the
291 remaining of the paper, those reference areas will be held for each one of the case studies
292 cited below.

4. Parametrization evaluation and estimation of the "plume parameters"

a. Model evaluation on the AMMA case

The SCM is run on the AMMA case, with a $\Delta t = 60$ s timestep, and the results are compared with LES (see Part I for the LES description). Fig 1 compares LES domain-averaged characteristics of the type-2 plumes with properties relative to the single plume parametrization (i.e given by the SCM) at the LCL. We shall notice first that, since deep convection only starts around 16:30 LT in the LES and gives rise to congestus and cumulonimbus clouds, which are not represented by the thermal plume model, the comparison between the LES and the SCM is not relevant from 16:30 LT to 18:00 LT.

Fig 1 a) shows that the SCM and the LES give similar velocities, and they both represent the afternoon velocity decrease, consistent with the sensible heat flux diminution (not shown). Then, since deep convection triggers in the mid-afternoon, it seems that the vertical velocity is not a correct proxy for describing the transition from shallow to deep convection.

Fig 1 b) compares the fractional coverage of the resolved vs parametrized thermal plumes at the LCL. The SCM looks consistent with the LES, even if SCM slightly overestimates the fractional coverage at cloud base, the time evolution of both looks quite similar, with an increasing trend from noon to mid-afternoon and a decreasing trend afterwards. This curve tends to show that the transition looks not well described by the fractional coverage, which does not exhibit a clear trend along the afternoon.

Fig 1 c) compares the cloud base and top of the resolved vs parametrized thermal plumes. As previously stated, the SCM starts to produce cumulus clouds later than the LES. The parametrized cumulus also have a lower cloud base and cloud top (by 200 to 400 m) and the cloud depth is overestimated from 15:00 onward, but the boundary layer deepening process is similarly represented in both simulations.

Those results suggest that the last hypothesis asserted for linking the thermal plume

319 spectrum to the deterministic plume representation is, at least for the AMMA case, rele-
 320 vant. The deterministic parametrization of the boundary layer thermals is similar to the
 321 LES domain-averaged properties of the type-2 thermal plumes, and Eq 14, Eq 15 and Eq
 322 16 seem relevant. Nevertheless, the thermal fractional coverage and the cloud depth are
 323 overestimated.

324 The next step is to constrain the thermal plume spectrum parametrization with the LES;
 325 that is to determine the so-called "plume parameters" $\{a : b : \epsilon : \alpha\}$.

326 Eq 12 with parameters $\{a = 1 : b = 0.3 : \epsilon = 0.3 : \alpha = 0.33\}$ are used in the SCM and
 327 the resulting S_2 and N_2 are compared with the LES in Fig 2 a) and b) respectively. The
 328 parametrized S_2 and N_2 are quite far from the LES from noon to about 15:00. The first
 329 reason is that the SCM starts to create cumulus later than the LES (see Fig 1). The
 330 other reason has already been discussed in the Part I (Fig 4) of this paper: when the first
 331 clouds appear inside the domain, the distinction between population 1 and 2 is not clear
 332 and the corresponding thermal plume cross-section distribution $\mathcal{P}(s)$ resembles more to a
 333 simple exponential than to a sum of exponential (see Part I Fig 4 a)). The population 2
 334 distribution becomes more discernible later in the transition process, that is to say in that
 335 particular case, between 14:00 and 15:00 (see Part I Fig 4 a)). As a consequence, the couple
 336 $[S_2 : N_2]$ before mid-afternoon is highly correlated to the couple $[S_1 : N_1]$ (see errorbars in
 337 Part I Fig 4 c)). Therefore the only relevant values of S_2 and N_2 to be considered for the
 338 parametrization setting are in the 15:00-17:00 LT time range. And according to Fig 2, Eq 12
 339 and Eq 13 with parameters $\{a = 1 : b = 0.3 : \epsilon = 0.3 : \alpha = 0.33\}$ give results in accordance
 340 with the LES (Fig 2) in this time range.

341 In the following, the set of constrained parameters hold for describing the cross-section
 342 spectrum (Eq 12 and Eq 13) is then

343 $\{a = 1 : b = 0.3 : \epsilon = 0.3 : \alpha = 0.33\}$.

344 *b. Thermal plume spectrum characteristics over the 4 cases*

345 1) PRELIMINARY COMMENTS

346 This subsection is aimed at the study of the type-2 plumes cross-section spectrum over
347 the 4 cases defined in Sec 2.3.

348 The deep convection scheme is removed there in order to examine the behaviour of
349 the unperturbed thermal plume field during the transition. Indeed, after triggering, the
350 precipitation and cold pools strongly modify the structure of the boundary layer. Here, our
351 concern is the study of the thermal plume spectrum evolution with time, but still not the
352 triggering.

353 The model is run with a timestep of $\Delta t = 450\text{s}$, that is, the timestep which is used in
354 the actual standard version of LMDZ5B for climatological runs. That is the reason why
355 the SCM exhibits oscillations, which were not present with a timestep of $\Delta t = 60\text{s}$. They
356 result from numerical instabilities. Such instabilities can be suppressed with a much smaller
357 timestep, but since the present parametrization is expected to be operational in a full GCM,
358 it is important to test it in similar conditions as those required for long runs.

359 2) SPECTRUM CHARACTERISTICS

360 The simulated cloud base (z_{cl}) and cloud top (z_{top}) altitude are plotted in Fig 3. Those
361 value are given by the deterministic thermal plume model, thus, z_{cl} and z_{top} express statis-
362 tical mean values for the thermal plume pattern over an infinite domain. According to Fig
363 3, the parametrized boundary layer depth is sensitive to surface moisture; while increasing
364 the surface dryness, the LCL altitude increases as well. Indeed, the semi-arid case AMMA
365 exhibits the deepest boudary layer (around 2400 m) while the oceanic BOMEX case has the
366 shallowest one (around 400 m). In the oceanic case BOMEX, there is no diurnal cycle of the
367 cloud depth and cloud base altitude.

368 Table 1 displays the time evolution of the thermal plumes of category 2 characteristics,
 369 and Fig 4 shows their corresponding cloud base diameter ($d_2 = 2\sqrt{\frac{S_2}{\pi}}$) and spacing ($L_2 =$
 370 $\sqrt{1/D_2}$, where D_2 is spatial density $D_2 = \frac{N_2}{S_d}$). Over continents (AMMA, EUROCS-DEEP
 371 and EUROCS SHALLOW), according to Eq 12, the average cross-section S_2 at the LCL
 372 increases during the transition phase, in association with the cloud deepening ($z_{\text{top}} - z_{\text{cl}}$)
 373 and the cloud base elevation (z_{cl}) given by the thermal plume model (see Fig 3). Inversely,
 374 accordingly to Eq 13, the total number of type-2 cumulus N_2 decreases, as well as their
 375 spatial density D_2 . According to Eq 13, N_2 is inversely proportional to S_2 . Hence, all
 376 along the transition, the boundary layer thermal structures become larger, deeper, and
 377 consequently less numerous in the domain considered. Fig 4 also shows that, along the
 378 continental transition phase the parametrization produces more spaced, as well as larger
 379 cloud structures: cloud centers starts from $L_2 = 3000$ m spacing at the beginning of the
 380 transition, and reach about $L_2 = 6000$ m at the end.

381 Eq 12 is at the core of this parametrization, and makes a direct link between the bulk
 382 plume and the spectral plumes, the parametrization is then very sensitive to both the cloud
 383 depth ($z_{\text{top}} - z_{\text{cl}}$) and the cloud base altitude (z_{cl}) simulated by the thermal plume model.

384 For the cases in which deep convection triggering has been observed (i.e AMMA and
 385 EUROCS DEEP), one can see that S_2 is two times more important in the AMMA case than
 386 EUROCS DEEP case at triggering. Indeed, even though clouds are deeper in the EUROCS
 387 DEEP case, the influence of z_{cl} (the cloud base altitude) looks of primary importance over
 388 lands (in comparison with depth $z_{\text{top}} - z_{\text{cl}}$) in controlling the horizontal cloud size.

389 Over ocean (BOMEX), cloud structures are more numerous, smaller and less spaced (see
 390 Table 1 and Fig 4), resulting in a spatial density D_2 often exceeding 1 cloud per km^2 .

391 *c. Deterministic versus statistical ALE_{BL}*

392 Fig 5 displays $ALE_{BL,\text{det}}$ and $ALE_{BL,\text{stat}}$ (Eq 4) against CIN for all cases.

393 Let's focus first on continental cases. Depending on the case, $ALE_{BL,stat}$ exceeds $|CIN|$
394 before of after $ALE_{BL,det}$. In the AMMA case the statistical ALE_{BL} overcomes the inhibition
395 around 13:15 LT, that is 1 hour after the deterministic one. But in the EUROCS DEEP
396 case, the deterministic ALE_{BL} crosses the inhibition 1 hours later than the statistical one (i.e
397 around 11:15 LT). And both ALE_{BL} triggers simultaneously (around 11:00) in the EUROCS
398 SHALLOW case. Looking at those 3 continental cases, it is then difficult to extract a
399 net effect of $ALE_{BL,stat}$ as compared with $ALE_{BL,det}$. This may even suggests that the
400 deterministic is relevant for treating the continental cases

401 Looking at $ALE_{BL,stat}$ only, large differences can be seen whether the case in Fig 5.
402 As shown in Eq 4, $ALE_{BL,stat}$ is mostly related to the thermal plume vertical velocity at
403 the LCL w'_u , which both depends on the boundary layer buoyancy and boundary layer
404 depth. In all the continental cases $ALE_{BL,stat}$ overcomes the CIN and a transient regime of
405 several hours occurs during the afternoon, suggesting that strong updrafts feed overshooting
406 cumulus. Regarding Fig 5, transition starts at 13:15 LT for the AMMA case, at 10:00 LT
407 for the EUROCS DEEP case and at 11:00 LT for EUROCS SHALLOW case, which is quite
408 consistent with LES. Concerning the EUROCS cases, the important $ALE_{BL,stat}$ in EUROCS
409 SHALLOW is solely explained by the very large w'_u at LCL ($w'_u \approx 2.2\text{m.s}^{-1}$).

410 In the oceanic case (i.e BOMEX), most prominent differences between $ALE_{BL,det}$ and
411 $ALE_{BL,stat}$ appears. In this case $ALE_{BL,stat}$ stays most of the time below the CIN, but
412 $ALE_{BL,det}$ is almost always superior to the $|CIN|$. Indeed, the plume vertical velocity at LCL
413 is two times lower in the BOMEX case ($w'_u \approx 0.4 \text{ m.s}^{-1}$) than in the AMMA case ($w'_u \approx 1$
414 m.s^{-1}), resulting in a corresponding low value for \mathcal{W}'_{max} (see Eq 5). Therefore, the statistical
415 $ALE_{BL,stat}$ cancels the deep convection triggering over an oceanic surface, in a subsiding
416 atmosphere (e.g BOMEX). This aspect is of importance knowing that the standard version
417 of the model constantly produces convective rain in excess in those regions.

418 *d. An example of stochastic triggering in the AMMA Case*

419 The SCM is run in the AMMA case, with the stochastic triggering for deep convection,
420 with the plume parameters $\{a = 1 : b = 0.3 : \epsilon = 0.3 : \alpha = 0.33\}$ and the triggering parame-
421 ters $\{S_{\text{trig}} = 12\text{km}^2 : \tau = 600\text{s}\}$. Fig 6 exhibits the deep convection triggering scenario.

422 The thermal plume model does not create any cumulus (not shown) before 13:30 LT,
423 explaining why $\text{ALE}_{\text{BL,stat}} = 0$. After 13:30 LT, some clouds appears and, a short time later
424 the dynamical criterion is reached (Eq 3). The transient regime starts, meaning that at least
425 one cloud hosts an updraft whose kinetic energy exceeds the CIN. A random generator is
426 run and generates, every timestep, a random sample \mathcal{R} (between 0 and 1) which is compared
427 with a no-trigger probability $\widehat{P}_{\Delta t}$ calculated over the timestep period Δt . During the next
428 hour the boundary layer and the surrounding clouds deepen (not shown), consequently,
429 S_2 increases and N_2 decreases, which result in a slightly decrease of $\widehat{P}_{\Delta t}$. As long as the
430 geometrical criterion is not verified (Eq 7), $\text{ALE}_{\text{BL,eff}} = 0$ deep convection cannot trigger
431 (because Eq 9 is not verified). Around 14:30 LT a random realization \mathcal{R} finally exceeds $\widehat{P}_{\Delta t}$;
432 the geometrical threshold is reached (Eq 7) and deep convection triggers. A short time later
433 the rain re-evaporation produces unsaturated downdraughts and cold pools, which ensures
434 the deep convection triggering later on (not shown). The result is, for that particular run,
435 a deep convection triggering delayed by around 1h15 as compared with the deterministic
436 triggering, which triggers about 13:15 LT (not shown).

437 **5. Sensitivity Experiments to the "triggering parame-** 438 **ters" with the SCM**

439 *a. Integrated trigger probability $\mathcal{P}_{\Delta t}$*

440 The main objective of this section is to explore the stochastic triggering sensitivity to the
441 triggering parameters $\{S_{\text{trig}} : \tau\}$ and the domain area S_d , in 4 distinct cases. The aim is to

442 build, for every set of parameters, for each case, the diurnal cycle of the trigger probability.

443 A way to build it is to run a large number of simulations for every set of parameters
444 and to retrieve the trigger histogram. But this is quite tedious and can be avoided. An
445 alternative way is to run only one simulation for each case, in with the convection scheme
446 switched off. Indeed, if deep convection triggering is cancelled the thermal plume model
447 produces a unique, deterministic, time series of $[S_2 : N_2]$ pairs (Eq 12 and Eq 13). If we
448 want to compute the probability that convection triggers on a time interval $[t_0, t_n]$ made of
449 n timesteps of length Δt . We shall call this probability the integrated trigger probability
450 $\mathcal{P}_{\Delta t}$. To that end, we first compute the no-trigger probability, which is the product of the
451 no-trigger probabilities on each timestep:

$$452 \quad \widehat{\mathcal{P}}_{\Delta t}(t_n) = \prod_{k=0}^{n-1} \widehat{\mathcal{P}}_{\Delta t}(t_k)$$

453 Then the integrated trigger probability reads:

$$454 \quad \mathcal{P}_{\Delta t}(t_n) = 1 - \prod_{k=0}^{n-1} \widehat{\mathcal{P}}_{\Delta t}(t_k) \quad (17)$$

455 In that manner, we can deduce from a unique no-trigger scenario the time series of the inte-
456 grated trigger probability $\mathcal{P}_{\Delta t}$ corresponding to a particular set of free parameters $\{S_{\text{trig}} : \tau\}$.
457 Thus, the triggering sensitivity study requires only one convection-free simulation per case
458 and per parameter configuration.

459 *b. Sensitivity to the threshold cross-section S_{trig}*

460 The first experiment concerns the triggering parameter S_{trig} , that is, the threshold (or crit-
461 ical) cloud base cross-section above which the cumulus cloud becomes a congestus (i.e deep
462 convective cloud). The plume parameters are set to $\{a = 1 : b = 0.3 : \epsilon = 0.3 : \alpha = 0.33\}$,
463 the decorrelation time is set to $\tau = 600$ s. For each case study, the domain area considered
464 is the reference area (mentioned in Sec c).

465 Fig 7 displays the integrated trigger probability $\mathcal{P}_{\Delta t}$ for the range $S_{\text{trig}} = \{10 : 12 : 15 : 18 : 20\}$
 466 km^2 (i.e a critical diameter $D_{\text{trig}} = \{3570 : 3910 : 4370 : 4790 : 5000\}$ m). The expected sig-
 467 moid shape occurs in several instances, but not always. Some curves display several steps
 468 or ramps (these peculiarities are commented below). The integrated trigger probability
 469 decreases when S_{trig} increases, in agreement with Eq 6 which shows that the no-trigger prob-
 470 ability $\widehat{P}_{\Delta t}$ is an increasing function of S_{trig} . For small values of S_{trig} the probability to
 471 trigger increases fast with cloud deepening ($z_{\text{top}} - z_{\text{cl}}$) and cloud base elevation (z_{cl}). Hence,
 472 the triggering diurnal cycle is shifted earlier and more peaked (since, because cumulative
 473 probabilities are bounded by one, an increased probability to trigger early automatically
 474 makes it decrease later). While increasing S_{trig} the probabilistic triggering diurnal cycle is
 475 more spread along the afternoon, and the total probability ($\mathcal{P}_{\Delta t}(t_{\text{final}})$) is also reduced. For
 476 example the total trigger probability is 90% for $S_{\text{trig}} = 18 \text{ km}^2$ and 60% for $S_{\text{trig}} = 20 \text{ km}^2$
 477 in the AMMA case, and even falls down to 12% for $S_{\text{trig}} = 20 \text{ km}^2$ in the EUROCS-Deep
 478 case.

479 One can notice that the EUROCS-Deep case exhibits, for intermediate values of S_{trig} , a
 480 double peaked diurnal cycle of $\mathcal{P}_{\Delta t}$, with two distinct time periods favourable for triggering
 481 and a "suppressed" period in between. This can be understood by looking at Fig 3 (top right
 482 panel). Between 13:00 and 15:30 LT, the thermal plume model exhibits large oscillations,
 483 which lowers the cloud depth and increases the no-trigger probability to its maximum value
 484 ($\widehat{P}_{\Delta t} = 1$).

485 The AMMA case looks also more favourable to triggering than the EUROCS DEEP case,
 486 even though both cases have a similar cloud depth. This is because the average cross-section
 487 (S_2) is an increasing function of the cloud base height (Eq 12).

488 The EUROCS SHALLOW case can trigger only for the smallest values of S_{trig} and, as
 489 mentioned earlier, the BOMEX simulation has no chance to trigger.

490 The first conclusion is that the altitude of the cloud base plays a key role for controlling
 491 the probabilistic triggering diurnal cycle in this parametrization, especially through Eq 12

492 and Eq 6. This parameter is actually the main discriminatory factor between those cases.
 493 Indeed, in all these cases the parametrized mean cloud depths are very close (around 600
 494 m), but the integrated trigger probability increases with the cloud base height. Over wet
 495 soils or oceans, since the cloud base height is low, the cloud vertical extension must be more
 496 important than over dry surfaces to trigger deep convection, and the transition period is then
 497 longer. Indeed, even though overshooting cumulus are present (Eq 3) in the domain, their
 498 average cross-section S_2 is low because of a low z_{icl} (Eq 12), and the no-trigger probability per
 499 unit time $\widehat{P}_{\Delta t}$ (Eq 6) stays very close to 1. Over dry soils clouds appears later, but since the
 500 boundary layer is deeper, thermal plumes structures and corresponding cloud bases are wider
 501 as well (following the hypothesis of a fixed aspect ratio for the boundary layer thermals).
 502 This results in a shorter transition period: the clouds needs less vertical extension to trigger
 503 deep convection. This can be verified when looking at Fig 5 and Fig 7 top panels; the
 504 AMMA transition period varies from 1h30 to more than 5h30 long (starting at 13:30), while
 505 the EUROCS Deep transition lasts from 2h30 to more than 7h30.

506 The second point is that an intermediate stage between shallow and deep regimes is now
 507 allowed. For instance, when $S_{\text{trig}} = 20 \text{ km}^2$, the total trigger probability for the AMMA
 508 Case is 70% while it is 10% for the EUROCS DEEP case. Thus, even though large scale
 509 and surface conditions still play a key role in the triggering (e.g cancels it in BOMEX), this
 510 new parametrization allows the model to have an "intermediate" stage, in which similar
 511 conditions can give different results. When increasing the threshold cross-section S_{trig} , this
 512 intermediate stage becomes more important.

513 *c. Sensitivity to the decorrelation interval τ*

514 The sensitivity of the probabilistic triggering diurnal cycle to the triggering decorrelation
 515 time τ is now approached. The chosen range of τ values (from 600 s to 900 s) is supposed
 516 to enclose the possible lifetimes of a boundary layer thermal feeding a cumulus cloud, and

517 the threshold cross-section is set to $S_{\text{trig}} = 12 \text{ km}^2$.

518 From Eq 6, $\widehat{P}_{\Delta t}$ is an increasing function of τ . Indeed, an increase of the time period
519 between two independent cloud scenes means a decrease by the same factor of the chance
520 to trigger in a given period Δt . Actually, Fig 8 shows that an increase of τ reduces the
521 integrated triggering probability (delaying so the triggering). This is more obvious in the
522 EUROCS DEEP and the EUROCS SHALLOW cases than the AMMA case, because in the
523 AMMA case all the triggering scenarios are concentrated in a very short period of time when
524 $S_{\text{trig}} = 12 \text{ km}^2$ (i.e between 14:15 and 15:00 LT, see Fig 7 upper left panel).

525 *d. Sensitivity to the domain area S_d*

526 The model's sensitivity to the reference domain area S_d is now studied with parameters
527 $\{S_{\text{trig}} = 12\text{km}^2 : \tau = 600\text{s}\}$.

528 Fig 9 shows that the parametrization reasonably reacts to a change in domain size S_d ,
529 favouring the triggering on larger domains. Indeed, the no-trigger probability per unit time
530 $\widehat{P}_{\Delta t}$ (Eq 6) decreases with N_2 : if considering a smaller (larger) domain, it is statistically more
531 difficult (easy) to trigger deep convection. For all cases, the sensitivity to S_d resembles the
532 sensitivity to S_{trig} but with the opposite sign; while increasing S_d , the triggering diurnal cycle
533 shifts earlier and is more peaked. When multiplying by a factor $\beta = 10$ the surface domain
534 of reference for the EUROCS-Shallow case, the probability to have triggered reaches 22% at
535 the end of the simulation and 95% when $\beta = 100$. For the BOMEX case the triggering does
536 not happen for the reason evoked earlier. To sum up; with this set of parameters, the model
537 is almost sure to trigger deep convection within a day of simulation, over a land surface of
538 1000x1000 km.

539 This sensitivity to the domain size may be viewed like a "scale-dependant" parametriza-
540 tion, which favours triggering when coarse resolutions are used. But thanks to the stochastic
541 component it is not the case. Here, the stochastic component is essential for getting a

542 "scale-aware" triggering parametrization, rather than a "scale-dependant" one. Indeed, if
543 considering a particular domain, whatever the different ways to cut of this domain, the
544 probability to trigger deep convection inside it remain unchanged when considering a large
545 number of realizations.

546 **6. Impact on the deep convection diurnal cycle and day-** 547 **to-day variability**

548 In this section the determinist and stochastic triggering with deep convection switched-
549 on are compared. The model is now run with $\Delta t = 450$ s timestep. Each case is run
550 with the deterministic triggering and the stochastic triggering with the "plume parameters"
551 $\{a = 1 : b = 0.3 : \epsilon = 0.3 : \alpha = 0.33\}$, and the "triggering parameters" $\{S_{\text{trig}} = 12\text{km}^2 : \tau = 600\text{s}\}$.
552 The domain areas are taken as their reference value (defined in sec c).

553 *a. Impact on the deep convection over land: AMMA and EUROCS-DEEP cases*

554 In each one of these cases, two stochastic runs and a deterministic one are studied. The
555 two stochastic runs correspond to different triggering scenarios, that is to different random
556 samplings. The corresponding simulated diurnal cycles are compared in Fig 10 and Fig 11.

557 First, when comparing the deterministic triggering with the stochastic triggering, one
558 can see that for both cases the stochastic parametrization significantly delays deep con-
559 vection triggering (by 2 hours at least in both cases). Consequently, precipitation peak is
560 delayed in a similar way. This is the direct consequence of adding a supplementary thresh-
561 old (i.e a threshold cross-section) to the original dynamic threshold (i.e a threshold lifting
562 energy) to enable triggering. As deep convection tends to inhibits boundary layer (turbulent
563 diffusion and thermals) heating tendancies (see positive $Q_{1,\text{BL}}$ in Fig 10 and Fig 11 right
564 panels) through the sub cloud layer cooling induced by the convective rain re-evaporation

565 (see negative $Q_{1,CV}$ in Fig 10 and Fig 11 right panels), boundary layer mixing and deepening
 566 last longer in the stochastic case. The boundary layer low level heating (and drying) and
 567 low-tropospheric cooling (and moistening) effects continue later afternoon, giving a more
 568 continuous transition from the shallow to the deep regime as compared with the determinis-
 569 tic triggering (see Fig 10 and Fig 11 right panels). Indeed, the negative $Q_{1,BL}$ zone (dashed
 570 contour in Fig 10 and Fig 11), which corresponds to the bulk cumulus simulated by the
 571 thermal plume model, clearly shows that the mean cumulus reaches a more developed stage
 572 before triggering in the stochastic run.

573 Then, the stochastic triggering allows a real transition period between shallow and deep
 574 regimes, this transition is not represented in the standard deterministic parametrization.
 575 This continuous transition is much more consistent with LES and observational data, at
 576 least for the AMMA (Lothon et al. (2011) and Couvreux et al. (2012)) and EUROCS-DEEP
 577 cases (Guichard et al. (2004)).

578 Looking now at the differences between the 2 stochastic triggering scenarios (Fig 10 and
 579 Fig 11 lower left panels), things are different depending on the case investigated. For the
 580 AMMA case, both stochastic runs trigger around 14:30 LT (Fig 10) (the fact that rainfalls
 581 only starts after 17:00 LT is caused by the convective adjustment time, which has been set
 582 to 8000 s in the model). Indeed, as shown in Fig 7 the integrated trigger probability (Eq 17)
 583 increases dramatically at that time, meaning that the time range for most of the triggering
 584 scenarios are concentrated in this short period of time. But for the EUROCS-DEEP case
 585 (Fig 11), the triggering scenarios differs from about 2 hours. Indeed, the trigger probability
 586 is more spread over the afternoon period (see Fig 7). Then, the stochastic component
 587 introduces *a priori* an intra day variability of the deep convection diurnal cycle.

588 Concerning the deep convection intensity, in the AMMA case (Fig 10), both determin-
 589 istic and stochastic runs exhibits similar diabatic heating rates $Q_{1,CV}$. However, in the
 590 EUROCS-DEEP case (Fig 11) convection intensity is significantly weaker in the stochastic
 591 case. Actually, the fact that stochastically triggered deep convection uprisers later has 2 con-

592 tradictory effects. First, the triggering occurs in a deeper and drier boundary layer, and a
593 moister low free troposphere (see Fig 12) because boundary layer processes last longer. The
594 drier and deeper the boundary layer, the higher and colder the cold pools. Such developed
595 cold pools shall *a priori* increase the cloud base mass flux through the ALP closure (see Eq
596 1), thus to have a stronger deep convection. But, when triggering later the CIN may also be
597 higher (see Fig 5), and the present closure (Eq 1) should give a lower cloud base mass flux. In
598 the AMMA case those effects look to compensate themselves, while in the EUROCS-DEEP
599 case the second effect dominates.

600 *b. Impact on the shallow convection: BOMEX and EUROCS-Shallow cases*

601 According to the sensitivity study displayed in Fig 7, the deep convection has no chance
602 to trigger in the BOMEX case and few chances in the EUROCS-SHALLOW case regarding
603 the actual set of parameters. Therefore, in Fig 13 and 14, only one stochastic scenario
604 (contrary to Fig 10 and 11) is considered and compared with the deterministic triggering.

605 On the one hand, the deterministic runs both trigger deep convection, and some pre-
606 cipitation are simulated in BOMEX. On the other hand, the stochastic runs do not trigger
607 and $ALE_{BL,eff}$ is always 0.

608 In the BOMEX case, the absence of triggering is solely explained by the dynamic thresh-
609 old (Eq 3). As already explained in Sec 4.3 (Fig 5) the statistical $ALE_{BL,stat}$ is below
610 CIN, which cancels the deep convection triggering. In the EUROCS-SHALLOW case, both
611 $ALE_{BL,det}$ and $ALE_{BL,stat}$ overcome the CIN approximately at the same time (around 11:30)
612 but, in the stochastic run, the cloud depth and LCL altitude are not sufficient to produce
613 sufficiently large structures (S_2), and make significantly decrease the no-trigger probability
614 ($\widehat{P}_{\Delta t}$, Eq 6). Indeed, $\widehat{P}_{\Delta t}$ stays very close to 1 (not shown) all along the day, forbidding so
615 the triggering. Thus, in both stochastic runs, the boundary layer carries out 100% of the
616 mixing processes and the cumulus cloud development is not altered by the counteracting

617 effect of deep convection.

618 As already stressed in the previous subsection, this favours the presence of a drier bound-
619 ary layer together with a moister lower free troposphere (not shown). But most of all, the
620 present result opens the way to a better representation of the spatial, as well as temporal
621 variability of the tropical moist convection. Indeed, the new parametrization make it pos-
622 sible to get an alternation, between dry and rainy days, as well as subsiding and ascending
623 zones, and so could be an alternative to the long-standing bias of the LMDZ model to trigger
624 almost everyday all over the Tropics.

625 *c. Impact on the deep convection over a tropical ocean: the TOGA-COARE case*

626 The COARE experiment (Coupled Ocean-Atmosphere Response Experiment) is part of
627 the TOGA (Tropical Ocean-Global Atmosphere) campaign, conducted during the winter
628 1992-1993 over the western Pacific Warm-Pool. In the SCM, the corresponding run is 4-
629 month long, and the prescribed SST and large scale forcing correspond to the field campagn
630 observational data.

631 In the TOGA-COARE case, convective precipitation for the deterministic vs stochastic
632 runs are very similar. The stochastic run allows deep convection triggering most of the time
633 (as already noted in Sec 5.2). This is consistent with the fact that convective precipitations
634 have been observed almost everyday of the observation period. In this case the important
635 cloud depth acts to maintain a constantly low $\hat{P}_{\Delta t}$ (not shown) through Eq 12 and Eq 6.
636 Therefore, the stochastic triggering parametrization yields deep convection development over
637 the thin but very moist boundary layer found over the Warm-Pool; the sensivity to the cloud
638 depth is then critical in such situations.

639 *d. Impact on the 3D field: the day-to-day variability*

640 In order to assess the ability of the new trigger parametrization to perform reasonably well
641 in a large range of conditions, we implemented it in the LMDZ5 GCM. The LMDZ model was
642 run for 8 years with a resolution 96x95x39 and forced with climatological SSTs. No attempt
643 was made to tune the model for a reasonable climate: results should be looked at from
644 a purely qualitative point of view. Therefore, we won't pay attention to the precipitation
645 rates, but only to the precipitation variability.

646 Fig 15 displays the convective precipitation time series simulated over a grid point located
647 in the Sahel (nearly over Niamey) during the monsoon season. In both cases the large scale
648 precipitation (i.e created by large-scale condensation processes) are negligible. However,
649 the new trigger significantly increases the day-to-day variability of deep convection. Fig
650 15 shows that in the deterministic run, precipitation occurs almost everyday, while in the
651 stochastic run it rains approximately every other day. This day-to-day variability is directly
652 related to the introduction of a stochastic term in the triggering parametrization. Indeed,
653 even if the large scale conditions are favourable, the new parametrization does not trigger
654 unless a random number exceeds a certain value, yielding so a "stochastic variability". The
655 consequence is that triggering is never "guaranteed", even if thermal plumes overcome CIN.
656 This is of particular interest over such semi-arid zones - sometimes qualified "marginal zones"
657 (Charney et al. (1977)), or "hot-spots" (Koster et al. (2004)) - in which the day-to-day
658 variability is a major climatic component.

659 Then, those preliminary results suggest that the stochastic triggering parametrization
660 drastically improves the model's representation of the day-to-day variability of deep con-
661 vection in the Tropics. When switching back to the standard, deterministic triggering, the
662 model tends to trigger everyday, which is a common bias shared by the majority of current
663 GCMs.

7. Conclusions

The parametrization described in this paper derives from the analysis of LES data made in the Part I of this study. The study of the statistical properties of the thermal plume spectrum exhibited great advantages. It (i) first allowed to state hypotheses for building the thermal plume distribution parametrization, and (ii) suggested the existence of a supplementary, stochastic threshold governing the deep convection triggering. This resulted in a new formulation of the transition from shallow to deep convection, which includes a spectral representation of the cloudy thermal plumes, and a stochastic triggering of deep convection. From that, a stochastic parametrization of the deep convection triggering by boundary layer thermals has been proposed for the LMD's GCM (LMDZ5B). Among other considerations, we suppose a linear relationship between the mean cross-section of the plumes the LCL altitude and cloud depth extracted from the thermal plume model (developed by Rio and Hourdin (2008)). This parametrization includes so a computation of the thermal plume cross-section spectrum, and a computation of a no-trigger probability, whose exceedance by a random sampling determines whether triggering happens or not. It accounts for 6 parameters, among which three (a , b , ϵ and α) are related to the cloudy plumes spectrum computation (mean cross-section S_2 and population N_2), and the others (S_{trig} and τ) are related to the no-trigger probability computation ($\widehat{P}_{\Delta t}$).

A sensitivity study has been made over the threshold cross-section S_{trig} and the decorrelation time τ in order to explore some general features of the new stochastic triggering parametrization. (i) Over lands, the transition looks mostly governed by the cloud base altitude rather than the cloud depth. The higher LCL increases the cloud base size, which decreases the no-trigger probability, and favours so the deep convection triggering. Over drier surfaces, the transient regime between shallow and deep convection is then shorter. (ii) Over oceans or wet surfaces, since the cloud base is relatively low, the parametrization suggests a longer transition, which is mostly governed by the cloud depth. (iii) The parametrization is

690 scale-aware, that is sensitive to the domain area considered, but insensitive to the domain
691 cut-out. Actually, given the large scale conditions, the Monte Carlo process statistically
692 conserves the same probability to trigger in a given domain, whatever the number of grids.
693 (iv) The triggering is still ruled in a great part by the large scale and the surface conditions,
694 but allows the presence of an intermediate stage between the shallow and the deep regime,
695 in which stochastic processes can deeply affect the diurnal cycle scenario. The triggering
696 appears like a scarce process, which has a certain probability to occur given the large scale
697 and the surface conditions; meaning that even in favourable conditions deep convection may
698 not trigger, as well as it can trigger in an unfavourable environment.

699 Then, the new parametrization has been tested over various academic cases in a single
700 column framework and compared with the deterministic one. First, the new computation
701 of ALE_{BL} and the introduction of a geometric threshold (S_{trig}) act, respectively, to cancel
702 deep convection over trade wind cumulus zones, and to delay it over land. This results in a
703 longer transition period between the shallow and the deep regimes, in which cumulus clouds
704 continue to grow and boundary layer continues to deepen later on. Second, the stochastic
705 component give rises to an intra-day and a day-to-day variability, which is not present in
706 the deterministic triggering. The 3D climatologic run confirms the presence of a day-to-day
707 variability of convection. Therefore, the stochastic triggering may open the way to both
708 improve the GCM's representation of the transition between shallow and deep stages, as
709 well as the intra day and day-to-day variability of convection, which are poorly represented
710 in most of current GCMs.

711 8. Figures and tables

712 a. *Figures*

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714 *Acknowledgments.*

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716 ment of Teaching and Research, and by the European Union, Seventh Framework Program
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718 Guichard and Jean-Philippe Lafore, from Meteo-France (Toulouse), for their very helpful
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720 issues.

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769 **List of Tables**

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	AMMA			EUROCS DEEP			BOMEX			EUROCS SHALLOW		
Local time	S_2	N_2	D_2	S_2	N_2	D_2	S_2	N_2	D_2	S_2	N_2	D_2
8	0	0	0	0	0	0	0.34	76406	0.306	0	0	0
9	0	0	0	0	0	0	0.29	348299	1.393	0	0	0
10	0	0	0	0	0	0	0	0	0	0.16	18346	0.280
11	0	0	0	0.53	15325	0.234	0.27	277350	1.109	0.64	3750	0.057
12	0	0	0	0.8	8667	0.132	0.25	325149	1.301	0.71	3100	0.047
13	0	0	0	1.14	5136	0.078	0.17	653996	2.616	0.79	2278	0.034
14	0.65	1842	0.184	1.14	5934	0.091	0.24	332943	1.331	0.88	1743	0.027
15	2.16	513	0.051	1.56	4099	0.063	0.33	213492	0.854	0.87	1586	0.024
16	2.15	332	0.033	1.56	3901	0.060	0.36	322005	1.288	0.87	1550	0.024
17	2.15	304	0.030	1.56	3001	0.046	0.36	323064	1.292	0.87	1699	0.026
18	2.15	265	0.027	0	0	0	0.27	170473	0.682	0	0	0

TABLE 1. Type-2 plume spectrum characteristics time evolution simulated by the SCM with deep convection switched off: mean cross-section S_2 (km²), population N_2 , and spatial density D_2 (km⁻²)

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844 plume parameters are $\{a = 1 : b = 0.3 : \epsilon = 0.3 : \alpha = 0.33\}$ and the triggering
845 parameters are $\{S_{\text{trig}} = 12 : \tau = 600\}$.

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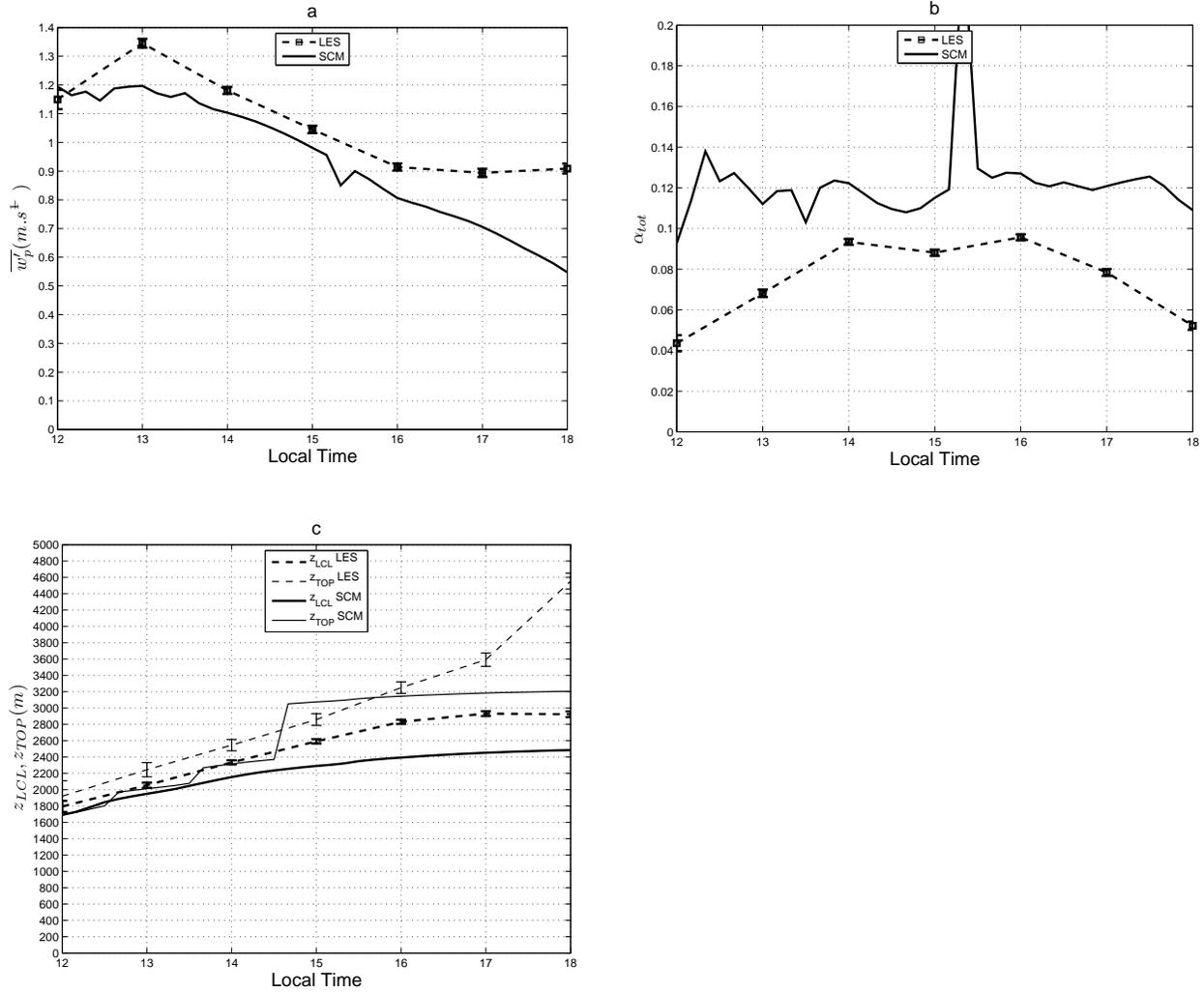


FIG. 1. AMMA Case, characteristics of the plume field at the LCL: a) Mean vertical velocity over the plume field $\langle \overline{w'_p} \rangle$ (m.s⁻¹, see Eq 16 with $\alpha = 0.33$) computed from the LES (dashed) against single plume velocity w'_u simulated by the SCM (solid) with deep convection switched off, b) Same for the fractional coverage α_{tot} , c) Same for cloud base (thick) and cloud top (thin)

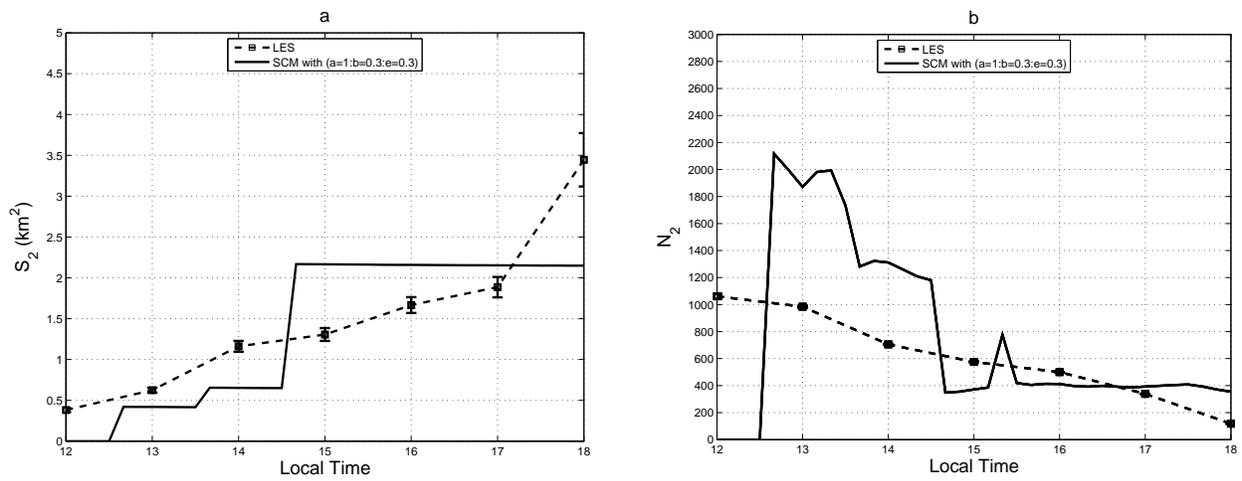


FIG. 2. AMMA Case: Time evolution of (a) the mean cross-section area of thermals 2 S_2 (km²), (b) the thermals 2 population N_2 from LES (dashed) and SCM simulation (solid) with deep convection switched off

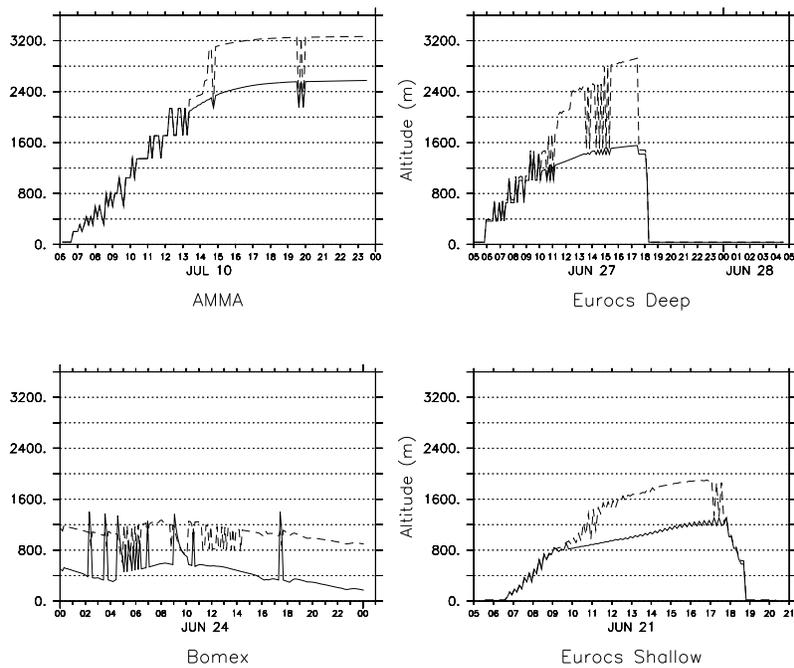


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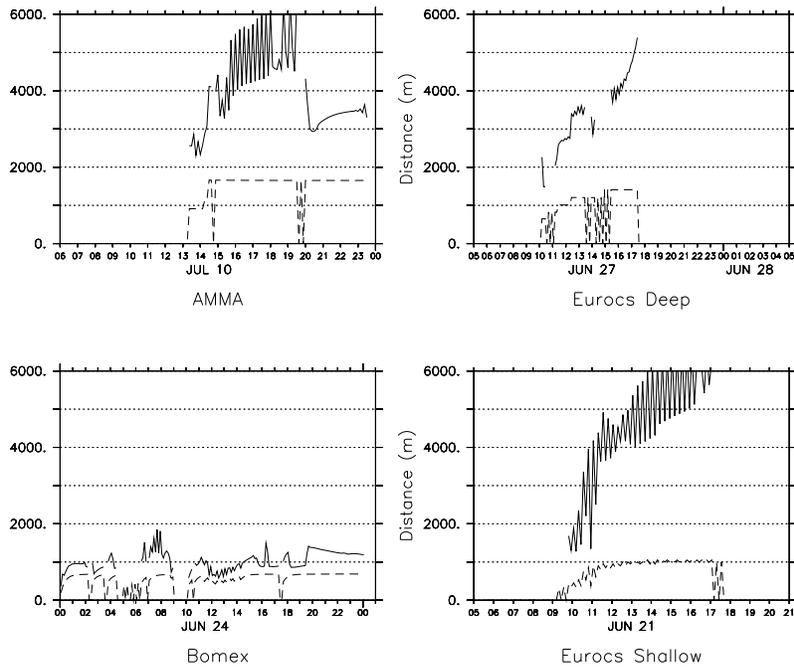


FIG. 4. Mean cloud base diameter d_2 (m) (dashed) and spacing L_2 (m) (solid) of thermal plumes of category 2 simulated by the SCM with deep convection switched off. The plume parameters are $\{a = 1 : b = 0.3 : \epsilon = 0.3 : \alpha = 0.33\}$

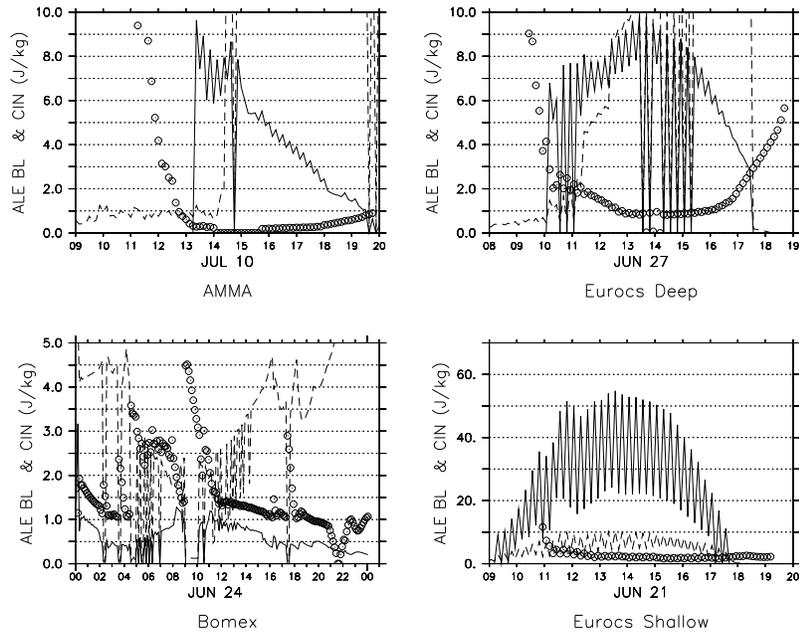


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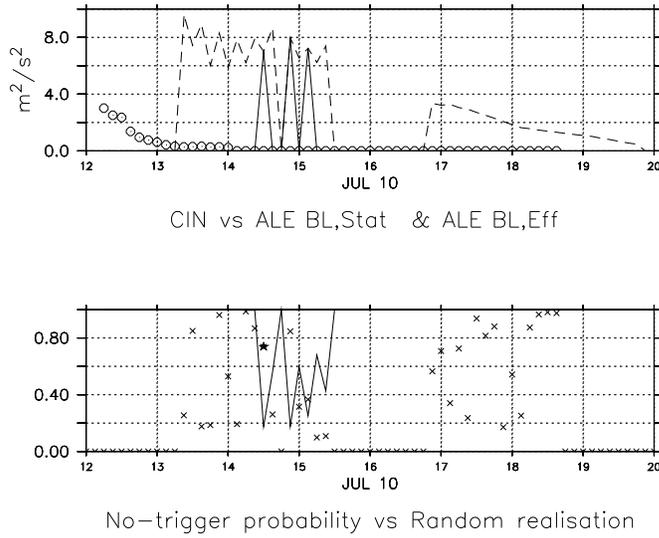


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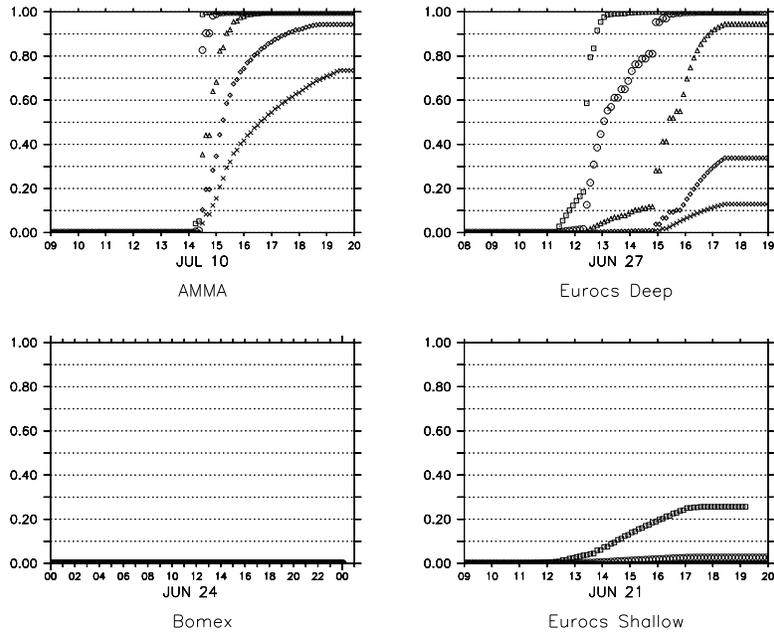


FIG. 7. Integrated trigger probability $\mathcal{P}_{\Delta t}$ for the threshold cross-sections $S_{\text{trig}} = 10 \text{ km}^2$ (squares), 12 km^2 (circles), 15 km^2 (triangles), 18 km^2 (diamonds), 20 km^2 (crosses) for the case AMMA (upper left), EUROCS Deep (upper right), BOMEX (lower left) and EUROCS Shallow (lower right), with deep convection switched off. The plume parameters are $\{a = 1 : b = 0.3 : \epsilon = 0.3 : \alpha = 0.33\}$ and the triggering decorrelation time is $\tau = 600 \text{ s}$.

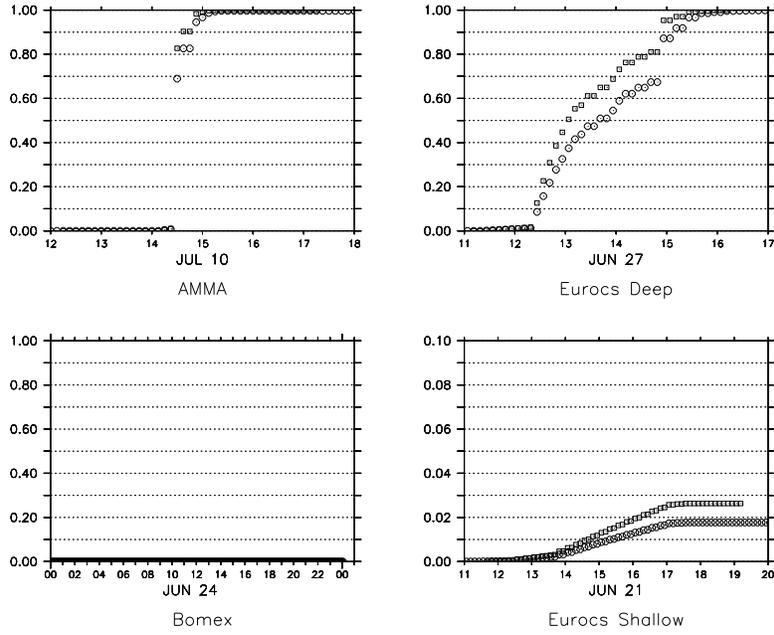


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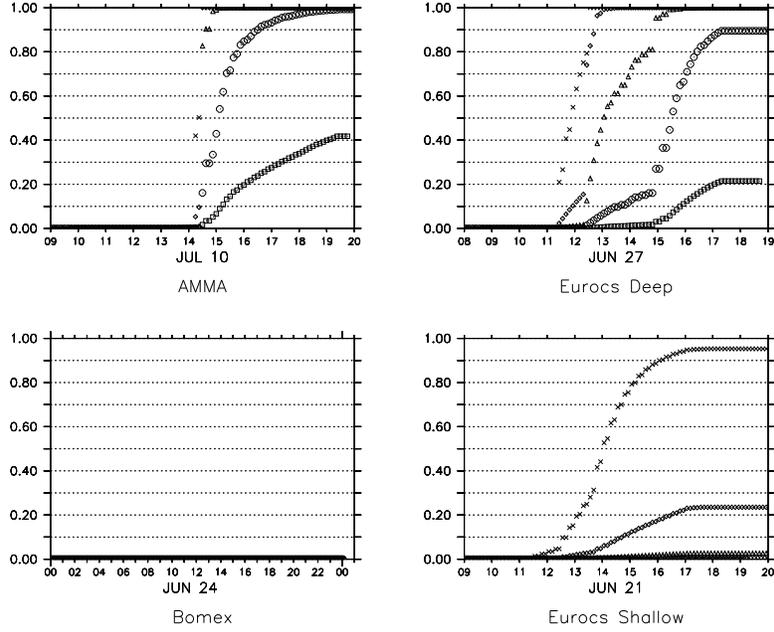


FIG. 9. Integrated trigger probability $\mathcal{P}_{\Delta t}$ for the domain area of reference $S_{d,Amma} = 10^4 \text{ km}^2$ (top left), $S_{d,Eu} = 6,55 \cdot 10^4 \text{ km}^2$ (right), $S_{d,Bo} = 2,5 \cdot 10^5 \text{ km}^2$ (lower left) multiplied by a factor $\beta = 0.01$ (squares), 0.1 (circles), 1 (triangles), 10 (diamonds), 100 (crosses) for the case AMMA (upper left), EUROCS Deep (upper right), BOMEX (lower left) and EUROCS Shallow (lower right), with deep convection switched off. The plume parameters are $\{a = 1 : b = 0.3 : \epsilon = 0.3 : \alpha = 0.33\}$ and the triggering parameters are $\{S_{\text{trig}} = 12 : \tau = 600\}$.

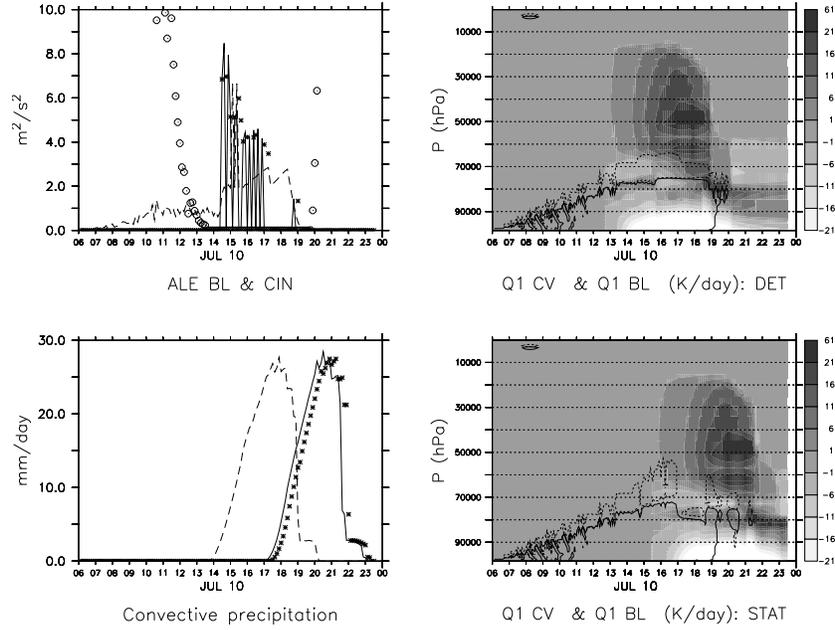


FIG. 10. AMMA Case: a) Upper-left panel: CIN (J.kg⁻¹, circles) vs ALE_{BL} (m².s⁻²) for the deterministic run (dashed), the stochastic run 1 ALE_{BL,eff} (solid) and the stochastic run 2 ALE_{BL,eff} (crosses). b) Lower-left panel: Convective precipitation (mm.hr⁻¹) for the deterministic run (dashed), the stochastic run 1 (solid) and the stochastic run 2 (crosses). c) Upper-right panel, Deterministic run: Deep convection heating rate (K.day⁻¹) $Q_{1,CV}$ (grey shaded), boundary layer processes heating rate (K.day⁻¹) $Q_{1,BL}$ (black contoured, thick lines for positive values and dashed lines for negative values). d) Lower-right panel, same for the Stochastic run 1. The plume parameters are $\{a = 1 : b = 0.3 : \epsilon = 0.3 : \alpha = 0.33\}$ and the triggering parameters are $\{S_{\text{trig}} = 12 : \tau = 600\}$.

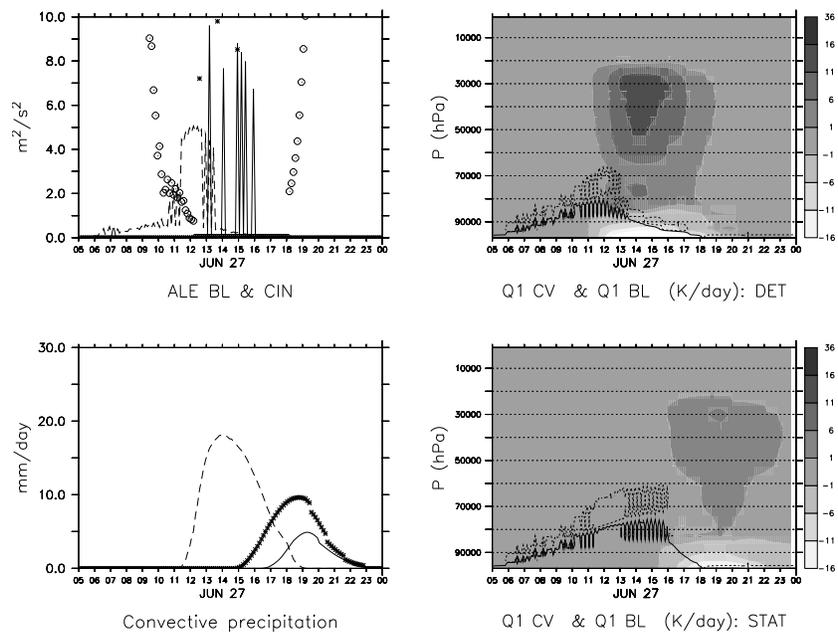


FIG. 11. EUROCS Deep Case: Same as Fig 10

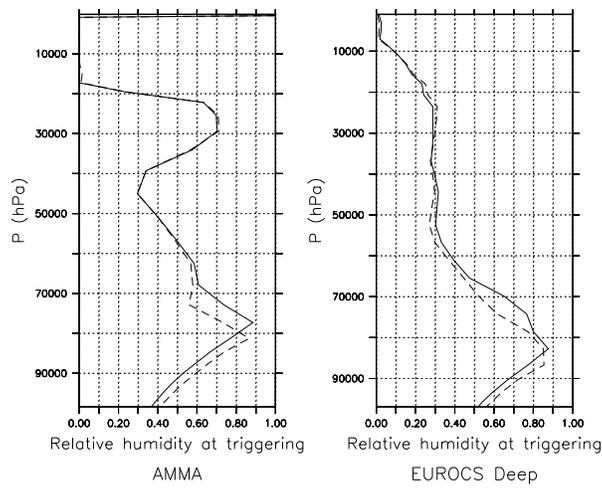


FIG. 12. Left panel, AMMA Case: Relative humidity profiles at triggering for the deterministic (dashed) and the stochastic (solid) runs given by the SCM. Right panel, same for the EUROCS Deep Case. The plume parameters are $\{a = 1 : b = 0.3 : \epsilon = 0.3 : \alpha = 0.33\}$ and the triggering parameters are $\{S_{\text{trig}} = 12 : \tau = 600\}$.

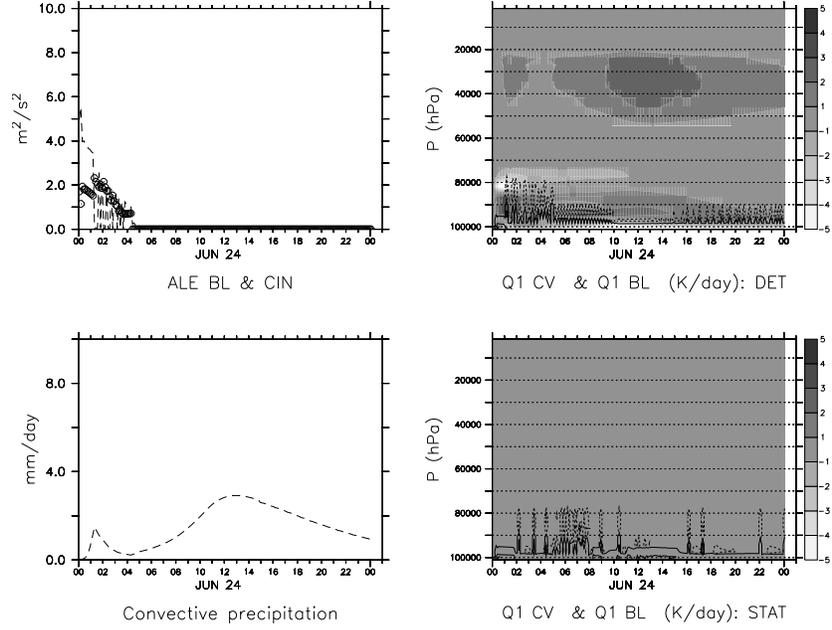


FIG. 13. BOMEX Case: a) Upper-left panel: CIN (J.kg^{-1} , circles) vs Deterministic ALE_{BL} ($\text{m}^2.\text{s}^{-2}$, dashed) and Stochastic $\text{ALE}_{\text{BL,eff}}$ (solid), b) Lower-left panel: Convective precipitations ($\text{mm}.\text{hr}^{-1}$) for the deterministic (dashed) and the stochastic (solid) run, c) Upper-right panel, Deterministic run: Deep convection heating rate ($\text{K}.\text{day}^{-1}$) $Q_{1,\text{CV}}$ (grey shaded), boundary layer processes heating rate ($\text{K}.\text{day}^{-1}$) $Q_{1,\text{BL}}$ (black contoured, thick lines for positive values and dashed lines for negative values), d) Lower-right panel, same for the Stochastic run. The plume parameters are $\{a = 1 : b = 0.3 : \epsilon = 0.3 : \alpha = 0.33\}$ and the triggering parameters are $\{S_{\text{trig}} = 12 : \tau = 600\}$.

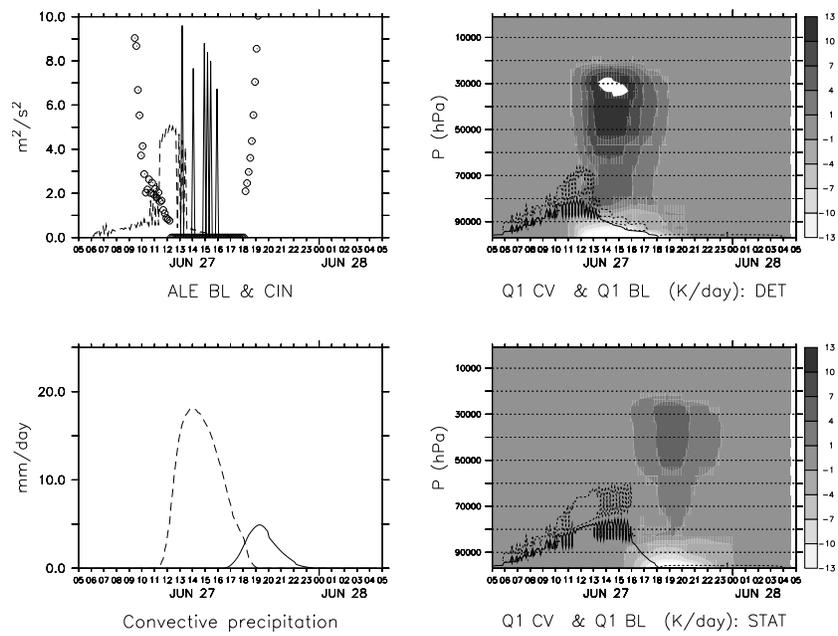


FIG. 14. EUROCS Shallow Case: Same as Fig 13

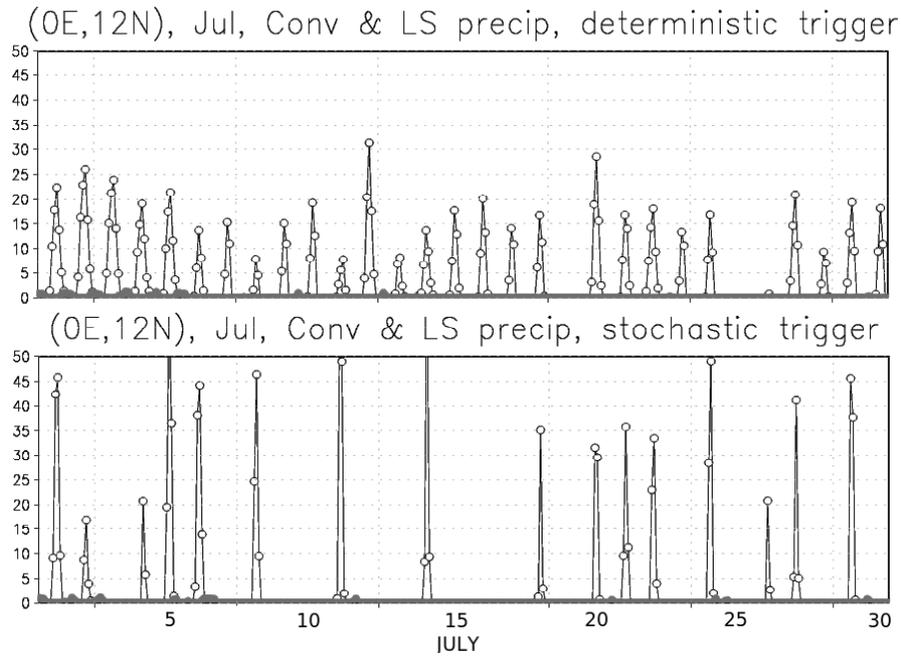


FIG. 15. Time series of convective (thin black) and large scale (thick grey) precipitation ($\text{mm}\cdot\text{hr}^{-1}$) over Niamey (Niger) for the month of July, as simulated by LMDZ5. Upper panel: Deterministic case. Lower panel: Stochastic case. The plume parameters are $\{a = 1 : b = 0.3 : \epsilon = 0.3 : \alpha = 0.33\}$ and the triggering parameters are $\{S_{\text{trig}} = 12 : \tau = 600\}$.